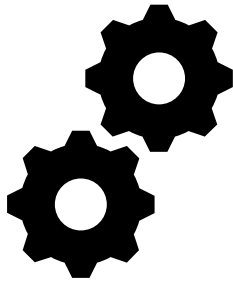




Privacy Policy

April 2026



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Policy brief & Purpose

This privacy policy outlines how Sherrin Partners manage your personal information. It does this by setting out:

- What sort of personal information we hold,
- How we collect, hold, use and disclose that information,
- Why we collect, hold, use and disclose that information,
- Where the information we have collected may be accessed/used,
- What you can do to update and access the information we have collected,
- How to contact us with any concerns you may have about our handling of the information we have collected.

The privacy of your personal information is important to us. We comply with the Australian Privacy Principles and other applicable laws affecting your personal information.

Scope

This policy applies to everyone who has dealings with Sherrin Partners. This includes internal staff as well as clients and contractors.

Policy Elements

Collecting Your Personal Information

To enable your financial adviser to provide you with financial advice that is suitable for your investment objectives, financial situation and particular needs we need to obtain and hold personal information about you. This includes:

- name, contact details and date of birth,
- employment details and history,
- financial details including information about your financial needs and objectives, your current financial circumstances including your assets and liabilities, income, expenditure, insurance cover and superannuation,
- details of your investment preferences and risk tolerance,
- family circumstances and social security eligibility and
- any other information that we consider necessary.

The personal information collected may include sensitive information such as health information and memberships of professional or trade associations. We will collect as much of your personal information from you as is reasonable and practicable. Most of your personal information will be collected when you:

- meet with your adviser in person,
- provide your adviser with information over the telephone or
- provide your adviser with written material.

We may need to collect personal information from third parties, such as your accountant, or others whom we believe you have authorised to provide information to us.

We may receive personal information about you when we have taken no active steps to collect that information. We destroy all unsolicited personal information, unless the personal information is relevant to our purposes for collecting personal information.

Your personal information will be collected and held by us, for the purposes of:

- providing you with the advisory services that you have requested,
- managing our relationship with you, including management and administration tasks such as answering your requests and concerns, conducting market research, and taking any required legal action,
- completing documentation and forms, including identifying you or verifying your authority to act on behalf of a customer,
- protect our business and other clients from fraudulent or unlawful activity,
- to comply with relevant laws, regulations, and other legal obligations,
- to help us improve the products and services offered to our clients, including
- contacting you about products and services in which you may be interested and
- for any purpose for which you have given your consent.

You can let us know at any time if you no longer wish to receive direct marketing offers. e.g., Contact us at admin@sherrinpartners.com.au We will process your request as soon as possible.

How Your Personal Information is Held

Your personal information is held in electronic files on our provider's secure cloud storage. It may be held in physical files within our offices while waiting to be digitalised.

We take reasonable steps to ensure that the personal information that we hold is protected from misuse and loss and from unauthorised access, modification, and disclosure. All access to our computer systems and cloud providers are protected by Multi-Factor Authentication if it's available to us. All physically provided information is kept for as little time as practical before being digitalised and securely destroyed.

We take reasonable steps to destroy or permanently de-identify personal information that we no longer need for any purpose for which it may be used or disclosed under the Australian Privacy Principles.

Using and Disclosing Your Personal Information

Your personal information may be disclosed for purposes related to the provision of the financial advice you have requested. The types of service providers and other third parties that may be provided with your personal information are:

- other financial advisers and organisations involved in providing the financial advice you have requested (which may include ongoing service) such as fund managers who assist us in providing financial advice and paraplanners,
- insurance providers, superannuation trustees and product issuers in connection with the provision to you of the financial advice you have requested,
- a superannuation trustee or other product provider who you have authorised to deduct advice-related costs from your superannuation or investment fund who has requested to review a copy of your Statement of Advice to satisfy their legal obligations,
- organisations that assist in operating a financial planning business such as those that provide administrative, financial, accounting, insurance, research, legal, computer or other business services,
- your representatives or service providers such as your accountant, solicitor, tax agent, stockbroker or bank,
- organisations involved in a business restructure or a transfer of all or part of the assets of our business or the due diligence procedures prior to any such sale or transfer,
- government authorities and other organisations when required by law and
- organisations that you have consented to your personal information being disclosed to.

In addition to the purposes of collection set out above, your personal information may also be used in connection with such purposes.

We will seek to ensure that your personal information is not used or disclosed for any purpose other than:

- the primary purpose for which it was collected or a related secondary purpose,
- where you have consented to the use or disclosure or
- in other circumstances where the Australian Privacy Principles authorise the use or disclosure such as when it is required by or authorised under law.

We may disclose your personal information to third parties who provide services to us, in which case we will seek to ensure that the personal information is held, used or disclosed consistently with the Australian Privacy Principles.

Organisations outside Australia

To provide you with our services, we may need to share your information with an outside service provider located in the Philippines.

While providing these services, the representatives have access to personal information held within our systems. The provider holds the following relevant ISO certifications:

- ISO 27001 - An international standard for information security management systems (ISMS), providing a risk-based framework to protect data confidentiality, integrity, and availability. It helps organizations identify, manage, and mitigate risks, securing assets like intellectual property and employee data.
- ISO 3100:2018 – An international standard that provides principles and guidelines for risk management. It outlines a comprehensive approach to identifying, analysing, evaluating, treating, monitoring and communicating risks across an organisation.

We also use third-party platforms to provide cloud-based business and advice/client management services. While these systems primarily store data within Australia, information may be viewed or accessed by authorised personnel outside Australia when required for system maintenance, support, or other operational reasons. We take reasonable steps to ensure that any overseas service providers handle your personal information in a manner consistent with the Australian Privacy Principles and our internal data security standards.

We will not send personal information to recipients outside of Australia unless:

- we have taken reasonable steps to ensure that the recipient does not breach the Privacy Act and the Australian Privacy Principles,
- the recipient is subject to an information privacy scheme similar to the Privacy Act or
- the individual has consented to the disclosure.

Accessing your Personal Information

You can gain access to your personal information that we hold. This is subject to exceptions allowed by law such as where providing you with access would have an unreasonable impact upon the privacy of others.

If we deny a request for access, we will provide you with the reasons for this decision. To request access please contact us (see Contacting Us and Privacy Issues below).

Correcting Your Personal Information

We take reasonable steps to ensure that the personal information that we collect, use or disclose is accurate, complete and up to date. If you believe that any of the personal information that we hold is not accurate, complete or up to date please contact us (see Contacting Us and Privacy Issues below) and let us know what information is incorrect.

If we agree that the personal information requires correcting, we will take reasonable steps to do so. If we do not correct your personal information, we will provide you with the reasons for not doing so.

Contacting Us and Privacy Issues

You can obtain further information on request about the way in which we manage the personal information that we hold, or you can raise any privacy issues with us, including a complaint about privacy, by contacting us using the details below.

The Privacy Officer

T: 07 3012 8524
E: privacy@sherrinpartners.com.au
P: PO Box 15970 Brisbane City QLD, 4000

We are committed to working with you to resolve a complaint involving your personal information. However, if you still feel your issue hasn't been resolved to your satisfaction, then you can escalate your privacy concerns to:

Australian Financial Complaints Authority (AFCA)

W: www.afca.org.au
T: 1800 931 678 (free call)
E: info@afca.org.au
P: Australian Financial Complaints Authority GPO Box 3, Melbourne VIC 3001

And/or

Office of the Australian Information Commissioner

W: www.oaic.gov.au/privacy
T: 1300 363 992
E: enquiries@oaic.gov.au

AFCA provides fair and independent financial services complaint resolution that's free to consumers.

Time limits may apply to lodge a complaint with AFCA, so you should act promptly. You can check the AFCA website to find out if a time limit applies or when the time limit relevant to your circumstances expires.