|  |
| --- |
| **Swastika Investmart Ltd.**   1. Name of Entity: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ 2. Country of Incorporation: India US Other \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ 3. Nature of Business Manufacturing Financial Services Distribution / Retail   Consultancy IT Investments Others   1. Services Provided: Forex / Money Charger Services Gaming / Gambling / Lottery Services   Money Leading / Pawing None of the above   1. (a) Country of Tax Residence: India US Other \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_   5. (b) Tax Identification No. (US TIN) or equipment (other): 1) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ 2) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ 6. Whether “Specified US Person” Yes No  (Please full up EITHER section 7 OR section 8, as the case may be)  7. Please fill up this section if entity is Non US Financial Institution (FFI):   1. Registered Deemed Complaint FFI (Reporting Model 1 FFI) 2. Participating FFI   Please provide GIIN (mandatory) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  If GIIN is not available, please select:   1. Owner Documented FFI with specified US owners 2. Deemed complaint FFI (Other than above mentioned categories) 3. Exempt Beneficial Owner 4. Non-Participating Foreign Financial Institution 5. Non-reporting FFI   8. Please fill up this section if entity is Non-Financial Entity: a. Active NFFE   1. Passive NFFE 2. Direct Reporting NFFE   GIIN (mandatory if ‘c’ is selected): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  9. Please fill below if applicable:   1. Our company is Listed Company listed on recognized stock exchange 2. Our company is a subsidiary of the Company 3. Our company is controlled by a Listed Company Details of Listed Company (if 2nd or 3rd selected above): 4. Name of Company: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ 5. Stock Exchange on which listed: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

|  |
| --- |
| I |

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature Ver: 2.1

Declaration

1. I/We hereby that the details furnished above are true and correct to the best of my/our knowledge and belief correct and complete. In case of any change in the above given status on a future date, I/we undertake to inform Swastika Investmart Ltd. Brooking the same within 30 days.
2. I/we agree that if we are a Specified U.S. Person or tax resident of a reportable foreign jurisdiction (other than U.S.) or an entity with US Persons / foreign tax residents UBO requiring under FATCA/CRS or any other laws, our account details, as required under Inter Governmental Agreement (IGA) /Multilateral Competent Authority Agreement (MCAA) signed by Indian Government, would be reported by Swastika Investmart Ltd. to the relevant tax authority.
3. I/We hereby confirm that details as provided above can be shared by Swastika Investmart Ltd. with the concerned Asset Management Companies (AMC’s) or such other product providers, to whom FATCA/CRS norms are applicable, in whose schemes / products we may invest/transact in future through Swastika Investmart Ltd.

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Designation: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

|  |
| --- |
| II |

For more details about FATCA, please refer US IRS website on http://www.irs.gov/Businesses/Corporations/Foreign-Account-Tax-Compliance-Act-FATCA. If you are sure about your entity’s FATCA status, you are requested to contact your tax advisor.

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature Ver: 2.1