GUIDE WEALTH
MANAGEMENT

Privacy Policy

Guide Wealth Management LLC (“GWM”) recognizes the importance of protecting the privacy and confidentiality of our clients’ nonpublic personal information (“NPI”). This notice describes how we collect, use, and safeguard your information in accordance with applicable law, including Regulation S-P under the Gramm-Leach-Bliley Act.

Categories of Information We Collect

In the normal course of business, we collect nonpublic personal information about you from the following sources:

- Information you provide to us in applications, agreements, or other forms (such as your name, address, date of birth, Social Security number, income, assets, and investment objectives);
- Information about your transactions with us or others (such as account balances, investment activity, and account history); and
- Information we receive from third parties, such as custodians, brokers, or other financial institutions, in connection with providing services to you.

How We Use and Share Information

We use your information to provide advisory services, maintain and service your account, and fulfill our legal and regulatory obligations.

We do not sell your nonpublic personal information to anyone.

We may share your information with nonaffiliated third parties as permitted by law, including:

- Service providers that assist us in operating our business (such as custodians, technology providers, and professional advisors), subject to confidentiality and data protection obligations;
- Financial institutions with whom we work to service your accounts or effect transactions; and
- Regulatory authorities or other parties as required or permitted by law (such as in response to legal process, regulatory requests, or to prevent fraud).

We limit the collection and use of your information to what is necessary to provide our services and comply with legal requirements.

Use of Technology

We may utilize technology tools, including artificial intelligence and other automated systems, to support research, analysis, and operational functions. These tools are used under Firm supervision and do not replace human judgment.

We do not permit the use of client nonpublic personal information to train external systems or for purposes unrelated to servicing client accounts.

Confidentiality and Security

We maintain physical, electronic, and procedural safeguards designed to protect your nonpublic personal information. Access to your information is limited to employees and service providers who need it to provide services to you.

Former Clients

If you decide to close your account or become an inactive client, we will continue to adhere to the privacy policies and practices described in this notice.

Your Rights

You may contact us if you have questions about this notice or if you believe that our records contain inaccurate information about you. We will respond to requests to review or correct your information in accordance with applicable law.

Privacy Notice Delivery

We provide this Privacy Notice to clients at the start of the relationship and annually thereafter, or as otherwise permitted by applicable law. We will notify you of any material changes to our information practices as required.

Matthew Hague Chief Compliance Officer
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