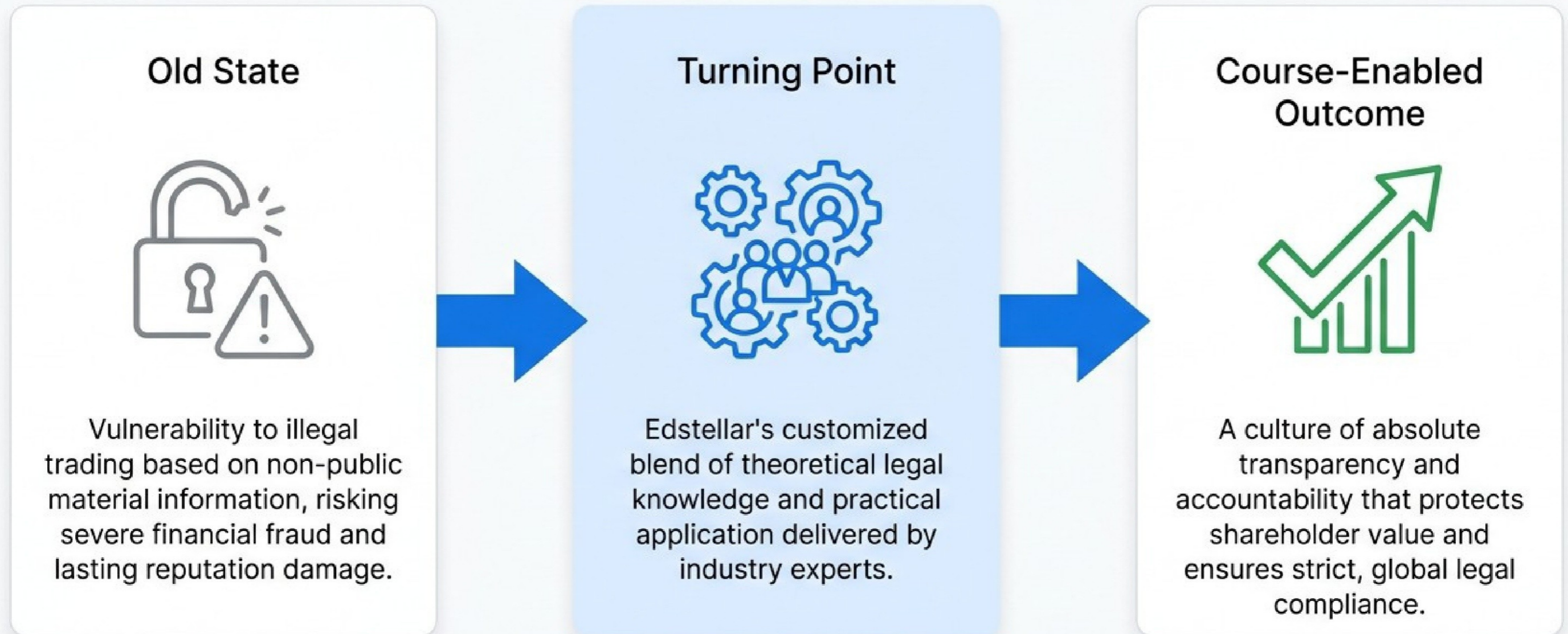


# Insider Trading Compliance Corporate Training Course

Elevate compliance standards and safeguard your organization against legal ramifications.



# The Evolution Of Corporate Integrity



# Training Parameters And Delivery Scale



8 - 12 hrs



Instructor-led  
Group Training



Virtual / On-site /  
Off-site

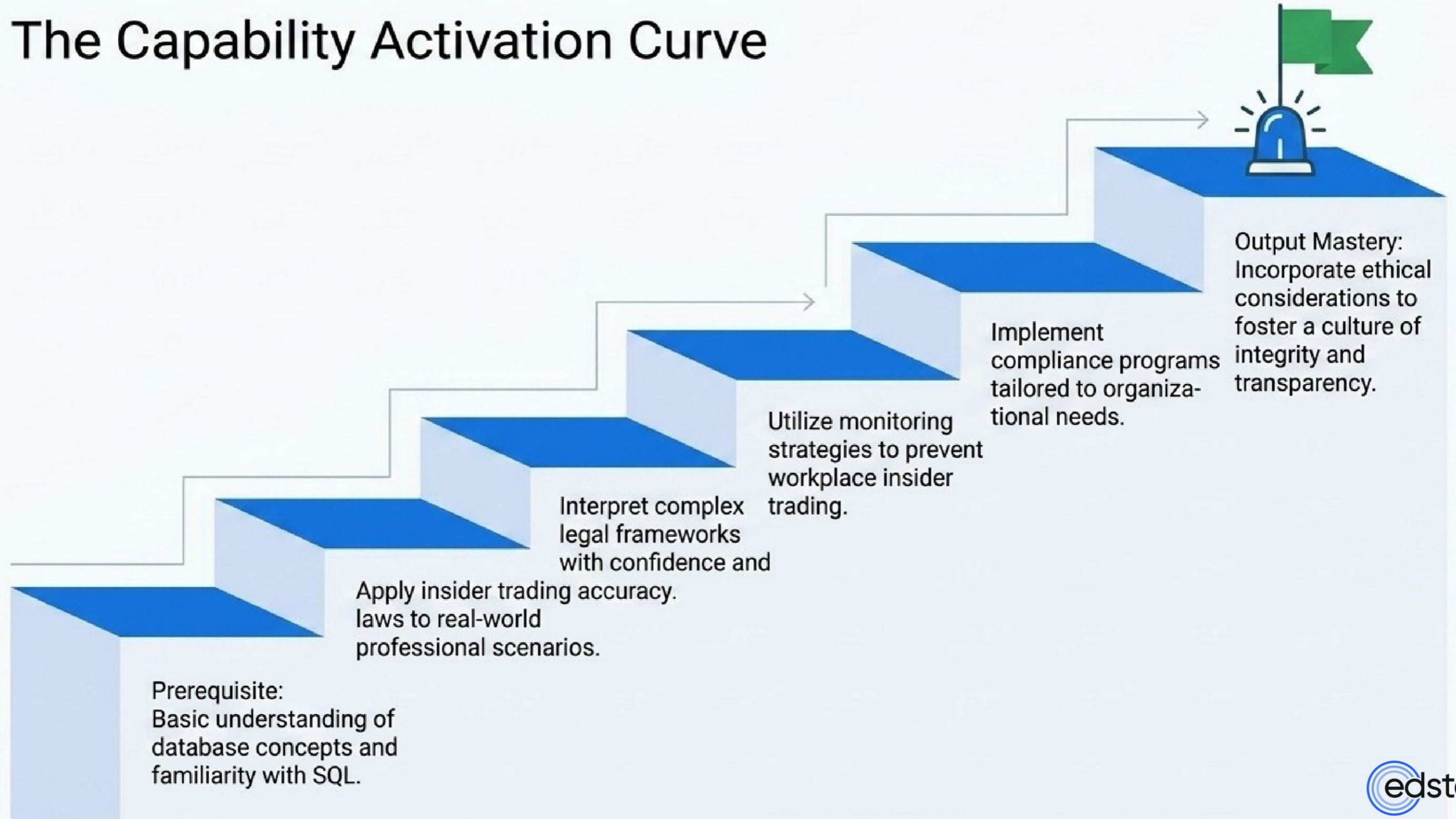


10,000+ Trainers  
globally



Course Completion  
Certificate

# The Capability Activation Curve



# Structured Framework For Regulatory Adherence

## Phase 1 – What is Insider Trading?

(Modules 1-4)

Understanding core concepts, differentiating legal vs. illegal examples, measuring market impact, and navigating ethical dilemmas.



## Phase 2 – Insider Trading Laws and Regulations

(Modules 1-4)

Navigating global laws, managing reporting obligations, designing compliance programs, and interpreting landmark case law.



## Phase 3 – Penalties and Enforcement

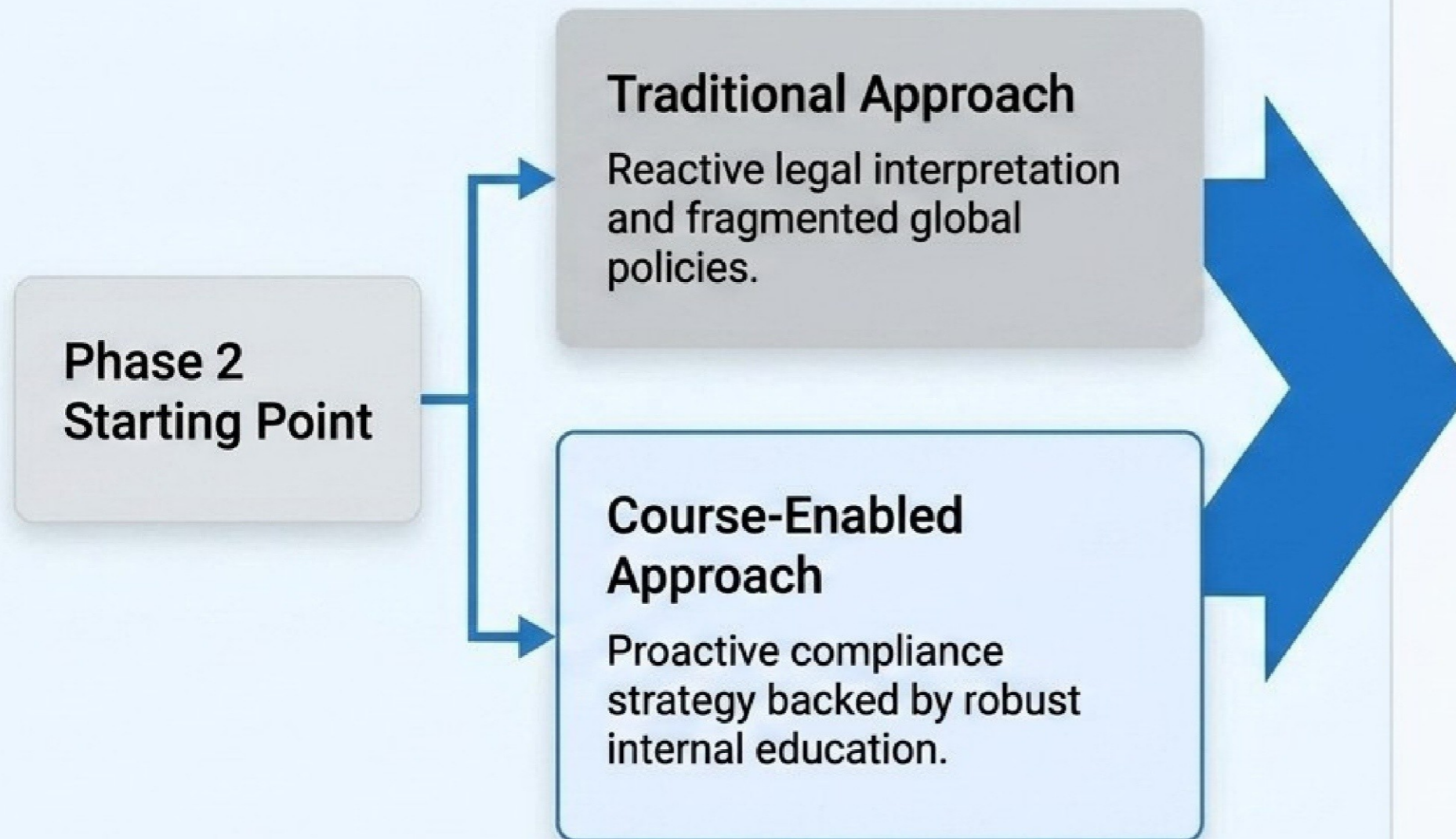
(Modules 1-4)

Understanding civil and criminal penalties, SEC and FCA roles, cooperating with enforcement actions, and protecting whistleblowers.

# Phase 1: Foundations Of Market Integrity



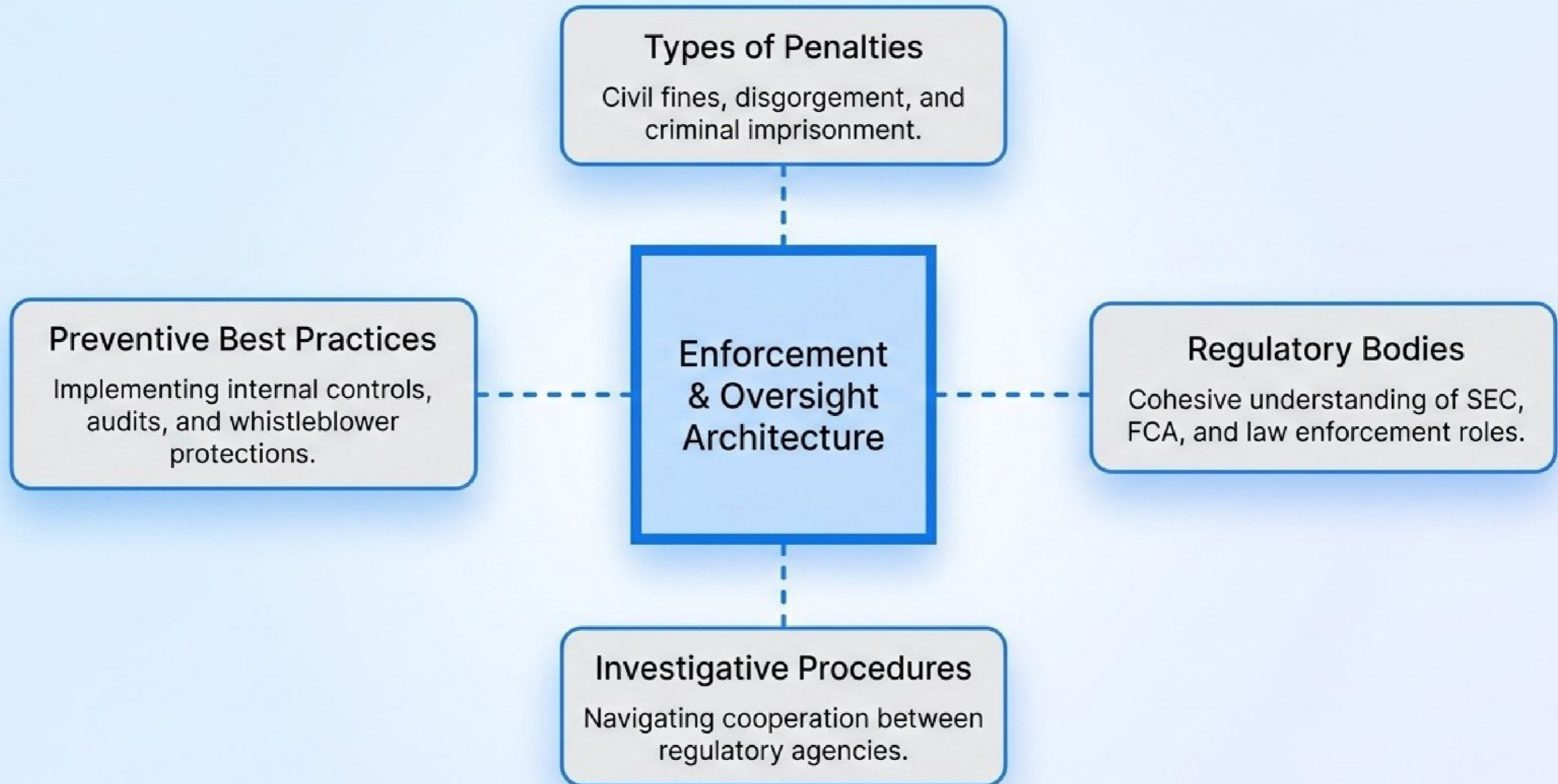
# Phase 2: Navigating Complex Legal Frameworks



## Key Concepts Mastered

- ✓ Global Jurisdictions (U.S., EU approaches)
- ✓ Reporting Obligations for Insiders
- ✓ Effective Compliance Strategy Design
- ✓ Analysis of Landmark Case Law

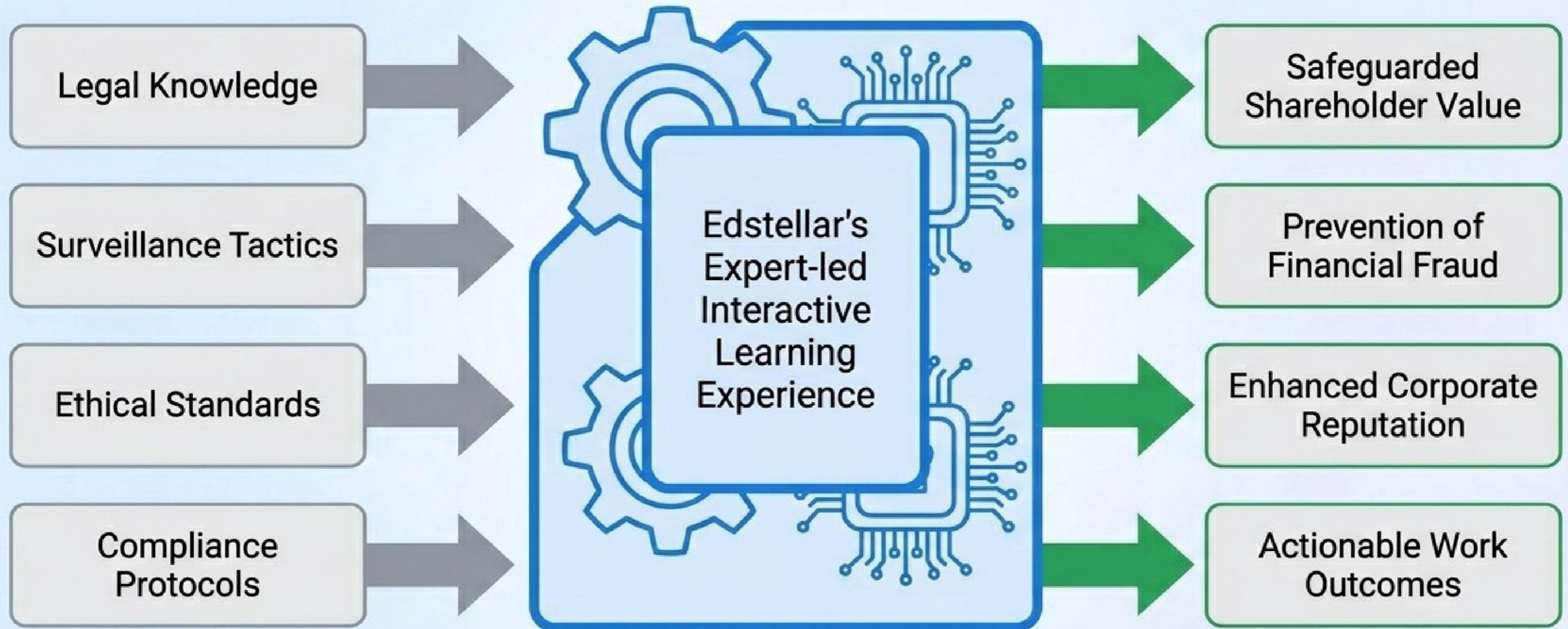
# Phase 3: Risk Mitigation And Enforcement



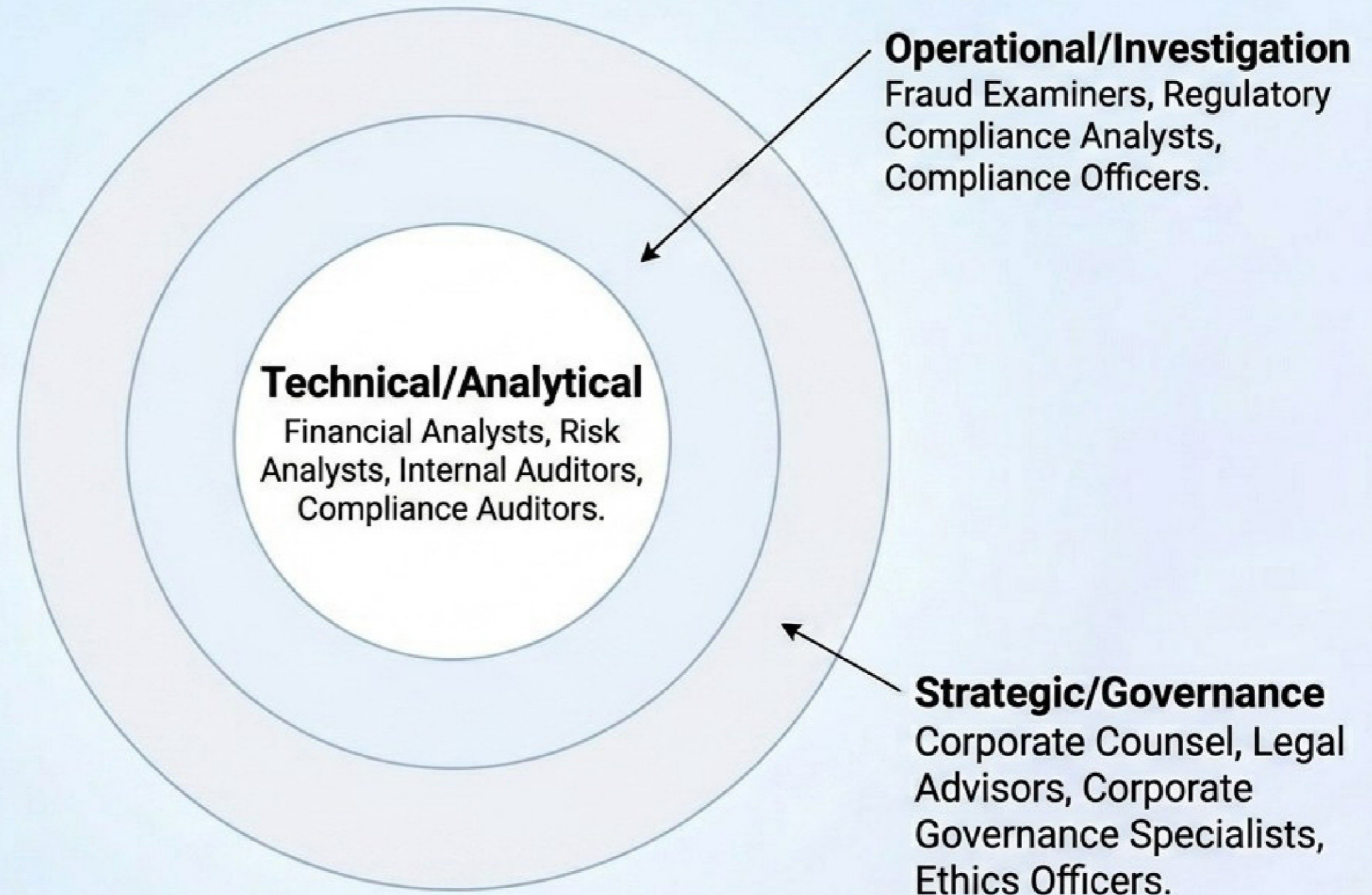
# Skill Application And Impact Matrix

<b>Core Skillset</b>	<b>Organizational Function</b>	<b>Business Impact</b>
Compliance Application & Legal Interpretation	Finance, Legal, and Healthcare Sectors	Mitigates risks, ensures accurate understanding of laws, and maintains organizational integrity.
Surveillance Techniques & Insider Trading Analysis	Security, Intelligence, and Financial Analysts	Detects illegal activities, prevents financial fraud, and ensures market integrity.
Program Development & Ethical Decision Making	Leadership, Project Management, and Operations	Evaluates moral choices to deliver effective solutions and build enterprise trust.

# The Organizational Transformation Engine



# Target Audience Alignment



# Flexible Engagement Formats



## Virtual Live Instructor-Led

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Global reach from any location, consistent uniform learning outcomes, scales easily for large groups.



## On-site Face to Face

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High engagement tailored to your workplace, improves team collaboration, enables direct clarification of doubts.



## Off-site Face to Face

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Distraction-free environment, improves team bonding, dedicated schedule boosts employee morale and development commitment.

# Proof of Impact

*“This Insider Trading Compliance course provided our team with comprehensive practical applications capabilities we immediately put into practice. As a Senior Compliance Officer managing complex operational excellence enhanced our delivery capacity. We completed our comprehensive digital transformation initiative significantly ahead of schedule. The training fundamentally improved our team’s performance metrics and overall efficiency.”*

**Durga Murugan**

Senior Compliance Officer

Regulatory Compliance Platform Provider

# Unmatched Delivery Scale And Localization



**Delivery Capability  
Across 100+ Countries.**



**Available in 10+ Languages**  
(Including English, Español, 普通话, Deutsch)

## Secure Your Organization's Compliance Future



**Empower your teams with industry-leading training. Build lasting capabilities that translate into real organizational performance.**