

IEX Group, Inc.

- Name: IEX Group, Inc.
- Address: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- Form of organization: Corporation
- Name of state, statute under which organized and date of incorporation: Delaware, Delaware General Corporation Law (8 Del. C. § 1-101, et seq.), on June 27, 2012
- Brief description of nature and extent of affiliation: Investors' Exchange LLC is a wholly-owned subsidiary of IEX Group, Inc.
- Brief description of business or functions: IEX Group, Inc. is a holding company which holds 100% of the equity of IEX Services, LLC, Investors' Exchange LLC, swXtch.io LLC, IEX Ventures LLC, and IEX DAP Group LLC.
- Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:

Officers of IEX Group, Inc.

The following persons are officers of IEX Group, Inc.:

Name	Title
Bradley T. Katsuyama	Chief Executive Officer
Bryan Harkins	President
Ronan Ryan	Chief Operating Officer
Craig Resnick	Chief Financial Officer
Andrea Ledford	Chief People Officer
Rachel Barnett	Chief Legal Officer
Robert Park	Chief Technology Officer
Florian Seifferer	Chief Strategy Officer

Directors of IEX Group, Inc.

The following persons are directors of IEX Group, Inc.:

Directors
Bradley T. Katsuyama
Ronan Ryan
Justin LaFayette
Bridget van Kralingen
Thomas Birch
Robert Park
Michael Lynton

Compensation Committee of IEX Group, Inc.

The following persons are members of the Compensation Committee of IEX Group, Inc.:

Compensation Committee
Thomas Birtch
Michael Lynton
Justin Lafayette

IEX Services LLC

- Name: IEX Services LLC
- Address: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- Form of organization: Limited Liability Company
- Name of state, statute under which organized and date of incorporation: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on January 22, 2013
- Brief description of nature and extent of affiliation: IEX Services LLC is a wholly-owned subsidiary of IEX Group, Inc.
- Brief description of business or functions: IEX Services LLC is a routing FINRA member and SEC registered broker-dealer.
- Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:

Officers of IEX Services LLC

The following persons are officers of IEX Services LLC:

Name	Title
Bradley T. Katsuyama	President, Chief Executive Officer
Ronan Ryan	Senior Vice President
Bryan Harkins	Senior Vice President
Craig Resnick	Vice President, Chief Financial Officer, Treasurer
Robert Dukesherer	Vice President, Chief Compliance Officer, Anti-Money Laundering Compliance Officer
Terrance O'Connell	Financial and Operations Principal
Rachel Barnett	Vice President, Chief Legal Officer, Secretary

Managers of IEX Services LLC

The following persons are managers of IEX Services LLC:

Managers
Bradley T. Katsuyama
Ronan Ryan

Investors' Exchange LLC

- Name: Investors' Exchange LLC
- Address: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- Form of organization: Limited Liability Company
- Name of state, statute under which organized and date of incorporation: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on May 13, 2014
- Brief description of nature and extent of affiliation: Investors' Exchange LLC is a wholly-owned subsidiary of IEX Group, Inc.
- Brief description of business or functions: Investors' Exchange LLC is a registered as a National Securities Exchange under Section 6 of the Securities Exchange Act of 1934, as amended.
- Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:

Officers of Investors' Exchange LLC

The following persons are officers of Investors' Exchange LLC:

Name	Title
Bradley T. Katsuyama	Chief Executive Officer, Chairman of the Board
Bryan Harkins	President
Robert Park	Senior Vice President, Chief Technology Officer
Rachel Barnett	Senior Vice President, Chief Legal Officer, Secretary
Claudia Crowley	Senior Vice President, Chief Regulatory Officer
Andrea Ledford	Senior Vice President, Chief People Officer
Stan Feldman	Senior Vice President, Chief Operating Officer
Tom Reina	Senior Vice President, Head of Exchange Technology
Craig Resnick	Senior Vice President, Chief Financial Officer, Treasurer

Directors of Investors' Exchange LLC

The following persons are directors of Investors' Exchange LLC:

Directors
Bradley T. Katsuyama
Urvashi Batra
Jeff Charney
Brian Levine
Jonathan Mariner
Wade I. Massad
Noel Reyes
Joseph Scafidi
Helen S. Scott
Jeffrey Sonnenfeld

Member Nominating Committee of Investors' Exchange LLC

The following persons are members of the Member Nominating Committee of Investors' Exchange LLC:

Member Nominating Committee
Urvashi Batra
Noel Reyes

Regulatory Oversight Committee of Investors' Exchange LLC

The following persons are members of the Regulatory Oversight Committee of Investors' Exchange LLC:

Regulatory Oversight Committee
Helen S. Scott
Joseph Scafidi
Wade I. Massad

Nominating Committee of Investors' Exchange LLC.

The following persons are members of the Nominating Committee of Investors' Exchange LLC:

Nominating Committee
Jeff Charney
Wade I. Massad
Jeffrey Sonnenfeld

Audit Committee of Investors' Exchange LLC.

The following persons are members of the Audit Committee of Investors' Exchange LLC:

Audit Committee
Jonathan Mariner
Joseph Scafidi
Wade I. Massad

Appeals Committee of Investors' Exchange LLC

The following persons are members of the Appeals Committee of Investors' Exchange LLC:

Appeals Committee
Joseph Scafidi
Urvashi Batra
Jonathan Mariner

swXtch.io LLC

- Name: swXtch.io LLC
- Address: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- Form of organization: Limited Liability Company
- Name of state, statute under which organized and date of incorporation: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on November 6, 2017
- Brief description of nature and extent of affiliation: swXtch.io LLC is a wholly-owned subsidiary of IEX Group, Inc.
- Brief description of business or functions: swXtch.io LLC operates a high-performance software-defined multicast network.
- Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:

Officers of swXtch.io LLC

The following persons are officers of swXtch.io LLC:

Name	Title
Bradley T. Katsuyama	President
Brent Yates	Vice President, Senior Technologist
Robert Park	Vice President, Chief Technology Officer
Rachel Barnett	Vice President, Chief Legal Officer, Secretary
Craig Resnick	Vice President, Treasurer
George Kyrakis	Assistant Secretary

Managers of swXtch.io LLC

The following persons are managers of swXtch.io LLC:

Managers
Bradley T. Katsuyama
Craig Resnick

IEX Ventures LLC

- Name: IEX Ventures LLC
- Address: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- Form of organization: Limited Liability Company
- Name of state, statute under which organized and date of incorporation: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on June 3, 2015
- Brief description of nature and extent of affiliation: IEX Ventures LLC is a wholly-owned subsidiary of IEX Group, Inc.
- Brief description of business or functions: IEX Ventures LLC is a holding company which holds 100% of the equity of IEX Data Services LLC, IEX Fund LLC, and Digital Asset Communications International Ltd.
- Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:

Officers of IEX Ventures LLC

The following persons are officers of IEX Ventures LLC:

Name	Title
Bradley T. Katsuyama	President
Rachel Barnett	Vice President, Chief Legal Officer, Secretary
Craig Resnick	Vice President, Treasurer

Managers of IEX Ventures LLC

The following persons are managers of IEX Ventures LLC:

Managers
Bradley T. Katsuyama
Craig Resnick

IEX Fund LLC

- Name: IEX Fund LLC
- Address: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- Form of organization: Limited Liability Company
- Name of state, statute under which organized and date of incorporation: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on July 21, 2022
- Brief description of nature and extent of affiliation: IEX Fund LLC is a wholly-owned subsidiary of IEX Ventures LLC which is a wholly-owned subsidiary of IEX Group, Inc.
- Brief description of business or functions: IEX Fund LLC is a shareholder in certain early-stage private companies.
- Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:

Officers of IEX Fund LLC

The following persons are officers of IEX Fund LLC:

Name	Title
Bradley T. Katsuyama	President
Rachel Barnett	Vice President, Chief Legal Officer, Secretary
Craig Resnick	Vice President, Treasurer

Managers of IEX Fund LLC

The following persons are managers of IEX Fund LLC:

Managers
Bradley T. Katsuyama
Ronan Ryan

IEX DAX LLC

- Name: IEX DAX LLC
- Address: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- Form of organization: Limited Liability Company
- Name of state, statute under which organized and date of incorporation: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on May 6, 2022
- Brief description of nature and extent of affiliation: IEX DAX LLC is a wholly-owned subsidiary of Investors' Exchange LLC which is a wholly-owned subsidiary of IEX Group, Inc.
- Brief description of business or functions: IEX DAX LLC is not currently operational.

IEX Data Services LLC

- Name: IEX Data Services LLC
- Address: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- Form of organization: Limited Liability Company
- Name of state, statute under which organized and date of incorporation: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on August 15, 2018
- Brief description of nature and extent of affiliation: IEX Data Services LLC is a wholly-owned subsidiary of IEX Ventures LLC which is a wholly-owned subsidiary of IEX Group, Inc.
- Brief description of business or functions: IEX Data Services LLC is a platform that provides market data to customers.
- Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:

Officers of IEX Data Services LLC

The following persons are officers of IEX Data Services LLC:

Name	Title
Bradley T. Katsuyama	President
Rachel Barnett	Vice President, Chief Legal Officer, Secretary
Craig Resnick	Vice President, Treasurer

Managers of IEX Data Services LLC

The following persons are managers of IEX Data Services LLC:

Managers
Bradley T. Katsuyama
Robert Park

Digital Asset Communications International Ltd

- Name: Digital Asset Communications International Ltd.
- Address: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- Form of organization: Limited Company
- Name of state, statute under which organized and date of incorporation: Cayman Islands, Cayman Islands Companies Act (Part X § 196-200, et seq.), on August 29, 2022
- Brief description of nature and extent of affiliation: Digital Asset Communications LLC is a wholly-owned subsidiary of IEX Ventures LLC which is a wholly-owned subsidiary of IEX Group, Inc.
- Brief description of business or functions: Digital Asset Communications International Ltd. is not currently operational.
- Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:

Directors of Digital Asset Communications International Ltd.

The following persons are directors of Digital Asset Communications International Ltd.:

Directors
Robert Park
Craig Resnick

IEX DAP Group, LLC

- Name: IEX DAP Group LLC
- Address: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- Form of organization: Limited Liability Company
- Name of state, statute under which organized and date of incorporation: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on October 20, 2021
- Brief description of nature and extent of affiliation: IEX DAP Group LLC is a wholly-owned subsidiary of IEX Group, Inc.
- Brief description of business or functions: DAP Group, LLC is a holding company which holds 100% of the equity of IEX DAP LLC and IEX DAP Services LLC.
- Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:

Officers of IEX DAP Group LLC

The following persons are officers of IEX DAP Group LLC:

Name	Title
Bradley T. Katsuyama	Chief Executive Officer, President
Rachel Barnett	Chief Legal Officer, Secretary
Craig Resnick	Chief Financial Officer
Andrea Ledford	Chief People Officer
Robert Park	Chief Technology Officer

Manager of IEX DAP Group LLC

The following person is the manager of IEX DAP Group LLC:

Manager
Bradley T. Katsuyama

IEX DAP LLC

- Name: IEX DAP LLC
- Address: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- Form of organization: Limited Liability Company
- Name of state, statute under which organized and date of incorporation: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on October 20, 2021
- Brief description of nature and extent of affiliation: IEX DAP LLC is a wholly-owned subsidiary of IEX DAP Group LLC which is a wholly-owned subsidiary of IEX Group, Inc.
- Brief description of business or functions: IEX DAP LLC is a broker-dealer FINRA member authorized to operate an alternative trading system (“ATS”) to facilitate trading in digital asset securities.
- Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:

Officers of IEX DAP LLC

The following persons are officers of IEX DAP LLC:

Name	Title
Bradley T. Katsuyama	President
Craig Resnick	Chief Financial Officer
Robert Dukesharer	Chief Compliance Officer
Terrance O’Connell	Principal Financial Officer
Alphonse Asare	Principal Operations Officer

Manager of IEX DAP LLC

The following person is the manager of IEX DAP LLC:

Manager
Bradley T. Katsuyama

IEX DAP Services LLC

- Name: IEX DAP Services LLC
- Address: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- Form of organization: Limited Liability Company
- Name of state, statute under which organized and date of incorporation: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on October 20, 2021
- Brief description of nature and extent of affiliation: IEX DAP Services LLC is a wholly-owned subsidiary of IEX DAP Group LLC which is a wholly-owned subsidiary of IEX Group, Inc.
- Brief description of business or functions: IEX DAP Services LLC is not currently operational.
- Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:

Officers of IEX DAP Services LLC

The following persons are officers of IEX DAP Services LLC:

Name	Title
Bradley T. Katsuyama	President
Robert Dukesharer	Chief Compliance Officer
Terrance O'Connell	Financial and Operations Principal, Principal Financial Officer
Alphonse Asare	Principal Operations Officer

Manager of IEX DAP Services LLC

The following person is the manager of DAP Services LLC:

Manager
Bradley T. Katsuyama

IEX Options LLC

- Name: IEX Options LLC
- Address: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- Form of organization: Limited Liability Company
- Name of state, statute under which organized and date of incorporation: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on September 11, 2024
- Brief description of nature and extent of affiliation: IEX Options LLC is a wholly-owned subsidiary of Investors' Exchange LLC which is a wholly-owned subsidiary of IEX Group, Inc.
- Brief description of business or functions: IEX Options LLC is not currently operational.

Digital Asset Communications LLC

- Name: Digital Asset Communications LLC
- Address: 3 World Trade Center, 175 Greenwich Avenue, 58th Floor, New York, NY 10007
- Form of organization: Limited Liability Company
- Name of state, statute under which organized and date of incorporation: Delaware, Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.), on January 14, 2022
- Brief description of nature and extent of affiliation: Digital Asset Communications LLC is a wholly-owned subsidiary of IEX Group, Inc.
- Brief description of business or functions: Digital Asset Communications LLC is an e-commerce platform allowing sellers to customize buyers' shopping experience and providing buyers with a new way to shop through a streamlined checkout experience.
- Name and title of the present officers, governors, members of all standing committees, or persons performing similar functions:

Officers of Digital Asset Communications LLC

The following persons are officers of Digital Asset Communications LLC:

Name	Title
Bradley T. Katsuyama	President
Rachel Barnett	Chief Legal Officer, Secretary
Craig Resnick	Chief Financial Officer
Andrea Ledford	Chief People Officer
Robert Park	Chief Technology Officer

Managers of Digital Asset Communications LLC

The following persons are managers of Digital Asset Communications LLC:

Managers
Robert Park
Craig Resnick