

## LULD Plan Seeking Advisory Committee Members

**September 15, 2025**

The Operating Committee for the Plan to Address Extraordinary Market Volatility, i.e., the Limit Up-Limit Down (LULD) Plan is looking to solicit candidates to serve as representatives on the Advisory Committee. The Advisory Committee is comprised of professionals representing a wide swath of the U.S. equities ecosystem. At this time, the Operating Committee is seeking Advisory Committee members from the following categories: (1) a broker-dealer with a substantial retail investor customer base; (2) a broker-dealer with a substantial institutional investor customer base; and (3) an alternative trading system.

### **POSITION REQUIREMENTS & EXPECTATIONS**

Advisors must be able to attend regular and ad-hoc meetings throughout the year. In addition, to be a representative of the categories above, ideal candidates would have:

- Extensive experience in the financial industry;
- An in-depth knowledge of U.S. equities market structure; and
- Substantial responsibilities in connection with the operation of the broker/dealer or ATS that employs them.

### **APPLYING FOR THE POSITION**

If you are willing to devote the time required and believe you meet the requirements, we invite you to submit a resume and cover letter. For the cover letter, we ask that you please highlight your experience with U.S. equities market structure as well as your current role.

Please submit your resume and cover letter to Adrian Griffiths, Chair of the LULD Plan Operating Committee at: [acgriffiths@memx.com](mailto:acgriffiths@memx.com). Please use the words "LULD Advisory Committee Application" in the Subject Line. We request that all submissions be received by Friday, October 10, 2025.

Thank you.