

CUEF - Subscription Form

THIS DOCUMENT IS IMPORTANT WHEN CONSIDERING WHAT ACTION TO TAKE. A PROSPECTIVE UNITHOLDER IS RECOMMENDED TO SEEK PROFESSIONAL ADVICE FROM A SOLICITOR, ACCOUNTANT OR OTHER APPROPRIATELY QUALIFIED FINANCIAL ADVISER. BEFORE COMPLETING THIS DOCUMENT PLEASE READ THE ACCOMPANYING INFORMATION MEMORANDUM AND THE NOTES AT THE BOTTOM OF THIS DOCUMENT. DEFINED TERMS USED, BUT NOT DEFINED IN THIS DOCUMENT, SHALL HAVE THE MEANING GIVEN TO THEM IN THE INFORMATION MEMORANDUM.

CAMBRIDGE UNIVERSITY ENDOWMENT FUND ("CUEF")

UNIT SUBSCRIPTION FORM

1	The Subscriber:		
	Address:		
		Postcode:	
	Contact name:		
	Contact phone:		
	Contact e-mail:		
2	The Subscriber hereby applies for such number of Unit(s) as can be acquired for the Subscription Amount set out in this box number 2 on the terms and conditions set out in the Information Memorandum that accompanied this Subscription Form and in accordance with the provisions of the Trust Deed.		
3	Please tick box		
	a)	The amount specified in box number 2 above has been transferred into the account of the CUEF specified in the Information Memorandum.	

4	Please confirm that each of the following statements is true in relation to the Subscriber named in box number 1 by ticking the box adjacent to each statement. If a statement is not true, please contact the Investment Manager and you will be asked to provide further information.	Please tick EACH box to confirm
	a) The Subscriber is organised under the laws of, and has its principal place of business in, the United Kingdom.	
	b) Unless the Subscriber is a trust, the Subscriber is not acting as an agent, trustee, nominee, investment manager or representative or in any similar agency or intermediary capacity for any other individual or entity.	
	c) The Subscriber is not, directly or indirectly, investing any assets of a pension scheme or an employee benefit plan.	
	d) The Subscriber is not a US person (within the meaning of Regulation S of the US Securities Act of 1933) nor acting on behalf of any US person and will not create any interest in the CUEF for any US person.	
	e) The Subscriber is not acting in contravention of any applicable laws or regulations and is not acting on behalf of any terrorists or terrorist organisations or specially designated persons ('blocked persons') or senior political figures (or their family or associate). 'Blocked persons' for this purpose includes a person on a sanctions list.	
	f) Where the Subscriber has received funds from donors, the Subscriber confirms that it has complied with all relevant due diligence checks and applicable laws prior to accepting the donation and that the Subscriber is satisfied that it knows the identity of the donor and of the legitimacy of the funds received.	
	g) The Subscriber acknowledges and accepts that the Investment Manager may request additional information and supporting documentation so that the Investment Manager is able to satisfy its legal obligations, in particular in relation to anti-money laundering and terrorist financing laws and regulations.	
	h) Where the Subscriber is connected to or associated with a PEP or a family member or associate of a PEP (whether a board member, trustee, settlor, beneficiary or a person of similar status) the Subscriber has notified the name of the PEP to the Investment Manager. The Subscriber accepts that the Investment Manager may ask for additional documentation and information and agrees to provide the same promptly.	

	i) The Subscriber agrees to comply with all requests for documentation or information made by the Investment Manager (or its delegated persons) to verify the Subscriber's identity and source of funds, including providing 'Comfort Letters' from time to time, in which the Subscriber will confirm that the Subscriber has notified the Investment Manager of the identity of all PEPs it is connected to or associated with and that the Subscriber has satisfied itself as to its own source of funds, including, where of relevant the source of donations.	
	j) The Subscriber acknowledges and accepts that the Investment Manager (or its delegate) may request such additional information and will carry out such checks and ongoing monitoring from time to time as the Investment Manager considers are reasonably necessary so that the Investment Manager is able to satisfy its legal obligations under applicable law, including to prevent money laundering.	
5	By signing this form, the Subscriber agrees to hold the Units on the terms specified in the Information Memorandum and the Trust Deed, and in particular agrees to the terms and gives the warranties and representations set out in Part 2 of the Information Memorandum. If this is a first subscription, the Subscriber understands that the CUEF has a one year initial lock-up period during which no redemptions are permitted (except in limited circumstances as otherwise set out in the Trust Deed).	

This document is executed for and on behalf of the Subscriber named in box number 1 above, on the date on which the last signature below is written, by:

(a) Execution not under seal

Signed by the Subscriber named below

Name of Subscriber acting by	
Date	
Name of Director	
Name of Director/Secretary	
Signature of Director	
Signature of Director/Secretary	
OR	
Name of Subscriber acting by	
Date	
Name of Director	
Signature of Director	

(b) Execution under common seal

Signed under the common seal of the Subscriber named below

Name of Subscriber	
Date	
Signature of Authorised signatory	
Name of Authorised signatory	
Position of Authorised signatory	
Signature of Authorised signatory	
Name of Authorised signatory	
Position of Authorised signatory	

Notes to this Subscription Form follow on the next page.

Seal here:

Notes:

1. To subscribe for Units, please complete this form, ensuring that it is signed and dated where indicated. The completed form should be returned using the following methods:
 - **email the signed copy to cuefpands@admin.cam.ac.uk; AND**
 - **send the original by post to the Investment Manager c/o Treasury and Investments, Finance Division Greenwich House, Madingley Rise, Madingley Road, Cambridge, CB3 0TX.**
2. The original completed Subscription Form must be received by the Investment Manager and payment must be received at least four (4) Business Days' before the next Quarter Date. Any Subscription Forms or payments received after such time shall be treated as valid subscriptions for Units as at the beginning of the first Business Day after the following Quarter Date.
3. If this document is signed on behalf of a person under a power of attorney or other authority, please send a copy of the relevant power of attorney or authority when returning this document.
4. ONCE THIS FORM HAS BEEN SENT TO THE EMAIL ADDRESS ABOVE, THE APPLICATION FOR UNITS MAY NOT BE REVOKED WITHOUT THE CONSENT OF THE INVESTMENT MANAGER.