



BUSINESS CONTINUITY PLAN

V2.0 / 09-2022

BUSINESS CONTINUITY PLAN DISCLOSURE STATEMENT

INSIGHTS INVESTMENTS LLC (“The Company”) has adopted a Business Continuity Plan (“BCP”) pursuant to the Investment Advisers Act and the rules and guidance of the U.S. Securities and Exchange Commission (“SEC”). The purpose of the BCP is to define the strategies and plans that will be used by The Company during a significant business disruption (“SBD”). The BCP is prepared to address both internal SBDs, such as a fire in The Company’s building, and external SBDs, such as a natural disaster, terrorist attack, or citywide power disruption.

Our Business Continuity Plan

In the event of an SBD, The Company will safeguard employee lives and firm property. The Company will work to re-establish the systems necessary to quickly recover and resume the business operations, protect The Company’s books and records and allow The Company’s customers to transact business to the extent reasonable and practical under the circumstances. The Company’s BCP addresses: alternate office locations, customer access to funds and securities, financial and operational assessments, data backup and recovery, critical systems, alternative communications with customers, employees, and regulators, and critical business constituents.

Contacting The Company and Accessing Funds and Securities

Please contact The Company through our regular telephone number +1 (650) 670-2622. For questions relating directly to accessing your funds and securities, please contact Interactive Brokers LLC; and / or Alpaca Securities LLC.

Varying Disruptions

SBDs can vary in their scope, from only our firm to a single building housing our firm, the business district where our firm is located, the city where we are located, the whole region. It also can be an event like the death or disability of the owner or key personnel. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we intend to transfer our operations to a local site when needed and expect to recover and resume business within a 24-hour time period. In a disruption affecting our business district, city, or region, we intend to transfer our operations to a site outside the affected area, and recover and resume business within a 48-hour time period. In either situation, we plan to continue in business. However, the ability of The Company to fully function is dependent on outside sources outside of its control, including the availability of electricity, telephones, Internet, transportation, and the functioning of



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institutions and markets worldwide. Nothing in The Company's BCP or this disclosure statement is intended to provide a guarantee or warranty regarding the actions or performance of The Company.

Additional Information

If you have questions about our business continuity planning, please contact us at +1 (650) 670-2622 or by email at help@insightswm.com