



Asset Transfer Form

for Investment Services

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Please Note: This form has been optimised for digital use to avoid as much paper waste as possible. Please download and save this file locally on your device that you are using and open with Adobe Acrobat.

You do not need a paid account, but you may need to configure your digital ID for signing (please follow the 'on screen' steps when using Adobe Acrobat for full details on how to do this).

This form is for clients who wish to transfer existing assets into their Capital International Limited (CIL) portfolio.

How does an Asset Transfer Work?

Your existing assets can be transferred into your account directly, subject to them being acceptable to us. On receipt of all necessary documentation, we will transfer each asset as required. **You should note that the process can take a substantial length of time, in some cases several months or longer to complete.** Whilst we will initiate your request upon receipt of this instruction, we are reliant on third parties to complete the request. We can only transfer assets where this form and your application (where applicable) are correctly completed.

Completing this Form

By completing this form, you will be providing authority to CIL to arrange the transfer of your assets listed on page 3 of this form by you. Please complete all fields, as missing information will cause delays when processing your application.

The form must be signed by all of the registered owner(s) of the assets to be transferred.

A form is required for each transfer where assets are held with numerous investment managers, platforms or providers.

Important Notes

Whilst we endeavour to use all best efforts to undertake a transfer on your behalf, the period of time it takes to complete is outside of our control. We accept no liability for any consequences that may arise from a delay.

When You have Completed this Form

Once this form is complete, please send it to:
stocktransfers@capital-iom.com

Please also make sure that you provide a recent statement of holdings with this form.

Wherever possible these should not be printouts of online statements as they rarely provide vital contact information. We cannot proceed without these.

If transferring physical holdings in certificated form, please contact businessdevelopment@capital-iom.com in order to obtain additional documentation that will be required to accompany this form.

1 Portfolio Details

Account Portfolio Number:

Account Portfolio Name:

Have any of your personal details on file changed? i.e. Moved address ☐ YES ☐ NO

If you have ticked **YES** above, please contact clientservices@capital-iom.com or clientsupport@capital-sa.com to update your personal details prior to submitting this form.

2 Source of Wealth

Have there been any changes to your Source of Wealth since you last provided this information to us, such as a change of jurisdiction or business activity?

☐ YES ☐ NO If you have ticked **YES**, please use the text box in **Section 7 - Additional Notes** to provide further details.

3 Ongoing Details

Are there any future asset transfers expected? ☐ YES ☐ NO If **YES**, please provide details below:

Number of planned transfers per year

Approx. total value and currency of yearly planned transfers

4 Asset Transfer Details

Please indicate the origin(s) from where you are funding your account: ☐ Asset Transfer ☐ Cash & Asset Transfer

Value of Asset Transfer

Please provide details of where the Asset Transfer is coming from:

Company Name

Company Address

Contact Name Contact Number

Contact E-mail

Account Number: How long has the account been open for?

5 Please transfer the below from the Current Custodian to CIL

Assets are to be transferred at:

NOTE: If **Book Cost** is selected above, a current valuation must be sent in with this **completed form** which states the book costs of each asset to be transferred. If the book costs are not provided for the individual assets then the current market value will be used instead.

☐ **Full Asset Transfer (all assets in specie)** - please provide a copy of your latest valuation of the transferring assets, and **continue to Section 6.**

☐ **Partial Asset Transfer*** - please provide a copy of your latest valuation of the transferring assets, and **list the specific assets to be transferred in the table below.**

*If you have selected a **Partial Asset Transfer** above, please provide a **schedule of assets** and list the SEDOLs / ISINs and the unit amount (we do not accept monetary amounts or percentages), in the table below:

Full description of the investment as shown on the document of title, including any ISIN or SEDOL code	Number of units or shares to be transferred (if all, please state ALL)
1	
2	
3	
4	
5	
6	
7	
8	
9	

Asset Transfer Form

Investment Services



6 Declaration

By signing this declaration, I/we give our express consent to CIL to arrange the transfer of the assets listed above into the name of Capital International (Nominees) Limited. CIL can only accept original written instructions that have been signed by all of the owners of the investments to be transferred.

First Owner Signature:

Name:

Signature:

Date Signed:(dd/mm/yyyy)

Second Owner Signature:

Name:

Signature:

Date Signed:(dd/mm/yyyy)

7 Additional Notes - if needed, please enter any additional notes in the box below

Capital International Limited

t +44 (0) 1624 654200 e clientservices@capital-iom.com

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