OLYMPIA TRUST COMPANY

Exempt Market Securities
General Information for Clients

Investment Account Services Division

Olympia is pleased to offer you the ability to hold exempt market securities within your self-directed account.

Account Eligibility

- Eligibility of shares or other securities of private entities as "qualified investments" in registered plans and TFSAs is subject to various requirements of the Canadian Income Tax Act (the "Act").
- Because of the complexity of the Act, such determinations are most often made by qualified tax professionals. Olympia is not in a position to make such determinations and does not provide any opinions on this matter.

General Information

- Olympia does not provide investment advice of any nature, and has no opinion regarding any investment, recommended by your advisor or otherwise, held within your account.
- It is your responsibility to ensure the investment is a qualified investment.
- You are expected to obtain independent financial, investment, tax, and legal advice to the extent that you deem necessary and appropriate in making an investment in your account.
- It is your responsibility to evaluate all investments that you elect to make in your account.
- Investors referred to Olympia by professional advisors should be aware that:
    - Your advisor is not sponsored by, or in any way affiliated with Olympia;
    - Any opinion expressed by your advisor on the quality or advisability of any investment is their own opinion;
    - The decision to invest is made by you and your advisor based on your own unique circumstances;
    - Any questions you may have regarding the investments in your account should be directed to your advisor.
- All investment issuers and exempt market securities must be approved by Olympia prior to investing through your account.

Olympia, at its sole discretion, reserves the right to refuse any security or investment issuer.

For a list and detailed information on the documents required for funding, please refer to *Exempt Market Securities - Required Documents for Funding*.

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