Delta Capita

Report Hub ▸

Delta Capita
Report Hub Capabilities

Pre-Reporting

Pre reporting offers capabilities to help you prepare your transaction data for submission to a TR, ARM or Central Bank. These include:

Data Translation & Enrichment
Support any data format, standardizing it to meet regulatory requirements for TR & ARM reporting. Enrich from industry reference data such as UPI/ISIN/CFI.

Reporting Eligibility
Use configurable or predefined rules to determine if a transaction is reportable under specific regimes. A jurisdictional dashboard highlights reportable and ineligible trades by regime.

Configurable Business Rules & Pre-Validation Engine
Supports over 100 configurable business rules to streamline onboarding and solve some of the most complex challenges of trade reporting. Validate messages prior to submission in both UAT and production environments

Data Lineage & Audit
View and export an audit log of all activities

UTI Exchange
Automate UTI sharing as required by most major derivatives regimes.

Message Submission Automation
Message submission from Report Hub to a TR, ARM in the required format.

UTI Generation
Automatically generate a Unique Trade Identifier (UTI) for trades that do not have a UTI and the reporting party is the UTI generator.

Exception Management
Manage the identification and sorting of rejected trade submissions, including a view of the UTIs, and submitted trade data through easy to use dashboards, to easily identify rejections and facilitate resubmissions.

Delegated Reporting Support
Submit trades on behalf of a reporting counterparty including support for dual-sided submissions. We also suppress reporting if done by a counterparty or exchange (i.e. SEF)

Post-Reporting

Post reporting assurance offers reconciliation and data insights capabilities to help you check for reporting accuracy and completeness, after trades have been submitted to a TR or ARM:

Accuracy & Anomaly Checks
Enhance your accuracy controls with data quality checks against specific validation criteria outside of any regulatory validations already applied by TRs/ARMs.

Configurable Insights
Tailor insights to fit your individual needs, including threshold adjustment, through the intuitive user interface.

Timeliness Checks
Gain insight into your timeliness controls and view trends of repeatable issues with an aggregated historical record of whether reports have been submitted on time as per the regulatory requirements
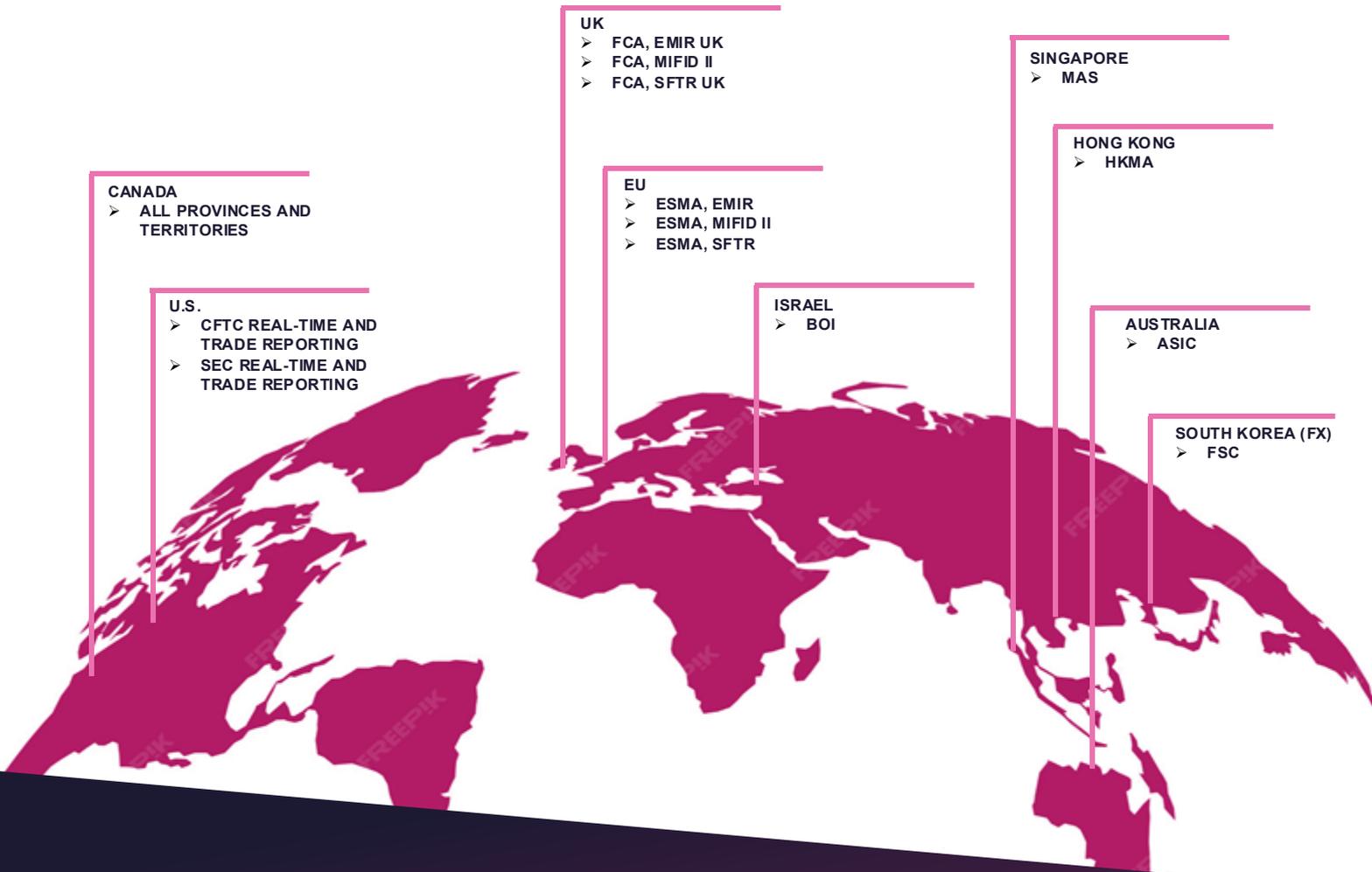
Smart Reconciliations with Ticketing Workflow
Reconcile your books and records against reports provided by TRs, ARMs, or regulators. Ticketing allows clients to assign and manage the resolution of breaks.

Jurisdictional Coverage

Report Hub covers a wide range of jurisdictions and regulatory regimes.

UK
- FCA, EMIR UK
- FCA, MIFID II
- FCA, SFTR UK

SINGAPORE
- MAS

HONG KONG
- HKMA

CANADA
- ALL PROVINCES AND TERRITORIES

EU
- ESMA, EMIR
- ESMA, MIFID II
- ESMA, SFTR

U.S.
- CFTC REAL-TIME AND TRADE REPORTING
- SEC REAL-TIME AND TRADE REPORTING

ISRAEL
- BOI

AUSTRALIA
- ASIC

SOUTH KOREA (FX)
- FSC

The DC advantage

Regulatory SMEs and Domain Specialists

We have a large team of regulatory and compliance experts, industry SMEs as well as access to legal opinion who help our clients understand and respond to the changing regulatory landscape.

Thought Leadership

Delta Capita SMEs actively participate in regulatory conferences, working groups, round tables, and industry leading regulatory publications, as well as having strong connections to regulatory bodies, to provide clients updated regulatory insights. We are also members of AFME.

Industry Working Groups

DC organise various working groups with our client community and industry SMEs to discuss and navigate regulatory reporting challenges on a quarterly basis.