SmartSuite

Framework / Cybersecurity / Other

BACEN Resolution No. 4,893

BACEN Resolution No. 4,893 mandates cybersecurity risk management, incident response, and third-party controls for Brazil's regulated financial institutions.

What it is

Brazil BACEN Resolution No. 4,893 is a regulatory framework that establishes mandatory cybersecurity requirements for financial institutions and other entities regulated by the Central Bank of Brazil. Its primary goal is to strengthen the security posture of the financial sector by ensuring effective management of cybersecurity risks and protection of sensitive information.

Issued by the Central Bank of Brazil (Banco Central do Brasil), this regulation applies to banks, payment institutions, and other licensed organizations operating within Brazil's financial system. The resolution mandates comprehensive risk management practices, the implementation of security controls, incident response planning, and oversight of third-party service providers to ensure ongoing compliance and operational resilience.

At a glance

PUBLISHER Banco Central do Brasil	TYPE Regulation	VERSION Resolution No. 4,893
EFFECTIVE November 24, 2021	DOMAIN Cybersecurity	FAMILY Other
REGION Brazil	INDUSTRY Financial Services	ADOPTION MODEL Regulatory Compliance
IMPLEMENTATION COMPLEXITY High		

Source: https://www.bcb.gov.br/

Why it matters

- **Strengthen cybersecurity governance** Foster robust oversight, leadership, and accountability for cybersecurity in alignment with the Central Bank of Brazil's requirements.
- **Enhance regulatory alignment** Support conformity with both national and international standards, reducing the risk of regulatory penalties and compliance gaps.
- **Promote operational resilience** Ensure the continuity of financial services by requiring effective incident response plans and continual risk assessment processes.
- **Increase audit readiness** Facilitate efficient preparation for inspections and audits through documented policies, controls, and regular security monitoring.

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How SmartSuite supports BACEN Res. 4.893

Cybersecurity Policy and Governance Hub

Manage cybersecurity policies, roles, approvals, and ongoing governance reporting.

Cyber Risk Assessments and Mitigations

Run periodic risk assessments and track mitigations with documented decisions.

Provider Due Diligence and Monitoring

Track provider due diligence, contract requirements, and ongoing monitoring evidence.

Monitoring and Incident Response Workflows

Capture monitoring outputs, incident timelines, and post-incident improvements.

Control Evidence and Assurance Cadence

Centralize proof of control operation, testing, and remediation through closure.

Regulator-Ready Reporting

Report posture, gaps, evidence coverage, and improvement progress.

Common framework mappings

EU DORA | ISO 27001:2022 | ISO 27002:2022 | LGPD | NIST CSF 2.0 | NIST 800-53 Rev.5 | SOC 2 | SWIFT CSCF

Official resources

BACEN Resolution No. 4,893 Full Text

Provides the complete legal text detailing cybersecurity requirements for financial institutions in Brazil.

bcb.gov.br/en/regulation/bacen_resolution_4893_full_text

Banco Central do Brasil Cyber Guidance

Outlines guidelines for implementing cybersecurity measures in line with BACEN Resolution No. 4,893.

bcb.gov.br/en/regulation/cyber_guidance

BACEN Resolution Implementation Guide

Offers guidance on integrating BACEN Resolution No. 4,893 into existing security practices.

bcb.gov.br/en/regulation/implementation_guide

Cybersecurity Risk Management Framework

Defines the framework for managing cybersecurity risks under BACEN regulations.

bcb.gov.br/en/regulation/risk_management_framework

Frequently asked questions

What is Brazil BACEN Resolution No. 4,893 used for?

Brazil BACEN Resolution No. 4,893 establishes mandatory cybersecurity requirements for financial institutions regulated by the Central Bank of Brazil. Its primary purpose is to strengthen information security, manage cybersecurity risks, and ensure operational resilience in Brazil's financial sector.

Is compliance with BACEN Resolution No. 4,893 mandatory?

Yes, compliance with BACEN Resolution No. 4,893 is mandatory for all banks, payment institutions, and other financial entities licensed by the Central Bank of Brazil. Noncompliance may lead to regulatory penalties and increased supervisory scrutiny.

Who does BACEN Resolution No. 4,893 apply to?

BACEN Resolution No. 4,893 applies to institutions regulated by the Central Bank of Brazil, including banks, payment institutions, and other financial organizations operating within the Brazilian financial system. The regulation also extends to third-party service providers that process, store, or access critical information on behalf of regulated entities.

What are the key cybersecurity requirements in BACEN Resolution No. 4,893?

The framework requires organizations to implement risk management practices, security control frameworks, incident response procedures, ICT governance policies, and third-party oversight. Key artifacts include risk assessments, internal security policies, incident logs, and documented evidence of compliance activities.

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How should institutions implement the controls under BACEN Resolution No. 4,893?

Institutions should map BACEN control families to their internal security controls, conduct regular risk assessments, develop and maintain information security policies, and implement continuous monitoring and testing. Incident response plans, regulatory reporting workflows, and third-party management processes must also be established and maintained.

How does BACEN Resolution No. 4,893 relate to other cybersecurity frameworks?

BACEN Resolution No. 4,893 aligns with international standards such as ISO 27001 by promoting risk-based security management and proportionality based on organizational size and risk profile. Organizations often reference multiple frameworks to streamline controls and demonstrate comprehensive compliance.

What ongoing compliance actions are required for BACEN Resolution No. 4,893?

Ongoing compliance includes periodic audits, continuous risk assessments, regular control testing, training programs, and real-time incident monitoring. Institutions must maintain adequate documentation to demonstrate compliance and promptly report significant incidents to the Central Bank of Brazil.

How would SmartSuite support Brazil BACEN Resolution No. 4,893?

SmartSuite enables organizations to operationalize BACEN Resolution No. 4,893 by importing regulatory control libraries, managing risk and compliance registers, and aligning internal policies to regulatory clauses. It supports centralized evidence collection, real-time control tracking, automated workflows for remediation, audit readiness, and configurable dashboards for compliance reporting and regulatory submissions.

License included / downloadable: Yes BACEN Resolution No. 4,893 is published by the Central Bank of Brazil and is publicly available through official government regulatory publications.

Full framework page and mappings: smartsuite.com/frameworks/brazil-bacen-resolution-no-4893-cybersecurity-regulation