

Improve Your SEC Reporting

Strategies to mitigate risk and
ensure accuracy



The Challenge

Tight SEC filing deadlines, complex disclosure requirements, and limited resources often create high-pressure reporting environments. Without strong controls and efficient workflows, reporting cycles can become reactive — increasing the risk of delays, errors, restatements, and audit issues.

What Connor Group Does Differently

Connor Group delivers end-to-end SEC reporting expertise with optimized workflows, templates, and embedded controls. Whether building filings from the ground up or enhancing existing processes, we provide the structure, accuracy, and speed needed to consistently and confidently meet deadlines.

We employ a phased strategy to address inefficiencies, develop long-term solutions, and align workflows to SEC regulations.

Connor Group will:

- **Assess and Identify Gaps**
Evaluate financial statement preparation, disclosures, controls, and team coordination.
- **Streamline Reporting Cycles**
Optimize timelines, role clarity, and task accountability.
- **Centralize Documentation**
Gain easy auditor access and version control.
- **Augment Resources**
Bridge capacity gaps during peak reporting periods.
- **Embed Strong Controls**
Incorporate processes around drafting, reviewing, and approving.



Key Deliverables and Activities

Clients gain access to tailored solutions designed to enhance SEC reporting efficiency, accuracy, and compliance.

- **Compliance Ready Financial Statements**
SEC formatted, accurate statements with disclosures and footnotes meeting current regulatory standards.
- **Annual and Quarterly Filing Templates**
Consistent, ready to use 10 K/10 Q structures with embedded checklists and guidance for repeated execution.
- **Centralized Documentation Repository**
Organized schedules, reconciliations, and supporting workpapers are stored for efficient review.
- **Optimized SEC Reporting Workflows**
Redesigned task assignments, review cycles, and timelines to allow for more accurate, on-time filings.
- **Robust Internal Controls Framework**
Documented and implemented procedures will safeguard compliance and reduce filing error risk.
- **Disclosure Advisory Support**
Guidance on MD&A narratives, footnotes, and non standard disclosures is provided to address complex reporting areas.
- **Continuous Improvement Recommendations**
Ongoing recommendations are available for compliance enhancement and operational scalability.

Connor Group Delivers

- **Faster Filings**

Achieve 10 K/10 Q filing readiness days ahead of deadline through workflow redesign and template development.

- **Improved Compliance**

Reduce audit adjustments by enhancing review and approval controls.

- **Greater Accuracy and Transparency**

Minimize restatement risk through comprehensive documentation and review procedures.

- **Enhanced Resource Efficiency**

Free internal finance staff for strategic priorities by leveraging Connor Group augmentation during peak filing periods.

- **Real Time Reporting Visibility**

Improved management oversight with status dashboards and centralized documentation access.



Why Connor Group?

We bring deep **SEC reporting expertise**, proven **best practice framework**, and a **scalable, practical approach** to help you meet deadlines, stay compliant, and enhance transparency. Our solutions are tailored, hands on, and built for **speed, control, and consistency** in every filing cycle.

Next Steps

Let's address your SEC reporting challenges together. Contact Connor Group for a complimentary consultation.

About Connor Group

Connor Group is built for breakthroughs.

We're a professional services firm focused on the most critical opportunities and challenges facing ambitious companies. We're leaders in accounting, compliance, M&A, IPOs, and digital solutions, including strategy, selection, implementation, automation, analytics, and AI. Serving the offices of the CFO, CIO/CTO, and CHRO, we're trusted by over 2,000 of the most exciting brands on earth to deliver results that last.

Founded in 2006, Connor Group quickly became the leading IPO advisory firm. Helping over 250 companies go public built our pragmatic, delivery-oriented approach and \$3.3 trillion in client valuation. It also created elite-level pattern recognition and listening skills to identify problems quickly and solve them efficiently.

The majority of Connor Group has industry experience. We know how to get things done. Our expert teams are fluent in function, technology, and world-class communication. Unlike others, we're independently owned and fully in control of how we deliver. We never compromise on quality.

Neither should you.

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