

J.L. Bainbridge & Co., Inc. Privacy Notice

Facts - What Does JL Bainbridge Financial Do with Your Personal Information?

Why do we collect/Share personal information?

All financial companies need to share customers' personal information to run their everyday business. In the sections below, we list the reasons why financial companies can share their customers' personal information, what information can be shared, the reasons JL Bainbridge chooses to share personal information, and whether you can limit this sharing. Please read this notice carefully to understand what we do.

The types of personal information we collect can include:

Social Security number	Investment experience
Income/Assets	Account transactions
Name	Address
Email	Phone Numbers
Account Numbers	Driver license or Passport Information
Date of Birth	

When you are no longer our customer, we will continue to hold your information and share it as described in this notice.

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information.

Reasons We Can Share Your Personal Information	Does JL Bainbridge share?	Can You Limit This Sharing?
For our everyday business purposes, such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, auditors, examiners, compliance reviews or report to credit bureaus	Yes	No
For our marketing purposes to offer our products and services to you	No	We don't share
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes—information about your transactions and experiences	No	We don't share
For our affiliates' everyday business purposes—information about your creditworthiness	No	We don't share
For non-affiliates to market to you—for clients with accounts established with JL Bainbridge representatives at banks or credit unions	No	We don't share

How does JL Bainbridge protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. Our online environment uses security technologies, including layered security and access controls over personal information. For further information, please visit our website or call us directly at 800-899-5171.

How does JL Bainbridge Financial collect my personal information?

We collect your personal information, for example, when you:

- Open an account
- Apply for insurance
- Seek advice about your investments
- Tell us about your Investment Advisory Portfolio
- Enter into an investment advisory account

We also collect your personal information from others such as credit bureaus, affiliates, or other companies.

Can I limit sharing?

Federal law gives you the right to limit only:

- Sharing for affiliates' everyday business purposes—information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.

What happens when I limit sharing for an account I hold jointly with someone else?

Your choices will apply to everyone on your account.

Definitions

Affiliates – Companies related by common ownership or control. They can be financial and non-financial companies.

Our affiliates include companies with a JL Bainbridge Financial name; financial companies such as The Private Trust Company, N.A; non-financial companies and others.

Non-Affiliates – Companies not related by common ownership or control. They can be financial and non-financial companies. Non-affiliates we may share information with include an independent representative's new brokerage or an investment advisory firm.

Joint marketing – A formal agreement between non-affiliates financial companies that together market financial products or services to you: This may include banks, credit unions or other financial institutions with which we have a joint marketing agreement.

Third Parties

Third Parties we share with for providing services that you have not entered into a contract with.

- Coulter Strategic Services (Compliance)
- Black Diamond (Portfolio Management, CRM)
- Redtail (CRM, Document Management)
- Tamarac (Portfolio Management)
- AMG Marketing (Marketing Services)
- RIA Workspace (Managed IT Services, Document Storage)
- Pontera (Held Away Assets)
- Ring Central (Phone Services)
- Theta Lake (Data Archive)
- Advisr/ Orion (Wealth Planning Software)
- Right Capital (Wealth Planning Software)
- Glass Lewis (Limited Proxy Management)

Other Important Information

Information for California, North Dakota, and Vermont Customers

In response to applicable state law, if the mailing address provided for your account is in California, North Dakota, or Vermont, we will automatically treat your account as if you do not want us to disclose your personal information to non-affiliated third parties for purposes of them marketing to you, except as permitted by the applicable state law.

For clients of JL Bainbridge also affiliated with a bank, credit union or other financial institution

If your account was opened in our offices located at a financial institution, such as a bank or credit union; and that financial institution decides to enter into a relationship with a new financial services provider, we may share your information with that new financial services provider so that your account can continue to be serviced.

Privacy Choices Notice – Opt-Out

You can request an opt-out option, however it is unlikely you would then be able to utilize the services of JL Bainbridge or the services provided would be restricted. If you wish to exercise your right to opt out, please contact us by mail at the following address or by calling our office at 800-899-5171 to discuss.

J. L. Bainbridge & Co., Inc.
Attention: Chief Compliance Officer
1582 Main Street
Sarasota, FL 34236

You may withdraw your opt-out choice at any time by contacting us by email or in writing at the address provided above.

If your primary address is in a state that requires your affirmative consent to share your personal information with the New Firm, then you must give your written consent before we will allow your financial advisor to take any of your personal information to that New Firm.

Please note that for accounts held jointly by two or more persons, the privacy choices made by any account holder apply to all joint holders with respect to the account.

Questions? Call us at 800-899-5171. Visit us online at: jlbainbridge.com