



GWN INTERNAL PROGRAM FACT SHEET

ABOUT THE PROGRAM

The GWN Custodial Advantage program, through our private custodian, allows your clients to invest with many of the most popular mutual fund companies - with no loads and no transaction fees. In addition, the Custodial Advantage offers the option to automatically rebalance quarterly to allow participants to realign their portfolios to maintain the desired allocation. This platform is assessed an 1% program fee by the firm.



PRODUCT TYPE:

403(b)(7); Roth 403(b)(7); 457(b); IRA; IRA SEP; IRA Simple; IRA Roth, Solo K

PRODUCT DETAIL:

This is a Custodial Program offering mutual fund families with over 200 load waived/no transaction fee mutual funds

MINIMUM INITIAL INVESTMENT:

No minimum requirement

FUND FAMILIES:

American Funds, Blackrock, Franklin Templeton, Heartland, Invesco, Ivy, Janus, Keeley, Pimco, Putnam, Rydex, Sierra Funds, T Rowe Price, The Standard, Virtus

LOANS:

403(b)(7) & 457(b) Loans not available in Roth 403(b)(7)

STATEMENTS:

Quarterly statements Online, if client wants hard copy \$35 fee

PROGRAM SERVICES

PROGRAM FEE:

Annual Program Fee of 100 basis points taken quarterly (.025) in arrears based on account asset value at quarter-end

COMPENSATION:

75 bps paid quarterly across grid

ACCOUNT TRANSFER FEE:

NONE

OUR SERVICES

DOLLAR COST AVERAGING (DCA):

DCA is available as a 6 or 12 month schedule occurring on the 15th of the month. This option allows the participant to purchase a fixed dollar amount from the Program's default money market on the schedule elected

AUTO-REBALANCING:

Auto-Rebalancing is available on a quarterly basis. This option allows the participant to realign their portfolio to maintain the desired allocation

For Broker/ Dealer Use ONLY