

Coverdell Education Savings Account Transfer Form



Regular Mail:
Aristotle Funds
c/o U.S. Bank Global Fund Services
PO Box 219231
Kansas City, MO 64121-9231

Overnight Delivery:
Aristotle Funds
c/o U.S. Bank Global Fund Services
801 Pennsylvania Ave Suite 219231
Kansas City, MO 64105-1307

For additional information, please call toll-free 844-ARISTTL (844-274-7885) or visit us on the web at www.aristotlefunds.com.

Please use this form to transfer assets from an existing Education Savings Account to a(n) Aristotle Funds Education Savings Account. If you are opening a new Education Savings Account for this transfer, please complete an Education Savings Account Application. Once completed, mail this application to the address above.

1 Name, Address and Consent of Person Who Controls the Current Account

PARENT/GUARDIAN FIRST NAME	M.I.	LAST NAME	SOCIAL SECURITY NUMBER
DATE OF BIRTH (MM/DD/YYYY)	MAILING ADDRESS		CITY / STATE / ZIP
EMAIL ADDRESS	DAYTIME PHONE NUMBER		EVENING PHONE NUMBER

☐ Mother ☐ Father ☐ Guardian*

*If "guardian", submit proof of guardianship.

2 Name of Student (For whom the education savings account is benefiting)

FIRST NAME OF STUDENT	M.I.	LAST NAME	SOCIAL SECURITY NUMBER
DATE OF BIRTH (MM/DD/YYYY)	MAILING ADDRESS		CITY / STATE / ZIP
EMAIL ADDRESS	DAYTIME PHONE NUMBER		EVENING PHONE NUMBER

3 Instruction to Current Education Savings Account Custodian or Trustee

CURRENT ACCOUNT NUMBER	NAME OF CUSTODIAN/TRUSTEE
MAILING ADDRESS	CITY / STATE / ZIP

Please transfer assets from the above account to U.S. Bank, NA. Transfer should be in cash according to the following instructions:

- ☐ Transfer the total amount in this Account.
☐ Transfer \$_____ or _____ shares and retain the balance.

Make check payable to: Aristotle Funds FBO

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BENEFITING STUDENT'S NAME

4 Fund Selection

Please indicate the amount to be invested in each fund

Check one box and complete the necessary information:

- ☐ Invest the transferred amount in accordance with the investment instructions currently in effect for the Student's Aristotle Funds Education Savings Account.

If such an account is already open, please provide the account number:

- ☐ Invest the transferred amount to my Education Savings Account as follows:

Fund Selection

A list of available fund names, fund numbers and investment amounts can be found on the last page of this application.

Investment Amount

FUND NAME AND FUND NUMBER

FUND NAME AND FUND NUMBER

FUND NAME AND FUND NUMBER

The undersigned acknowledges having sole responsibility for the foregoing investment choices and having received a current prospectus for each Fund selected. Please read the prospectus(es) for Aristotle Funds selected, including the privacy notice. The undersigned understands that the requirements for a valid transfer between Education Savings Accounts are complex and acknowledges having responsibility for complying with all requirements and for the tax results of any such transfer.

5 Signature of Student, Parent or Guardian

X

SIGNATURE OF STUDENT / PARENT / GUARDIAN (PLEASE CIRCLE ONE)

DATE (MM/DD/YYYY)

SPECIAL NOTE: If Student is a minor under the law of Student's state of residence, the parent or guardian must execute this Education Savings Account Transfer of Assets Form.

SIGNATURE GUARANTEE (only if required by current custodian or trustee): A signature guarantee may be obtained from a bank, a member of a national securities exchange, savings and loan associations, credit union, broker, or other acceptable financial institutions. Please note that a Notary Public stamp or seal is unacceptable.

SIGNATURE GUARANTEE

6 Acceptance by New Custodian

To be completed by U.S. Bank, NA.

U.S. Bank, NA. agrees to accept transfer of the above amount for deposit to the Student's U.S. Bank, NA. Coverdell Education Savings Custodial Account, and requests the liquidation and transfer of assets as indicated above.

Appointment as Custodian accepted:
U.S. BANK, NA



Gregory Farley
Senior Vice President-Mutual Fund Operations

Aristotle Funds - Fund List



ARISTOTLE
FUNDS

Minimum Initial Investment

Class A: \$1,000

Class C: \$1,000

Class I: \$500,000

Class R6: \$1,000,000

FUND	TICKER	CLASS	FUND NUMBER
Aristotle Fixed Income Funds			
Aristotle Ultra Short Income Fund	PLUAX	Class A	8160
	PLUDX	Class I-2	8148
	PLUIX	Class I	8147
Aristotle Short Duration Income Fund	PLADX	Class A	8130
	PLCSX	Class C	8131
	PLDSX	Class I-2	8133
	PLSDX	Class I	8132
	PLEDX	Class I-2	8105
Aristotle Core Bond Fund	PLEBX	Class I	8104
	PLIAX	Class A	8100
Aristotle Core Income Fund	PLNCX	Class C	8101
	PLIDX	Class I-2	8103
	PLIIX	Class I	8102
	PLFLX	Class A	8106
Aristotle Floating Rate Income Fund	PLBCX	Class C	8107
	PLFDX	Class I-2	8109
	PLFRX	Class I	8108
	PLSTX	Class A	8143
Aristotle Strategic Income Fund	PLCNX	Class C	8144
	PLSFX	Class I-2	8146
	PLSRX	Class I	8145
	PLAHX	Class A	8111
Aristotle High Yield Bond Fund	PLCHX	Class C	8112
	PLHYX	Class I-2	8114
	PLHIX	Class I	8113
Aristotle Equity Fund			
Aristotle Core Equity Fund	ARALX	Class A	8164
	AILLX	Class I-2	8165
	ARILX	Class I	8161
Aristotle Value Equity Fund	ARAQX	Class A	8171
	AIQQX	Class I-2	8173
	ARIQX	Class I	8172
	ARRQX	Class R6	5888
Aristotle Growth Equity Fund	ARAGX	Class A	8166
	AIGGX	Class I-2	8167
	ARIGX	Class I	8110
Aristotle Small/Mid Cap Equity Fund	ARAHX	Class A	8134
	AISHX	Class C	8135
	AIHHX	Class I-2	8137
	ARIHX	Class I	8136
Aristotle Small Cap Equity Fund	ARABX	Class A	8138
	AISBX	Class C	8139
	AIBBX	Class I-2	8141
	AISSX	Class I-3	8170
	ARIBX	Class I	8163
	ARRBX	Class R6	8142
Aristotle/Saul Global Equity Fund	AIOOX	Class I-2	8176
Aristotle International Equity Fund	ARAFX	Class A	8168
	AIFFX	Class I-2	8169
	ARIFX	Class I	8162



Minimum Initial Investment

Class A: \$1,000
 Class C: \$1,000
 Class I: \$500,000

FUND	TICKER	CLASS	FUND NUMBER
Aristotle Multi-Asset Funds			
Aristotle Portfolio Optimization Conservative Fund	POAAX	Class A	8115
	POACX	Class C	8116
	PLCDX	Class I-2	8117
Aristotle Portfolio Optimization Moderate Conservative Fund	POBAX	Class A	8124
	POBCX	Class C	8125
	PMCDX	Class I-2	8126
Aristotle Portfolio Optimization Moderate Fund	POCAX	Class A	8121
	POMCX	Class C	8122
	POMDX	Class I-2	8123
Aristotle Portfolio Optimization Growth Fund	PODAX	Class A	8118
	PODCX	Class C	8119
	PMADX	Class I-2	8120
Aristotle Portfolio Optimization Aggressive Growth Fund	POEAX	Class A	8127
	POCEX	Class C	8128
	POEDX	Class I-2	8129