



Rev: April 2026

FACTS		WHAT DOES ADVISER K5 GLOBAL ADVISOR LLC (“K5 GLOBAL”) DO WITH YOUR PERSONAL INFORMATION?	
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.		
What?	<p>The types of personal information we collect, and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ■ Social security number and Income ■ Assets and Risk tolerance ■ Wire transfer instructions and Transaction history <p>When you are no longer our customer, we continue to share information about you as described in this notice.</p>		
How?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons K5 Global chooses to share; and whether you can limit this sharing.		
Reasons we can share your personal information.	Does K5 Global Share?	Can you limit this sharing?	
For our everyday business purposes - such as to process your transactions, maintain your accounts(s) or respond to court orders and legal investigations.	Yes	No	
For our marketing purposes - to offer our products and services to you.	Yes	No	
For joint marketing with other financial companies	No	We do not share	
For our affiliates' everyday business purposes - information about your transactions and experiences.	Yes	No	
For our affiliates' everyday business purposes – information about your creditworthiness.	No	We do not share	
For our affiliates to market to you	Yes	Yes	
For nonaffiliates to market to you	No	We do not share	
To limit our sharing	<ul style="list-style-type: none"> • Call (415) 466 - 8550 or email: Compliance@k5global.com 		
Questions?	<ul style="list-style-type: none"> • Phone: (415) 466 - 8550 • Email: Compliance@k5global.com • Visit: www.k5global.com. 		



What we do	
How does K5 Global protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secure files and buildings.
How does K5 Global collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> ■ Enter into an investment advisory contract ■ Seek financial advice ■ Make deposits or withdrawals from your account ■ Give us your employment history ■ Give us your contact information
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> ■ sharing for affiliates' everyday business purposes—information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ■ <i>Our affiliates include companies with a common corporate identity</i>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ■ <i>K5 Global does not share with nonaffiliates so they can market to you.</i>
Joint Marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> ■ <i>K5 Global does not jointly market.</i>
Other important information	
<p>The California Consumer Privacy Act, as amended by the California Privacy Rights Act (together the “CCPA”), aims to provide California residents new privacy rights. CCPA provides users the right to limit the use and disclosure of sensitive personal information beyond what is necessary to perform the services as reasonably expected by customers and those other activities allowed under the CCPA and its regulations. Most sensitive personal information that K5 Global may collect and use from customers falls within these categories.</p>	