

TSP NEW REQUEST PACKET



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Trusted experts since 1985

THRIFT SAVINGS PLAN (TSP) NEW REQUEST PACKET

This document is meant to be a guide to help attorneys know what they must provide to retain our services. As always, we welcome phone calls. We are glad to discuss the specifics of your case and what information we would need from you to get started.

Contents of this packet:

- Checklist detailing what we need and how to submit it;
- Request form; and
- Explanation of our requesting attorney policy.

Retention Overview

To retain our services, you must provide the information detailed in the checklist. We will draft the order in accordance with the terms of the Separation Agreement or Judgment Entry. In the event that any details are not provided in that document, we will require that you provide instruction prior to preparing our draft. We will take instruction only from you as “Requesting Attorney”. Once the draft is prepared, we will respond to and discuss the meaning and impact of the provisions with opposing counsel only after verifying that they have received a copy of our draft from you. We will not make any changes to our draft without receiving instruction from you to do so.

Discovery

Performing discovery service to TSP has become increasingly difficult in recent months. It is our recommendation that the Participant provide account statements/balances as necessary, if possible. We may still be able to obtain account information from TSP, if the Participant completes the appropriate TSP authorization form found [here](#). However, please recognize that plan participants will always have the “path of least resistance” when obtaining account information.

Only attorneys may retain our services. Although our company employs attorneys, we do not represent clients or perform any services for non-lawyers. If you are a party in a divorce and wish to use our services, please have your attorney contact our office.

ATTORNEY CHECKLIST FOR TSP COURT ORDER DRAFTING

1 To retain our TSP drafting services, you must provide the following:

- ☐ **Request Form:** The TSP Request Form can be found on the next page of this packet or at our [website](#). If you would like to complete this request online rather than using this downloaded form, visit our [online portal](#).
- ☐ **Instructions:** If you are requesting our office to prepare an Order, please provide a copy of the Separation Agreement or Judgement Entry, or in the event that these do not yet exist, instructions on how to divide the benefit in a letter.

Prepayment: We require the full \$600 fee before we provide the draft Order, but a minimum of \$200 is required to be considered retained. You may send a check by mail or [pay online](#).

Please note, if we do not receive each of the three items listed above (including a minimum \$200 prepayment) within 45 days, we will consider ourselves not to have been retained and will return all original documents to you.

2 Once you gather the information above, submit the request.

Email: admin@qdrogroup.com

Mail: 377B Lear Rd, #286 Avon Lake, OH 44012

3 Once retained, we may also require additional documents. After reviewing your submission, we will advise you if we require anything further. In the event that you have any of the following items, please provide them with your initial submission. This may expedite the process.

- A quarterly or annual account statement
- Account balances on specific dates as may be relevant to your case
- Miscellaneous information/documentation pertinent to the case

Once we receive all the information we need, our turnaround time is 5-7 business days.

Once the Order is completed, it will be emailed to you.

If you need the Order sooner, we offer expedited services for additional fees.

TSP REQUEST FORM

A standard request is \$600 and includes: (1) judgment entry review, (2) plan document review, and (3) a draft Court Order.

Complex issues may require additional fees.

☐ Send a copy of the final work product to Opposing Counsel.

Requesting Attorney Information

Represents: ☐ Plan Participant ☐ Former Spouse

- Name _____
- Address, City, State, Zip _____
- Phone _____
- Email _____
- Assistant Email _____

Opposing Counsel Information

- Name _____
- Address, City, State, Zip _____
- Phone _____
- Email _____
- Assistant Email _____

Duration of the Marriage

Date of Marriage _____ Date of Divorce _____

In order to expedite the drafting process and to receive the Order within our 5-7 business day turnaround, please (1) complete this form, (2) provide a copy of the divorce decree/settlement agreement language, (3) send prepayment in full, and (4) provide the Participant's account statement/plan information.

Former Spouse Information

- Name _____ Gender: ☐ Male ☐ Female
- Address, City, State, Zip _____
- SSN _____ DOB _____
- Role ☐ Plaintiff ☐ Defendant

Participant Information

- Name _____ Gender: ☐ Male ☐ Female
- Address, City, State, Zip _____
- SSN _____ DOB _____
- Role ☐ Plaintiff ☐ Defendant

Participant Plan Information

- Employer Name _____ Plan Name Thrift Savings Plan
- Account Type: ☐ Civilian ☐ Uniformed Services ☐ Both
- Employment Status: ☐ Active ☐ Terminated on _____ ☐ Retired on _____
- Hire Date _____

Assignment Details

Complete this section only if the details are not included in the judgment entry. If both are silent, we will use our defaults.

- Assignment:

_____ % of Total Account Balance as of _____

_____ \$ _____ as of _____

For any other intended assignments, please contact us.

- Include gains/losses? ☐ Yes (default) ☐ No
- Loan Treatment: ☐ Include in total account balance ☐ Exclude from total account balance (default)

Please note that the Former Spouse's share must be assigned on a pro-rata basis.

RETENTION/REQUESTING ATTORNEY POLICY

We can only be retained by attorneys; we do not work directly with divorcing parties or other lay people. This policy sets forth the general framework that we use when working with attorneys.

General

- When we are working on a matter (e.g., a valuation of a retirement benefit, drafting an order to divide a retirement benefit, legal services, etc.), we only consider one attorney to be our client. We refer to our client as the "Requesting Attorney." As you will see, the Requesting Attorney is instrumental in our process. As such, if you are the Requesting Attorney, you will need to be engaged and responsive throughout the process.
- We only have a single Requesting Attorney because it simplifies the process and it helps to avoid conflicts of interest on our end. Both attorneys can, of course, cooperate on the matter. However, we will only treat a single attorney as the Requesting Attorney.
- Unless instructed otherwise, the Requesting Attorney will be:
 - Our main contact person regarding the matter;
 - The party we will take direction from;
 - The party we will contact for any necessary fees or information;
 - The party who will receive our work product; and
 - The only party that we will provide full details of our services.
- Information that we will provide to interested parties who are not the Requesting Attorney (i.e., the plan participant, the alternate payee, and/or opposing counsel):
 - A general outline of the service we are providing and how that service fits into the divorce case.
 - A general update of the matter (e.g., we have received the necessary documents and we are moving forward, we need more information from the plan, the order will be finalized soon, etc.).
 - Unless explicitly told otherwise by the Requesting Attorney, we will **NOT** provide interested parties with specific dates that we received information, the specific dates that we completed any work, or any discussions that have occurred with the Requesting Attorney.
- Documents we will provide to the attorney opposed to the Requesting Attorney ("Opposing Counsel"):
 - Publicly available documents such as executed court orders;
 - A copy of our draft order or some other document we produced—if we are aware Opposing Counsel has already received a copy;
 - Any document that the Requesting Attorney has expressly authorized us to provide to Opposing Counsel, including our final work product;
 - Except as listed above, no other documents.

Retention

- We consider the first attorney who sends us the minimum prepayment for retention (e.g., \$200 for a division order or \$400 for legal services) **AND** submits a completed request form to be the Requesting Attorney for the service sought.
- We may have more than one Requesting Attorney for a single divorce case. For example, the first spouse's attorney may hire us to draft an order dividing that spouse's 401(k) benefit. In that same case, the second spouse's attorney may hire our services to draft an order dividing that spouse's pension benefit. In this example, although the orders are being drafted for the same divorce case, each attorney is the "Requesting Attorney" for the order being drafted for their respective client's retirement benefit.
- For our services, the limitations on Requesting Attorney is as follow:

- Drafting a division order—one Requesting Attorney per **order**.
- Valuation Services—one Requesting Attorney per **spouse**.
- Legal Service—one Requesting Attorney per **case**.

When the Original Requesting Attorney Leaves the Case

- If we received a request to do work by one Requesting Attorney but we close the file without completing any work, we will treat any subsequent request as a new request (i.e., we will not use any information from the original request and the attorney for any party can be the new Requesting Attorney).
- If we completed some work on a case and the Requesting Attorney leaves the divorce case but his/her client has retained a new attorney, the new attorney can take over as the Requesting Attorney for our services.
 - We will need some sort of proof that the new attorney is representing the client of the original Requesting Attorney (e.g., a copy of the substitution of counsel/notice of appearance).
 - In this situation, we will provide the new Requesting Attorney with any reports, orders, or separation agreement language that we have completed. However, without express permission from the former Requesting Attorney, we will not disclose any communications (oral or written) we had with the original Requesting Attorney.
- If the original Requesting Attorney leaves the case and the Opposing Counsel wants to become the Requesting Attorney, we can only make that change if: 1) the original Requesting Attorney gives express consent to the Opposing Counsel becoming the new Requesting Attorney; or 2) the original Requesting Attorney informs us, in writing, that he/she no longer represents the party from the case in question and that party/party's new attorney informs us it is okay to work with Opposing Counsel.
 - Again, we will provide the new Requesting Attorney (the former Opposing Counsel) with any reports, orders, or separation agreement language that we have completed. However, without express permission from the former Requesting Attorney, we will not disclose any communications (oral or written) we had with the original Requesting Attorney.

Completed Work

- If Opposing Counsel asks us questions on any work that we have completed (e.g., orders, valuation reports, etc.), we will explain what the language in the document means and answer general questions. However, unless we have the express permission of the Requesting Attorney, we will not explain the decisions made or communications that led to the use of the language.
- If the Requesting Attorney asks for any changes to be made to an order or other documents, we will make the changes—depending on the changes, there may be an additional fee.
- If the Opposing Counsel requests changes to an order or other document we prepared, we will only make the changes if said changes are required by the court and/or the applicable retirement plan. After we make the changes, unless instructed otherwise, we will send the amended order or other document to both the Requesting Attorney and Opposing Counsel.
- If we need to use numbers from one of our evaluation reports to effectuate the parties' intent in a division order but the Requesting Attorney for the report and the order are different, we must have permission to use the numbers.
 - We will make an exception if the numbers have been incorporated in the final decree/separation agreement.