

Listeria Monocytogenes Platform

Off-the-Shelf Cancer Immunotherapy Platform

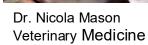
Platform Inventor: Dr. Yvonne Patterson from U Penn

Principle Investigator: Dr. Damon Reed

Lead Veterinarian: Dr. Nicola Mason from U Penn

- Listeria monocytogenes (*Lm*) is a facultative anaerobic bacterium. It can grow and reproduce inside the host's cells and is a virulent foodborne pathogen.
- Dr. Patterson patented the use of an attenuated Lm vector that was engineered to generate an immune response against cancer cells that overexpress specific tumor antigens, identifying them as listeria infected cells, and activating cellular immunity, including cytotoxic T cells, to kill the cancer
- In 2016, Dr. Nicola Mason identified potential uses in Osteosarcoma in animals and successfully completed trials that led to veterinary conditional approval by USDA for canine osteosarcoma
- AOST-2121 is the first clinical trial in Osteosarcoma with OST-HER2





Dr. Yvonne Patterson Microbiologist



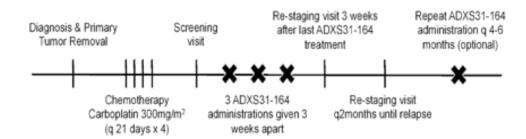


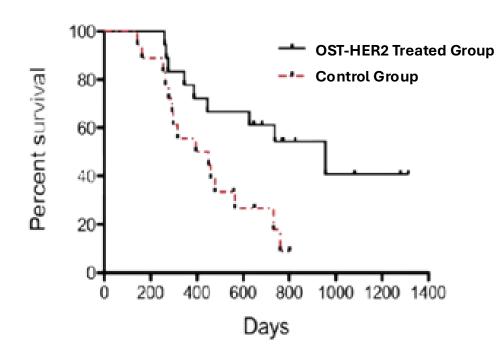


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CANINE OSTEOSARCOMA INFORMED CLINICAL TRIAL

DESIGN





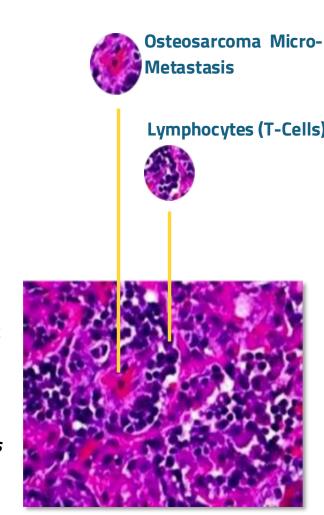
Mechanism of Action

Lymphocytes (T-Cells) Kill Metastases (Cancer Cells)

- Intravenous OST-HER2 vector rapidly cleared by immune system's antigen presenting cells (APCs)
- APCs strongly activated to generate potent HER2 specific T-cell response
- T-Cells proliferate, travel through blood to hunt down the OS Micro-Metastasis
- Cancer cell contents spill out revealing additional cancer targets
 - Epitope Spreading
- T-Cells are generated against the new targets, repeating the cycle and extending the treatment effects

OSTX Safety vs. Advaxis Lm development:

Antibiotic at 4h vs. 72h - Exposure to 4h of Lm yields
same immune response as 72h



Open Label, Phase 2b Trial:

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Enrollment Criteria

- 12-39 years old
- Recurrent, fully resected, lung metastatic Osteosarcoma
- Comparator was a single agent GM-CSF trial conducted through the Children's Oncology Group and a trial design developed by the COG¹
- All patients were dosed 16 times every 3 weeks for 48 weeks post enrollment w/4-week follow-up final visit

Primary Endpoint:

Co-Primary Endpoint:

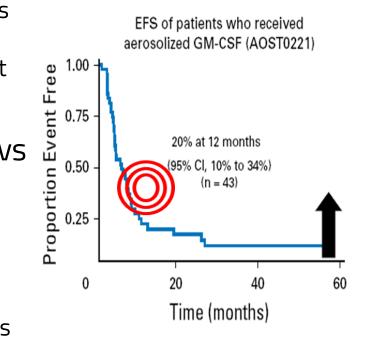
<u>Secondary Endpoint #1:</u> <u>Exploratory Endpoints:</u>

including HER2

12-month Event Free Survival (EFS) vs Historical Control (COG); a past trial Safety of OST-HER2 throughout treatment

Overall Survival (OS)

correlates of immune response, genomic features



AOST2121 Patients and Results:



Patient Outcomes & Demographics

- 41 patient enrolled, meaning they received at least 1 dose
- 2 withdrew consent
 - 1 did not allow follow up, and 1 with progressive disease
- with 40 evaluable patients for the primary end point enrolled at 21 centers

Age Range = 12-30 yrs. Pediatric Population (Age < 17 at trial start): N=24 Adult Population (Age >= 18 yrs. at trial start): N=17 20 Female, 19 male

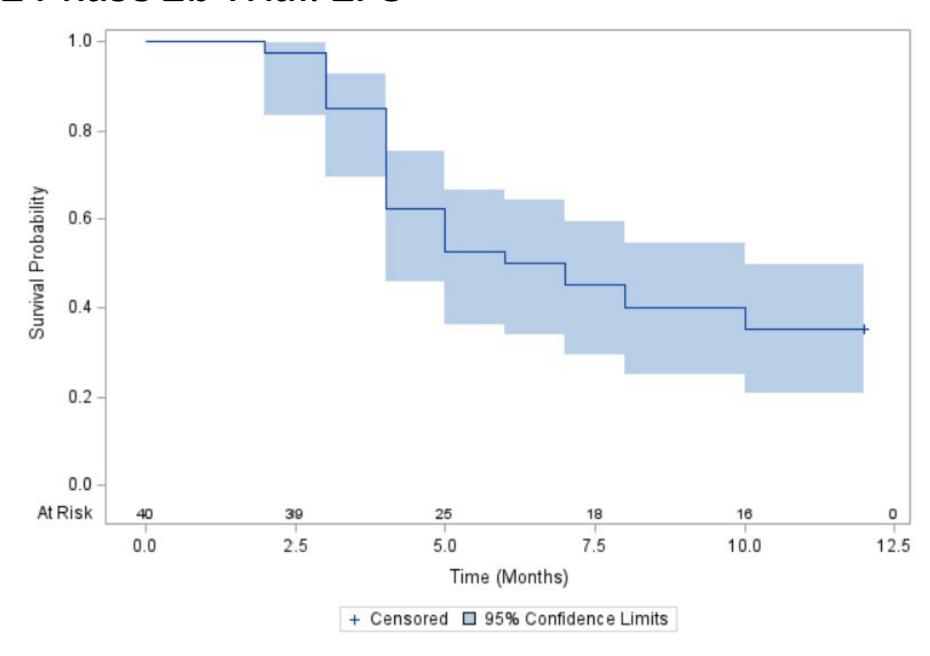
Primary Aim, Event free at 12 months:

12 Patients completed all 16 doses and 1 patient chose to stop therapy and was event free at 12 months after stopping therapy and remained event free at 1 yr. from first dose (EFS at 1 year = 14/40 = 35%, confidence interval 20.8-49.6%)

with one patient progressing at 12 months

26 patients experienced progression while on study prior to 12 months

OST-HER2 Phase 2b Trial: EFS



OST-HER2 Open Label Phase 2b Trial (AOST-2121): SAFETY

- 12 of 39 patients had a serious adverse event
- Includes 4 with cytokine release syndrome, nausea, febrile neutropenia, bacteremia (2, 1 below), infusion related reaction, exposure during pregnancy, rhabdomyolysis, wound closure, hypotension, disease progression and suicidal ideation
- Rhabdomyolysis reported on one pt. with elevated CK.
 - Written communication sent to sites to raise awareness based on U/A findings. The team implemented a protocol amendment and updates to the Informed Consent Form.
- SAE of note: asymptomatic **+blood culture with the study agent** after therapy and after protocol specified 28-days of antibiotics, SAE reported 07Mar2023 and culture confirmed to be the study agent.

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Thank you

- To the Patients and Families
- To all open/enrolling sites
- Vice Chair: Kelly Bailey
- Katie Janeway
- Nicola Mason
- Amy Leblanc
- Leo, Brooke, Lars, Joanne (DSMC)

OS Therapies, Inc/Informa

- Paul Romness
- Robert Petit
- Jack Doll
- Vicki Brennan
- Ted Search
- Peter Burch

Children's Oncology Group

- Nicole Towcimak
- Jessica Mills
- Megan Johnson

George Clinical

- Jenn Gargione
- Julie Pickett