

Client Code: \_\_\_\_\_

## FATCA / CRS DECLARATION (NON – INDIVIDUALS)

1. Name of Entity: \_\_\_\_\_

2. Country of Incorporation: ☐ India ☐ US Other \_\_\_\_\_3. Nature of Business ☐ Manufacturing ☐ Financial Services ☐ Distribution / Retail☐ Consultancy ☐ IT ☐ Investments ☐ Others4. Services Provided: ☐ Forex / Money Charger Services ☐ Gaming / Gambling / Lottery Services☐ Money Leading / Pawing ☐ None of the above5. (a) Country of Tax Residence: ☐ India ☐ US Other \_\_\_\_\_

5. (b) Tax Identification No. (US TIN) or equipment (other): 1) \_\_\_\_\_ 2) \_\_\_\_\_

6. Whether "Specified US Person" ☐ Yes ☐ No

(Please full up EITHER section 7 OR section 8, as the case may be)

7. Please fill up this section if entity is Non US Financial Institution (FFI):

a. Registered Deemed Complaint FFI ☐ (Reporting Model 1 FFI)b. Participating FFI ☐

Please provide GIIN (mandatory) \_\_\_\_\_

If GIIN is not available, please select:

a. Owner Documented FFI with specified US owners ☐b. Deemed complaint FFI (Other than above mentioned categories) ☐c. Exempt Beneficial Owner ☐d. Non-Participating Foreign Financial Institution ☐e. Non-reporting FFI ☐

8. Please fill up this section if entity is Non-Financial Entity: a.

Active NFFE ☐b. Passive NFFE ☐c. Direct Reporting NFFE ☐

GIIN (mandatory if 'c' is selected): \_\_\_\_\_

9. Please fill below if applicable:

a. Our company is Listed Company listed on recognized stock exchange

b. Our company is a subsidiary of the Company

c. Our company is controlled by a Listed Company Details of Listed Company (if 2nd or 3rd selected above):

a. Name of Company: \_\_\_\_\_

b. Stock Exchange on which listed: \_\_\_\_\_

Declaration

1. I/We hereby that the details furnished above are true and correct to the best of my/our knowledge and belief correct and complete. In case of any change in the above given status on a future date, I/we undertake to inform Swastika Investmart Ltd. Brooking the same within 30 days.
  
2. I/we agree that if we are a Specified U.S. Person or tax resident of a reportable foreign jurisdiction (other than U.S.) or an entity with US Persons / foreign tax residents UBO requiring under FATCA/CRS or any other laws, our account details, as required under Inter Governmental Agreement (IGA) /Multilateral Competent Authority Agreement (MCAA) signed by Indian Government, would be reported by Swastika Investmart Ltd. to the relevant tax authority.
  
3. I/We hereby confirm that details as provided above can be shared by Swastika Investmart Ltd. with the concerned Asset Management Companies (AMC's) or such other product providers, to whom FATCA/CRS norms are applicable, in whose schemes / products we may invest/transact in future through Swastika Investmart Ltd.

Name: \_\_\_\_\_

Designation: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_