



LAKE CHAMPLAIN-LAKE GEORGE

**REGIONAL
PLANNING**

**310 Canada Street P.O. Box 765, Lake George, NY 12845
Telephone: (518) 668-5773**

NOTICE TO PROFESSIONALS

The undersigned shall receive sealed proposals for the provision of services to the Lake Champlain – Lake George Regional Planning Board (LCLGRPB) as follows:

LCLGRPB-11-25 – Adk Hub Workforce Housing Build-Out Analysis and Pre-Development Project

Date Issued: December 4, 2025

Section 1. Purpose:

The Lake Champlain – Lake George Regional Planning Board (LCLGRPB), the federally designated Economic Development District for Clinton, Essex, Hamilton, Warren, and Washington Counties of New York State, is seeking proposals from professional firms specializing in community buildout analyses, zoning, community outreach and site pre-development activities.

Section 2. Receipt of Proposals:

Proposers may submit Proposals by mail or electronic mail only, no later than **January 22, 2026 at 12:00 pm (EST)**. Proposals must be received by this deadline. Late proposals will not be considered.

Proposals delivered by mail require two (2) print copies of the proposal and a thumb drive with a digital version (single pdf document) of the proposal. Proposal must be received in a sealed envelope marked **“LCLGRPB-11-25 – Adk Hub Workforce Housing Build-Out Analysis and Pre-Development Project”** at the following mailing address:

Lake Champlain Lake George Regional Planning Board
Attn: Beth Gilles, Executive Director
PO Box 765
Lake George, NY 12845

Submissions received by electronic mail must be sent to info@lclgrpb.org and must have the full proposal, including all attachments, attached as a single PDF document with the email subject line **“Proposal Enclosed –LCLGRPB-11-25 – Adk Hub Workforce Housing Build-Out Analysis and Pre-Development Project Planning”**.

All proposals must be submitted in accordance with the terms and specifications.

NYS Certified Minority and Women Owned Business (MWBE), Disadvantaged Business Enterprise (DBE) and/or Service-Disabled Veteran-Owned Businesses (SDVOB) are encouraged to apply.

2.1 Questions regarding these specifications shall be directed to Beth Gilles, no later than 4:00 pm (EST) on December 18, 2025 by e-mailing info@lclgrpb.org. All questions must be submitted in writing. Responses to any questions will be provided by written addenda posted to the website at www.lclgrpb.org. LCLGRPB shall not be bound by any verbal responses.

2.2 LCLGRPB reserves the right to award the Contract under this RFP to the firm(s) whose proposal best serves the interest of LCLGRPB. LCLGRPB reserves the right to reject any and all proposals or part thereof, not considered to be in the best interest of LCLGRPB, and to waive any technical or formal defect in the proposals which is considered by LCLGRPB to be merely irregular, immaterial, or unsubstantial.

2.3 LCLGRPB reserves the right to require clarification at any time during the procurement process and/or require correction of arithmetic or other apparent errors for the purpose of assuring a full and complete understanding of a firm's proposal and/or determine a firm's compliance with the requirements of this RFP.

2.4 LCLGRPB reserves the right to interview any and all responding firms prior to final selection.

Section 3. Background:

The limited availability, sub-par quality, and lack of affordability of the Adirondack housing stock has become a widely recognized problem which is exacerbating our regional demographic and workforce challenges. This combination of issues is closely linked and compounding. To build our workforce, we must have adequate housing. To attract and retain young people to our communities, we must have adequate housing. To accommodate the needs of our aging population, we must have adequate housing.

Lake Champlain - Lake George Regional Planning Board's (LCLGRPB) 2023 *Building Balanced Communities for the North Country Regional Housing Assessment* indicates the region requires approximately 7,500 new housing units in the next decade to accommodate the current and upcoming workforce. The study also identified 19,000 housing interventions throughout the region, in addition to over 1,000 units in need of rehabilitation. This issue cannot be solved by one solution and must take a multitude of efforts to accomplish.

The LCLGRPB has been awarded a grant from the Northern Border Regional Commission to identify specific development sites within four communities in Essex County; Towns of Minerva, Newcomb, North Hudson and Schroon. Work on this project will include completion of buildout analyses within the hamlet and moderate intensity zones based on current zoning and Adirondack Park Agency regulations, determination of priority parcels for development, and completion of pre-development to make the housing sites "shovel ready" for a non-profit or for-profit developer.

Section 4. Scope of Services:

The goal of this program is to catalyze workforce housing development within four communities in Essex County. The scope of work includes the completion of build-out analyses within each community, identification of privately and publicly owned parcels for future workforce housing development, and completion of pre-development activities.

The buildout analyses in this project will focus on hamlet areas and moderate intensity zones, as defined by the Adirondack Park Agency, to show the development potential and assist the communities in understanding the types and density of development that are allowable under the current regulations. The analyses, along with community input, will also identify parcels within each town that have the greatest potential for infill development or increased density for housing development, and identify willing landowners for future site analysis.

Next, area plans for priority parcels are to be developed with buildout schemes to identify the typology and density of workforce housing that could be developed. This work can include, but is not limited to, zoning and

infrastructure assessments, identification of available utilities, preliminary site history development, and/or market studies to determine the typology and number of housing units that can be absorbed by the communities based on current demand. In addition, proposers should include at least two charettes in each community throughout the planning process to ensure the housing vision is in line with the community vision. This task will result in opportunity site schematics for the properties.

Lastly, site pre-development is to be completed on desirable properties. Pre-development tasks include, but are not limited to, permitting completion, phase one and two environmental reports, acquisition of easements, environmental testing, mitigation of existing structures, architectural renderings, site accessibility engineering, etc. This task will also include the creation of a development pro-forma and funding stack that includes public lenders willing to provide low interest loans for housing development within the Adirondacks, as well as identification of federal and state funding programs that can help with development costs. Lastly, marketing pieces for each site are to be developed.

It is up to the Proposer to identify how these deliverables will be achieved.

Budget and Timeline

The maximum budget for this project is \$254,400. All work must be completed by September 1, 2027. Firms that cannot meet the budget and timeline requirements should not respond.

4.1 When responding to this request, please send appropriate information, such as resumes, description of your company and experience which must include the following information:

- a. A comprehensive description of the approach that will be taken for development of the scope as outlined above.
- b. Description of firm/staff qualifications, including resumes and experience, and affirmation of staff capacity to complete the project within the identified timeline. Include education of each person that will work on the project and information concerning membership in professional organizations relevant to this project. At least one primary staff contact should be identified as a project manager for assigned work. The proposed project manager should be experienced with the buildout analysis process, site identification, and pre-development activities for housing.
- c. List of three references and description of related work completed.
- d. The dollar cost bid should contain all pricing information relative to performing all work as described in this request for proposals. The total all-inclusive maximum price to be bid is to contain all direct and indirect costs, including all out-of-pocket expenses.
- e. Applicants are encouraged to emphasize their relevant area of expertise within their respective proposals. Experience working on workforce housing planning in the Adirondack Park is a plus.
- f. LCLGRPB staff will be looking for relevant, unique, or diverse skills as part of the selection process.
- g. Demonstration of meaningful DBE/MBE/WBE/SDVOB participation will also yield a competitive advantage for applicants during the selection process.
- h. Cost and past performance will be considered, along with any other qualifications as determined by the LCLGRPB.

4.2 Safeguarding of Confidential Information - Any trade secrets or other data which the proposer does not wish disclosed to other than LCLGRPB representatives involved in RFP evaluation or contract administration will be kept confidential if identified as follows: Each page shall be identified in boldface at the top and bottom as

“Confidential”; and any section of the proposal, which is to remain confidential, should, in addition, be so marked in boldface on the title page of that section. Net cost information may not be deemed confidential.

4.3 License to Practice in New York State – As necessary, an affirmative statement should be included that the firm and all assigned key professional staff are properly licensed to practice in New York State.

4.4 Certification of DBE/MBE/WBE/SDVOB Status – An affirmative statement should be included from all firms claiming to meet DBE/MBE/WBE/SDVOB status that the entity is properly registered as such in New York State and provide copies of certificates as part of the bid package (certifications issued by New York City do not count towards MWBE goals).

Section 5. RFP General Terms and Conditions:

- A. The Consultant selected shall be required to furnish an engagement letter and/or execute an agreement. The terms of such engagement letter or agreement is subject to discussion and agreement but is anticipated to include the following:
1. Inclusion of a scope of services similar to that set forth in this Request for Proposals unless modified upon agreement by the LCLGRP.
 2. A provision shall be included, which allows LCLGRP to terminate services at any time upon thirty (30) days written notice or in the instance of non-performance as determined by LCLGRP, immediately.
 3. To the extent allowed under law or not prohibited by the contract, a credit of the fee payable shall be provided in the event that services are terminated and/or deleted.
 4. Insurance coverage satisfactory to the LCLGRP. In order to be considered for selection to provide the services requested by this RFP, the Contractor submitting the proposal must agree to not limit professional, general or other liability to an amount less than the limits of the required insurance coverage.
 5. A provision that requires all services performed under a contract awarded to the successful Contractor shall conform to prevailing professional or provider standards and to the requirements of the contract. Upon written notice of any defect from the LCLGRP, the Contractor will be expected to correct or re- perform any defective or nonconforming services at no cost to LCLGRP. If the Contractor fails or refuses to correct or re-perform, the LCLGRP shall be entitled to any remedy that may be provided for under the contract, and in any event, that may be authorized by law.
 6. A provision requiring the Contractor to defend, indemnify and hold harmless the LCLGRP with regard to any negligent acts or omissions or malfeasance with regard to the services performed or to be performed.

The successful Contractor shall indemnify and hold harmless the LCLGRP, their officers, directors, agents and employees from, and against any and all claims, notices of claim, demands or causes of action for injury or death to any person, including Contractor's employees or damage to property (including all costs and reasonable attorney's fees incurred in defending any claim, demand or cause of action), arising, directly or indirectly, out of or resulting from, the performance of the Contractor's work or any negligent or wrongful acts, errors, omissions,

negligence, incompetence, malfeasance and misfeasance by the Contractor, its employees, agents, materialmen, suppliers and/or subcontractors in the performance of its obligations under the agreement. The LCLGRPB and the Contractor shall notify each other in writing within thirty (30) days of any such claims or demands and shall cooperate in the defense of any such actions. If this indemnity, or any part thereof, is made void or otherwise impaired by any law controlling construction thereof, such indemnity shall be deemed to conform to the indemnity permitted by law, so as to require indemnification in whole or in part to the fullest extent permitted by law.

7. A provision requiring the Contractor to assume sole responsibility for completing services as requested and the Contractor may not assign the work to be performed without the consent of the LCLGRPB, which consent shall rest in the sole discretion of the LCLGRPB.
 8. A provision providing that the Contractor shall not be deemed an agent of the LCLGRPB for any purpose whatsoever.
 9. Inclusion of such other terms and conditions that may be required pursuant to Federal or State Law, Regulation and/or by the LCLGRPB Attorney.
- B. Please note that retention of services by reason of this RFP is not certain. The right to reject any and all proposals, solicit new or additional proposals or perform some or all of the services in-house or by using services available from professionals currently under contract are retained at all times, even after proposals have been reviewed and considered.
- C. Additional information, interviews and/or presentations may be required at the option of LCLGRPB. In no event shall the LCLGRPB, its Boards, Officers and employees be liable for any costs incurred for the preparation of and participation in the submission of responses to this request or subsequent interviews of persons or companies.
- D. It is the Contractor's sole responsibility to be familiar with and understand all terms and conditions regarding the RFP before the opening. Any questions should be submitted in writing to the contact person listed above and, if relevant, should cite the section and page number of the RFP document relating to the question raised by the Contractor. Answers to all questions of a substantive nature will be given to all Contractors as a formal addendum which will be annexed to and become part of the RFP. Please be advised that LCLGRPB shall not be bound by any verbal response by any LCLGRPB employee which is not confirmed in writing.
- E. LCLGRPB reserves the right to waive or modify minor irregularities in proposals received, utilize any and all ideas submitted in the proposals unless those ideas are covered by legal patent or proprietary rights and generally adapt any or all of the proposal in developing contract language. With regard to legal patent or proprietary rights, it shall be incumbent upon the party furnishing the proposal to notify the LCLGRPB of such.
- F. Nothing contained herein shall be deemed an offer by the LCLGRPB or be interpreted as making a representation or giving any assurances that a contract may be entered into or that LCLGRPB is in some fashion obligated. Should LCLGRPB be unsuccessful in negotiating a contract with the Contractor within the time frame acceptable to LCLGRPB, the LCLGRPB may begin contract negotiations with another Contractor responding to the RFP, reject all RFPs, re-advertise, or take such other action as may be deemed appropriate.

- G. Proposals will not be returned once submitted, and the LCLGRPB may dispose of the same in any manner allowed under law.
- H. Submission of the proposal to LCLGRPB shall be deemed consent for the proposals to be publicly identified, and information contained therein shall be deemed a matter of public record unless such information is designated by the party submitting the proposal as tradeseecrets, as affecting present or imminent contract awards, or other information allowed to be kept confidential pursuant to the Section 87 of the Public Officer's Law of the State of New York. In order to designate information as confidential, the Contractor submitting the proposal must highlight the information and inform the LCLGRPB of its desire to keep that information confidential in a letter transmitting the proposal. Whether the information designated by the Contractor is allowed to be kept confidential pursuant to New York State Laws shall be determined by the Director upon consultation with the LCLGRPB Attorney and notice of such determination shall be made to the Provider prior to the release of the information to afford the service provider an opportunity to appeal the decision.
- I. LCLGRPB reserves the right to make any investigation deemed necessary to determine Contractor qualifications and responsibility. The Contractor shall furnish to the LCLGRPB, upon request, all data pertinent thereto.
- J. Submission of a proposal constitutes agreement to all terms and conditions set forth herein. By submitting a signed proposal, the Contractor a) warrants that the contents of its proposals are accurate and binding upon the Contractor; b) represents that its staff is knowledgeable about the services to be provided as identified in this RFP; and c) warrants that it will use reasonable and appropriate efforts to provide such services in a professional and timely manner. In addition, the Contractor further warrants that it has become sufficiently acquainted with the conditions, facts, and circumstances relating to providing the requested services. Failure or omission of the Contractor to adequately acquaint itself with existing conditions, facts and circumstances shall not in any way relieve it of any obligations with respect to this RFP.
- K. To the extent required by Article 15 of the Executive Law (also known as the Human Rights Law) and all other State and Federal statutory and constitutional non-discrimination provisions, the Contractor will not discriminate against any employee or applicant for employment because of an individual's age, race, creed, color, national origin, gender identity or expression, sexual orientation, military status, sex, disability, predisposing genetic characteristics, marital status or domestic violence victim status. Furthermore, in accordance with Section 220-e of the Labor Law, if this is a contract for the construction, alteration or repair of any public building or public work or for the manufacture, sale or distribution of materials, equipment or supplies, and to the extent that this contract shall be performed within the State of New York, Contractor agrees that neither it nor its subcontractors shall, by reason of race, creed, color, disability, sex, or national origin: (a) discriminate in hiring against any New York State citizen who is qualified and available to perform the work; or (b) discriminate against or intimidate any employee hired for the performance of work under this contract. If this is a building service contract as defined in Section 230 of the Labor Law, then, in accordance with Section 239 thereof, Contractor agrees that neither it nor its subcontractors shall by reason of race, creed, color, national origin, age, sex or disability: (a) discriminate in hiring against any New York State citizen who is qualified and available to perform the work; or (b) discriminate against or intimidate any employee hired for the performance of work under this contract. Contractor is subject to fines of \$50 per person per day for any violation of Section 220-e or Section 239 as well as possible termination of this contract

and forfeiture of all moneys due hereunder for a second or subsequent violation.

- L. LCLGRPB reserves the right to allow all municipal and not-for-profit organizations authorized under the General Municipal Laws of the State of New York, to purchase any goods and/or services awarded as a result of this bid in accordance with the latest amendments to NYS GML 100 through 104. However, it is understood that the extension of such contracts are at the discretion of the vendor and the vendor is only bound to any contract between the LCLGRPB and the vendor.

CONSULTANT’S ACKNOWLEDGMENT

(If a Corporation)

STATE OF NEW YORK)

COUNTY OF)

On this _____ day of _____, 20____, before me personally came _____ of _____, the Corporation described in and which executed the within instrument, who being duly sworn by me did depose and say that the said _____ resides at and that he is the _____ of said Corporation and knows the Corporate seal of the said corporation; that the seal affixed to the within instrument is such corporate seal and that it was so affixed by order of the Board of Directors of said Corporation, and that he signed his name by like order.

Notary Public

CONSULTANT’S ACKNOWLEDGMENT

(If an Individual)

STATE OF NEW YORK)

COUNTY OF)

On this _____ day of _____, 20____, before me personally came _____ to me known, and known to me to be the same person described in and who executed within instrument and he duly acknowledged to me that he executed the same.

Notary Public

CONSULTANT’S ACKNOWLEDGMENT

(If a Co-Partnership)

STATE OF NEW YORK)

COUNTY OF)

On this_____day of_____, 20____, before me personally came
_____ to me known, and known to me to be a member of the firm of
_____ and the person described in, and who
executed the within instrument on behalf of said form, and he acknowledged to me that he executed the
same.

Notary Public

INSURANCE AND INDEMNIFICATION

1. The Consultant shall purchase and/or maintain insurance policies approved by the LCLGRPB, naming LCLGRPB as an additional insured on a primary, non-contributory basis (except for Workers' Compensation & Disability Coverage) from a New York State Licensed Insurer with an A.M. Best Rating of A- or better. The Consultant shall furnish such evidence of the policies (certificates and/or copies of the policy) as may be requested by the LCLGRPB. The Consultant shall also be required to indemnify LCLGRPB for any applicable deductibles. The policies shall contain a thirty (30) day notice of cancellation clause, shall provide "primary" coverage for LCLGRPB, its board, officers and/or employees and be of the following types and in the limits indicated:
 - A. Professional liability insurance in an amount not less than \$1,000,000.00 per claim and \$1,000,000.00 annual aggregate.
 - B. Comprehensive general liability insurance, containing a contractual liability endorsement in favor of the LCLGRPB for the liability imposed by paragraph 3 hereof, in an amount of not less than \$1,000,000.00 per occurrence single limit for bodily injury death, and property.
 - C. Automobile Liability Insurance coverage with limits of \$1,000,000 combined single limit for owned, hired and/or non-owned motor vehicles.
 - D. Workers' Compensation - statutory limits and as set forth below:
 - i. CE-200 – Certificate of Attestation of Exemption from NYS Workers' Compensation and/or Disability Benefits Coverage (*Effective 12/1/08, this form can be filled out electronically on the Workers' Compensation Board website (www.web.state.ny.us) under the heading of "Forms". Those businesses filing electronically can print a finished CE-200 immediately upon completion. Those businesses without access to a computer may obtain a paper application for CE-200 by writing or visiting any District Office of the Workers' Compensation Board, and may wait up to four (4) weeks before receiving the form. Once the applicant receives the CE-200, the applicant will submit same to the LCLGRPB*); OR
 - ii. C-105.2 – Certificate of Workers' Compensation Insurance (*the business' insurance carrier will send this form to the LCLGRPB upon request*); OR
 - iii. U-26.3 - Certificate of Workers' Compensation Insurance (*this form is used in lieu of C-105.2, when the insurance is obtained through the New York State Insurance Fund*); OR
 - iv. SI-12 - Certificate of Workers' Compensation Self-Insurance (*the business calls the Board's Self-Insurance Office at 518-402-0247 to obtain this form*); OR
 - v. GSI-105.2 – Certificate of Participation in Workers' Compensation Group Self-Insurance (*the business' Group Self-Insurance Administrator will send this form*

to the LCLGRPB upon request).

NOTE: ACORD forms are not acceptable proof of workers' compensation coverage.

E. Disability Insurance - statutory limits and as follows:

- i. CE-200 – Certificate of Attestation of Exemption from NYS Workers' Compensation and/or Disability Benefits Coverage (*Effective 12/1/08, this form can be filled out electronically on the Workers' Compensation Board website (www.web.state.ny.us) under the heading of "Forms". Those businesses filing electronically can print a finished CE-200 immediately upon completion. Those businesses without access to a computer may obtain a paper application for CE-200 by writing or visiting any District Office of the Workers' Compensation Board, and may wait up to four (4) weeks before receiving the form. Once the applicant receives the CE-200, the applicant will submit same to the LCLGRPB*); OR
 - ii. DB-120.1 - Certificate of Disability Benefits Insurance (*the business' insurance carrier will send to the LCLGRPB upon request*); OR
 - iii. DB-155 - Certificate of Disability Benefits Self-Insurance (*the business calls the Board's Self-Insurance Office at 518-402-0247 to obtain*).
2. The Consultant shall provide written proof of such insurance to the LCLGRPB at the time of the execution of this Agreement, and whenever such insurance coverages are renewed during the term of this agreement. All policies of insurance shall provide that the same may not be canceled except upon fourteen (14) days prior written notice to the LCLGRPB.
 3. The Consultant shall indemnify and hold harmless the LCLGRPB, its officers, directors, agents and employees from, and against any and all claims, notices of claim, demands or causes of action for injury or death to any person, including Consultant's employees or damage to property (including all costs and reasonable attorney's fees incurred in defending any claim, demand or cause of action), arising directly or indirectly, out of or resulting from, the performance of Consultant's work or any negligent or wrongful acts, errors, omissions, negligence, incompetence, malfeasance and misfeasance by the Consultant, its employees, agents, material men, suppliers and/or subcontractors in the performance of its obligations under this agreement. The LCLGRPB and the Consultant shall notify each other in writing within thirty (30) days of any such claims or demands and shall cooperate in the defense of any such actions. If this indemnity, or any part thereof, is made void or otherwise impaired by any law controlling construction thereof, such indemnity shall be deemed to conform to the indemnity permitted by law, so as to require indemnification in whole or in part to the fullest extent permitted by law.
 4. The Consultant shall promptly advise the LCLGRPB of all damages to property of the LCLGRPB or of others, or of injuries incurred by persons other than employees of the Consultant, in any manner relating, either directly or indirectly, to the performance of this Agreement.

PROPOSAL

PROPOSAL OF: _____
COMPANY NAME

TO: Beth Gilles, Director
Lake Champlain – Lake George Regional Planning Board
PO Box 765
Lake George, NY 12845

The undersigned, having carefully examined the specifications and having to their satisfaction ascertained all the facts concerning these specifications, herewith submits a proposal including all information requested in the specifications attached hereto.

Date: _____ Federal ID#: _____

Contractor Signature: _____

Contractor Name (Printed): _____

Name of Firm: _____

Business Address: _____

Phone No.: _____ Fax No.: _____

E-mail of contact person: _____

REFERENCE SHEET

All bidders will be required to complete this form providing three references of past performance. References should involve project and/or service situations of similar size and scope to this bid. References must have had dealings with the Bidder within the last thirty-six months. The LCLGRPB reserves the right to contact any or all of the references supplied for an evaluation of past performance in order to establish the responsibility of the Bidder before the actual award of the bid and/or contract. Completion of the reference form is required.

Indicate filing date on the line provided.

BIDDERS NAME: _____

REFERENCES NAME: _____

ADDRESS: _____

TELEPHONE: _____

CONTACT PERSON: _____

BIDDERS NAME: _____

REFERENCES NAME: _____

ADDRESS: _____

TELEPHONE: _____

CONTACT PERSON: _____

BIDDERS NAME: _____

REFERENCES NAME: _____

ADDRESS: _____

TELEPHONE: _____

CONTACT PERSON: _____

PROPOSAL

CORPORATE RESOLUTION

RESOLVED that _____
(Name)

be authorized to sign and submit the Bid, or Proposal, of this Corporation for the following project:

(Title of Project)

and to include in such Bid Proposal the Certificate as to non-collusion required by Section 103-d of the General Municipal Law as the act and deed of such corporation, and for any inaccuracies of misstatements in such certifies this Corporate Bidder shall be liable under the penalties of perjury.

The foregoing is a true and correct copy of the Resolution adopted by _____

Corporation at a meeting of its Board of Directors held on
the _____ Day of _____, 20____, and is still in force and effective on this _____ Day of
_____, 20_____.

SECRETARY
(Signature)

(SEAL OF CORPORATION)

CERTIFICATION OF COMPLIANCE WITH THE IRAN DIVESTMENT ACT

As a result of the Iran Divestment Act of 2012 (the "Act"), Chapter 1 of the 2012 Laws of New York, a new provision has been added to State Finance Law (SFL) § 165-a and New York General Municipal Law § 103-g, both effective April 12, 2012. Under the Act, the Commissioner of the Office of General Services (OGS) will be developing a list of "persons" who are engaged in "investment activities in Iran" (both are defined terms in the law) (the "Prohibited Entities List"). Pursuant to SFL § 165-a(3)(b), the initial list is expected to be issued no later than 120 days after the Act's effective date at which time it will be posted on the OGS website.

By submitting a bid in response to this solicitation or by assuming the responsibility of a Contract awarded hereunder, each Bidder/Contractor, any person signing on behalf of any Bidder/Contractor and any assignee or subcontractor and, in the case of a joint bid, each party thereto, certifies, under penalty of perjury, that once the Prohibited Entities List is posted on the OGS website, that to the best of its knowledge and belief, that each Bidder/Contractor and any subcontractor or assignee is not identified on the Prohibited Entities List created pursuant to SFL § 165-a(3)(b).

Additionally, Bidder/Contractor is advised that once the Prohibited Entities List is posted on the OGS Website, any Bidder/Contractor seeking to renew or extend a Contract or assume the responsibility of a Contract awarded in response to this solicitation must certify at the time the Contract is renewed, extended or assigned that it is not included on the Prohibited Entities List.

During the term of the Contract, should the LCLGRP receive information that a Bidder/Contractor is in violation of the above-referenced certification, the LCLGRP will offer the person or entity an opportunity to respond. If the person or entity fails to demonstrate that he/she/it has ceased engagement in the investment which is in violation of the Act within 90 days after the determination of such violation, then the LCLGRP shall take such action as may be appropriate including, but not limited to, imposing sanctions, seeking compliance, recovering damages or declaring the Bidder/Contractor in default.

The LCLGRP reserves the right to reject any bid or request for assignment for a Bidder/Contractor that appears on the Prohibited Entities List prior to the award of a contract and to pursue a responsibility review with respect to any Bidder/Contractor that is awarded a contract and subsequently appears on the Prohibited Entities List.

I, _____, being duly sworn, deposes and says that he/she is the
_____ of the
_____ Corporation and that neither the Bidder/Contractor nor
any proposed subcontractor is identified on the Prohibited Entities List.

SIGNED

SWORN to before me this

_____ day of _____

201____

Notary Public: _____

CERTIFICATION

Non-Collusive Certification required of all bidders under Section 103-d of the General Municipal Law as amended by Chapter 675 of the Laws of 1966, and further amended by Chapter 56 of the Laws of 2010, effective June 22, 2010.

- (a) By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid, each party thereto certifies, as to its own organization, under penalty of perjury, that to the best of knowledge and belief:
- (1) The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;
 - (2) Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and
 - (3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.
- (a-1) Notwithstanding the foregoing, the statement of non-collusion may be submitted electronically in accordance with the provisions of subdivision one of section one hundred three of the General Municipal Law.
- (b) A bid shall not be considered for award nor shall any award be made where (a) (1) (2) and (3) above have not been complied with; provided however, that if in any case the bidder cannot make the foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets forth, in detail, the reasons therefor. Where (a) (1) (2) and (3) above have not been complied with, the bid shall not be considered for award nor shall any award be made unless the District Manager of the political subdivision, public department, agency or official thereof to which the bid is made, or his designee, determines that such disclosure was not made for the purpose of restricting competition.
- (c) The person signing this bid or proposal certifies that he has fully informed himself regarding the accuracy of the statements contained in this certification, and under the penalties of perjury, affirms the truth thereof, such penalties being applicable to the bidder as well as to the person signing on its behalf;
- (d) That attached hereto (if a corporate bidder) is a certified copy of resolution authorizing the execution of this certificate by the signatory of this bid, or proposal, on behalf of the corporate bidder.

Individual Bidder

Co-Partnership

By _____
Partner

Corporation

By _____
President

Certification Under Executive Order No. 16
Prohibiting State Agencies and Authorities from Contracting with Businesses Conducting Business in Russia

Executive Order No. 16 provides that “all Affected State Entities are directed to refrain from entering into any new contract or renewing any existing contract with an entity conducting business operations in Russia.” The complete text of Executive Order No. 16 can be found here: <https://www.governor.ny.gov/executive-order/no-16-prohibiting-state-agencies-and-authorities-contracting-businesses-conducting>.

The Executive Order remains in effect while sanctions imposed by the federal government are in effect. Accordingly, vendors who may be excluded from award because of current business operations in Russia are nevertheless encouraged to respond to solicitations to preserve their contracting opportunities in case the sanctions are lifted during a solicitation or even after award in the case of some solicitations.

As defined in Executive Order No. 16, an “entity conducting business operations in Russia” means an institution or company, wherever located, conducting any commercial activity in Russia or transacting business with the Russian Government or with commercial entities headquartered in Russia or with their principal place of business in Russia in the form of contracting, sales, purchasing, investment, or any business partnership.

Is Vendor an entity conducting business operations in Russia, as defined above? Please answer by checking one of the following boxes:

- ☐ 1. No, Vendor does not conduct business operations in Russia within the meaning of Executive Order No. 16.
- ☐ 2. a. Yes, Vendor conducts business operations in Russia within the meaning of Executive Order No. 16 but has taken steps to wind down business operations in Russia or is in the process of winding down business operations in Russia (Please provide a detailed description of the wind down process and a schedule for completion).
- ☐ 2.b. Yes, Vendor conducts business operations in Russia within the meaning of Executive Order No. 16 but only to the extent necessary to provide vital health and safety services within Russia or to comply with federal law, regulations, executive orders, or directives (Please provide a detailed description of the services being provided or the relevant laws, regulations, etc.).
- ☐ 3. Yes, Vendor conducts business operations in Russia within the meaning of Executive Order No. 16.

The undersigned certifies under penalties of perjury that they are knowledgeable about the Vendor’s business and operations and that the answer provided herein is true to the best of their knowledge and belief.

Vendor Name (legal entity): _____

By (signature): _____

Name: _____

Title: _____

Date: _____