

Key	
Mandatory for Onboarding as a Member	✓
Conditionally required (depending on workflow) Or Optional	<i>Conditionally Required/Optional</i>
Not Applicable	<i>N/A</i>

IEX Options Onboarding Form Applicability				
	Permit Type			Notes
Form	Order Entry Firm (OEF)	Market Maker (MM)	Clearing Member	
IEX Options - Testing Agreement	✓	✓	<i>Optional</i>	
IEX - Member Application* OR IEX Options - Existing Member Application	✓	✓	✓	
IEX - User Agreement*	✓	✓	✓	Only required if not currently a member of IEX Exchange
IEX - Connectivity Services Agreement* and Forms	✓	✓	✓	Agreement portion is only required if not currently a member of IEX Exchange; Options Physical Connectivity Order form within required for all applicants
IEX Options - Authorized Traders List	✓	✓	✓	
IEX Options - Clearing Letter of Guarantee	<i>Conditionally Required</i>	<i>Conditionally Required</i>	<i>N/A</i>	Required for OEFs and MMs that are not self-clearing
IEX - Data Subscriber Agreement	<i>Optional</i>	<i>Optional</i>	<i>Optional</i>	Required if subscribing to IEX Market Data feeds
IEX - Controlled Data Recipient Agreement	<i>Optional</i>	<i>Optional</i>	<i>Optional</i>	Required if subscribing to IEX Market Data feeds
IEX Non-Display Use Declaration	<i>Optional</i>	<i>Optional</i>	<i>Optional</i>	Required if subscribing to IEX Market Data feeds
IEX Options - Options Market Maker Application	<i>N/A</i>	✓	<i>N/A</i>	

IEX Options - Notification of OCC Account Information	N/A	✓	N/A	
IEX Options - Market Maker Quoting Port Request Form	N/A	✓	N/A	
IEX Options - Binary Order Entry Port Request Form	Optional	Optional	N/A	Required for Binary Order Entry setup
IEX Options - FIX Order Entry Port Request Form	Optional	Optional	N/A	Required for FIX Order Entry setup
IEX Options - FIX Drop Copy Port Request Form	Optional	Optional	Optional	
IEX Options - Designated Give-up contact Form	N/A	N/A	Optional	
IEX Options - Options Clearing Member Restriction Form	N/A	N/A	Optional	
IEX Options - Authorization or Revocation of Restricted Clearing Number	N/A	N/A	Optional	
IEX - Service Bureau Agreements	Conditionally Required	N/A	N/A	Required for OEFs that leverage a service bureau for exchange connectivity
IEX - Service Bureau Application	Conditionally Required	N/A	N/A	Required for OEFs that leverage a service bureau for exchange connectivity
IEX - Service Bureau Authorization	Conditionally Required	N/A	N/A	Required for OEFs that leverage a service bureau for exchange connectivity
* Only needed if new to IEX				