A winning relationship.

You, your investment advisor, and Schwab.

The right guidance changes everything. As an investor, you deserve an independent Registered Investment Advisor (RIA) who can give you objective, sophisticated financial expertise.



Custody confidently with Schwab.

Selecting an investment advisor is a big decision. Having the right brokerage firm to custody your assets is just as vital.

Schwab isn't just committed to meeting our industry's rigorous regulatory requirements but also to upholding our stringent business standards. Each are designed to help safeguard your assets and ensure our firm's financial health and stability.

35 years
of experience

Nearly
15,000
investment advisors served[1]

Your investment vision demands an industry leader.

When you and your investment advisor choose Schwab as a custodian, you're adding decades of experience and proficiency to your team. We'll provide data-driven insight that your RIA can use to serve your unique goals.

8M+
accounts[2]

$828B
in ultra-high-net-worth assets custodied with Schwab Advisor Services™[3]

Technology, products, and solutions built for you.

- Your investment advisor has access to sophisticated technology, mobile platforms, and specialized teams and consultants—all working to help you stay connected, up to date, and protected.
- Schwab provides a broad range of investment solutions, such as equities, exchange-traded funds, fixed income, and more. Every trade is handled by a powerful trading platform and teams ready to support you.
- You'll experience wealth management products and services created to address unique and complex goals, including custom, detailed reports with online and mobile account access to guide you through your portfolio's progress.

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The peace of mind you deserve.

When you work with an investment advisor who chooses Schwab, you can rest assured we follow stringent internal practices and business standards designed to help keep your assets safe.

These include:

- The Schwab Security Guarantee
- SIPC coverage for assets custodied with Charles Schwab & Co., Inc.
- Additional Lloyd's of London insurance coverage

Lock arms with long-term financial health.

We strive to remain a strong financial resource through business practices that emphasize:

- **Prudent expense management.** We keep a close eye on operational expenses to cautiously manage our company. In a challenging market environment, we make quarterly adjustments to expenses as needed.
- **Diversified revenue mix.** By focusing on fee-related services and balance-related incomes rather than on trading commissions, we strive to stabilize our revenues during fluctuating market conditions.

Privacy and security protection—today and in the future



Schwab's commitment to privacy

Recognizing that our most important asset is our relationship with you and your investment advisor, we want to ensure you have a clear understanding of how Schwab collects, uses, and shares your personal information. Details are explained at schwab.com/legal/privacy.



The Schwab Security Guarantee

We want you and your investment advisor to have the highest level of confidence that Schwab protects your personal and financial information. Schwab will cover losses in any of your Schwab accounts due to unauthorized activity. Please read the full guarantee and its terms at schwab.com/schwabsafe/security-guarantee.

We're here to serve you.

Consult your investment advisor about all our services and solutions available to help meet your needs.

For clients of independent investment advisors.

1. Charles Schwab & Co., Inc., December 31, 2023.
2. Charles Schwab & Co., Inc., June 30, 2023.
3. Charles Schwab & Co., Inc., December 31, 2023. Ultra-high-net-worth investor assets are defined as households with investable assets greater than $20 million.

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