



**Ambalal Sarabhai Enterprises Limited**

Registered Office : Shantisadan, Mirzapur Road, Ahmedabad-380001.  
Telephone : +9179-25507671 / 25507073, Fax : +9179-25507483, E-mail : ase@sarabhai.co.in

Ref. No. :

Date :

Date: 16.10.2025

To,  
The Manager,  
BSE Limited  
Phiroz Jeejeeboy Towers,  
Dalal Street  
Mumbai – 400 001.  
**Scrip Code - 500009**

**Subject: Certificate under Regulation 76 of SEBI (Depositories and Participants) Regulation, 2018 for the quarter ended 30<sup>th</sup> September, 2025**

Dear Sir/Madam,

In relation to caption cited above, please find enclosed herewith Certificate from RPSS & Co., Company Secretaries in Practice, in Compliance with Regulation 76 of SEBI (Depositories and participants) Regulation, 2018 for the quarter ended on 30<sup>th</sup> September, 2025.

Kindly take the same on your record and oblige.

Thanking you,

Yours Faithfully,

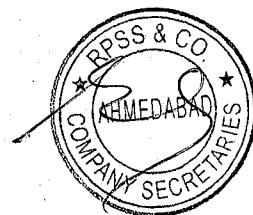
**For, Ambalal Sarabhai Enterprises Limited**

**Ms. Disha Punjani  
(Company Secretary & Compliance Officer)  
F13158**

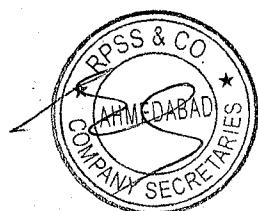
## RECONCILIATION OF SHARE CAPITAL AUDIT REPORT

We have examined the records/documents maintained by **M/s. MCS SHARE TRANSFR AGENT LIMITED**, Registrar for electronic connectivity and physical mode of **M/s. AMBALAL SARABHAI ENTERPRISES LIMITED** and (hereinafter referred to as the "Company") for issuing this Certificate. In my opinion and to the best of my information and according to explanation given to me and based on such verification as considered necessary, I hereby give report as under:

| SR. NO. | PARTICULARS   | DETAILS          |  |
|---------|---|------------------|--|
| 1.      | For Quarter Ended on  | :                | 30/09/2025   |
| 2.      | ISIN  | :                | INE432A01017   |
| 3.      | Face Value  | :                | Rs. 10/-   |
| 4.      | Name of The Company   | :                | <b>AMBALAL SARABHAI ENTERPRISES LIMITED</b>              |
| 5.      | Registered Office Address   | :                | Shanti Sadan, Mirzapur Road, Ahmedabad, Gujarat, 380 001 |
| 6.      | Correspondence Address  | :                | Shanti Sadan, Mirzapur Road, Ahmedabad, Gujarat, 380 001 |
| 7.      | Telephone & Fax No.   | :                | Phone: 079-2550 7671<br>Fax: 079 - 25507483              |
| 8.      | Email Address   | :                | dpunjani@ase.life  |
| 9.      | Name of Stock Exchanges where the Company's Securities are listed | :                | BSE Limited (BSE)  |
|         |   | Number of Shares | % of Total Issued Capital                                |
| 10.     | Issued Capital  | :                | 7,66,33,296 100.00                                       |
| 11.     | Listed Capital (Exchange wise)<br>BSE                             | :                | 7,66,33,296 100.00                                       |
| 12.     | Held in dematerialised Form in CDSL                               | :                | 1,69,75,602 22.15  |
| 13.     | Held in dematerialised Form in NSDL                               | :                | 4,73,51,367 61.79  |
| 14.     | Physical  | :                | 1,23,06,327 16.06  |
| 15.     | Total No. of Shares (12+13+14)                                    | :                | 7,66,33,296 100.00                                       |



| 16. | Reasons for difference if any between (10&11),(10&15), (11&15)  |                 |                                  | : N.A  |                           |                           |  |
|-----|---|-----------------|----------------------------------|--|---------------------------|---------------------------|--|
| 17. | Certifying the details of changes in Share Capital during the quarter under consideration as per table below :                                      |                 |                                  |  |                           |                           |  |
|     | Particulars **  | No. of Shares   | Applied/Not Applied/ for listing | Listed on Stock Exchange (Specified Names)   | Whether intimated to CDSL | Whether intimated to NSDL | In principal approval pending for Stock Exchange (Specify Names) |
|     | <b>NOT APPLICABLE</b>   |                 |                                  |  |                           |                           |  |
|     | ** Right, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to specify).               |                 |                                  |  |                           |                           |  |
| 18. | Register of Members is updated (Yes/No)<br>If not, updated up to which date   |                 |                                  | : YES  |                           |                           |  |
| 19. | Reference of previous quarter with regards to excess dematerialised shares, if any.   |                 |                                  | : N.A.   |                           |                           |  |
| 20. | Has the company resolved the matter mentioned in point no. 19 above in the current quarter? If not, reason why?                                     |                 |                                  | : N.A.   |                           |                           |  |
| 21. | Mentioned the total no. of requests, if any confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay |                 |                                  |  |                           |                           |  |
|     | Total No. of Demat Requests   | No. of Requests | No. of Shares                    | Reasons for delay  |                           |                           |  |
|     | Confirmed after 21 days   | NIL             | NIL                              | NIL  |                           |                           |  |
|     | Pending for more than 21 days   | NIL             | NIL                              | NIL  |                           |                           |  |
| 22. | Name, Telephone & Fax No. of Compliance Officer of the Company  |                 |                                  | : Ms. Disha Mahesh Punjani<br>Company Secretary & Compliance Officer<br>Phone: 079-2550 7671<br>Fax No. : -  |                           |                           |  |
| 23. | Name, Address, Tel. & Fax No., Registration No. of the Auditor  |                 |                                  | : CS Rajesh Parekh<br>Partner<br>COP No: 2939<br>RPSS & Co., Company Secretaries<br>R-22, Avani Raw House, Nr. Mansi Char Rasta,<br>Satellite, Ahmedabad-380015<br>Phone: (O) 26440731<br>Mobile: 98797 92252  |                           |                           |  |
| 24. | Appointment of Common Agency for Share Registry Work  |                 |                                  | : MCS Share Transfer Agent Limited<br>1st Floor, Neelam Apartment,<br>88, Sampatrao Colony,<br>Above Chhapanbhog Sweet,<br>Alkapuri, Vadodara - 390 007<br>Ph: - 0265 - 2314757 / 2350490<br>Fax: - - 0265 - 2341639<br>Email: - mcsldbaroda@gmail.com<br>Website: - www.mcsregistrars.com |                           |                           |  |



|     |  |       |
|-----|--|-------|
| 25. | Any other details that the auditor may like to provide (e.g. BIFR Company, Delisting from Stock Exchange, Company changed its name etc.) | : NIL |
|-----|--|-------|

**FOR RPSS & CO.  
COMPANY SECRETARIES**

RAJESH PAREKH

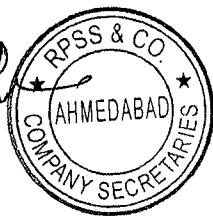
PARTNER

MEM. NO: 8073

COP NO: 2939

P/R NO. 3804/2023

UDIN: A008073G001531969



**DATE: 11-10-2025**

**PLACE: AHMEDABAD**