



K33 Conflicts of Interest Disclosure

K33 is committed to acting honestly, fairly and professionally in the best interests of our clients. Conflicts of interest may arise when K33, our employees or third-party service providers have interests that differ from, or compete with, those of a client. K33 acts as the counterparty to all trades with the Customer, which means that K33 may have interests that differ from those of the Customer.

K33 therefore maintains procedures to identify, manage and monitor potential conflicts of interest, to ensure that our customer's interests are protected. This includes procedures to ensure fair and transparent execution, clear price-setting guidelines and measures for handling conflicts of interest.

Where a conflict cannot be fully avoided, we take reasonable steps to ensure it does not adversely affect our clients. If a situation arises where our measures are not sufficient to ensure fair treatment, we will clearly disclose the conflict to the affected client before proceeding with the service.

K33 does not receive inducements, commissions or benefits from third parties that could influence how we execute or safeguard client assets. Client assets are segregated from company assets, and employees are subject to strict rules governing personal trading and impartial decision-making.

If you have questions about how K33 manages conflicts of interest, please contact us at markets@k33.com