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| Title of the Module/Unit | Financial Services Law and Regulation |
| Module/Unit Description In this section kindly provide a brief description of the module | The main aim of the module is to incorporate an extensive overview of both the principles and techniques which are involved in the regulation of financial services generally and in Malta. This unit provides an overview of the various structures set out for the protection of investors and institutions. |
| Learning Outcomes Autonomy and Responsibility: Collaborate, comply, deal with, ensure, be responsible for, carry out tasks, guide, supervise, monitor, authorise, manage, create, produce, represent, advise, negotiate, sell, etc. Knowledge and Understanding: count, define, describe, draw, find, identify, label, list, match, name, quote, recall, recite, sequence, tell, write, etc. (Example of learning outcome structure: Action Verb + Object + Context <i>Identify basic different range of glassware and state their use.</i>) Applying Knowledge and Understanding: apply, practice, demonstrate, show, plan, design, operate, | Competences: At the end of the module/unit the learner will have acquired the responsibility and autonomy to: a) Have a sound understanding of the local financial regulatory framework. b) Use the information about regulation to ensure that a financial institution is operating within its scope c) Supervise banking practices to ensure they are within the regulatory scope. d) Be responsible for applying in practice the specialised aspects of financial services legislation. |
| | Knowledge: At the end of the module/unit the learner will have been exposed to the following: a) Understand the basic concepts of financial services law. b) Describe the concept of regulation. c) Explain the rationale for regulation in the financial services industry. d) Explain the role, function, and structure of the Malta Financial Services Authority (MFSA) in terms of financial regulation. e) Explain the role of the EU and the Central Bank in terms of directives, single passport and the broad legal framework. f) Explain the difference between Banking law, Insurance Law and Investment business Law. |
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| <p>assemble, use, construct, prepare, create, compose, arrange</p> <p>(Example of learning outcome structure: Action Verb + Object + Context <i>Apply principles of good practice to dispense, supply and administer medicinal products and other activities in a pharmacy.</i>)</p> | <div data-bbox="563 219 1501 286" data-label="Section-Header"> <p>Skills:</p> </div> <div data-bbox="563 309 1501 1032" data-label="Text"> <p>At the end of the module/unit the learner will have acquired the following skills:</p> <ul style="list-style-type: none"> a) Demonstrate the ability to scrutinise the importance of particular financial services laws and regulation. b) Apply local legislation relating to financial markets. c) Able to handle basic notions and principles of laws pertaining to financial services. d) Discuss the implications of new regulation within the financial services industry. e) Assess the implications of new regulation within the financial services industry. f) Show the ability to understand the law relating to bank accounts and depositor protection. </div> <div data-bbox="563 1055 1501 1473" data-label="Text"> <p>Module-Specific Learner Skills (Over and above those mentioned in Section B)</p> <p>At the end of the module/unit the learner will be able to</p> <ul style="list-style-type: none"> a) Participate actively in meetings at the work place when new legislations are being discussed. b) Participate actively in discussion at the work place when implementing a new regulation. </div> <div data-bbox="563 1496 1501 2011" data-label="Text"> <p>Module-Specific Digital Skills and Competences (Over and above those mentioned in Section B)</p> <p>At the end of the module/unit, the learner will be able to</p> <ul style="list-style-type: none"> a) Use appropriate research tools to be able to gain insightful knowledge with regards to financial services legislation. b) Ability to access and interpret regulation from the authority. </div> |
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