

General information about company	
Scrip code	530585
NSE Symbol	NOTLISTED
MSEI Symbol	NOTLISTED
ISIN	INE691C01022
Name of the entity	SWASTIKA INVESTMART LIMITED
Date of start of financial year	01-04-2025
Date of end of financial year	31-03-2026
Reporting Quarter Type	Quarterly
Date of Quarter Ending	30-06-2025
Type of company	Equity
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	Yes
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	Yes
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	No
Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	Yes
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	Yes
Whether Annexure I (Part F) of the SEBI Circular dated December 31, 2024 related to Disclosure Of Loans / Guarantees / Comfort Letters / Securities Etc. is Applicable to the entity?	
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other
Is SCORE ID Available ?	Yes
SCORE Registration ID	S00705
Reason For No SCORE ID	
Type of Submission	Original
Remarks (website dissemination)	<a href="#">Add Notes</a>
Remarks for Exchange (not for Website Dissemination)	<a href="#">Add Notes</a>

Annexure I																								
Annexure I to be submitted by listed entity on quarterly basis																								
I. Composition of Board of Directors																								
Disclosure of notes on composition of board of directors explanatory					Add																			
Whether the listed entity has a Regular Chairperson					Yes																			
Is there any change in information of board of directors compare to previous quarter																								
Whether Chairperson is related to MD or CEO					Yes			Disqualification of Directors under section 164 of the Companies Act, 2013																
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director or is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations ]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director or (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & reg. 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation
1	Mr	SUNIL NYATI	AAYPN3522A	00015963	Executive Director	Chairperson	MD	02-02-1962	No				Active	NA		03-06-1992				1	0	1	0	
2	Mrs	ANITA NYATI	AARPN6932H	01454595	Executive Director	Not Applicable		07-12-1965	No				Active	NA		20-08-2008				1	0	0	0	
3	Mr	PARTH NYATI	AGCPN6255G	02583324	Executive Director	Not Applicable	CEO	18-09-1989	No				Active	NA		06-06-2024				1	0	0	0	
4	Mr	CHANDRASHEKHAR BOBRA	ABRPB4875E	00209498	Non-Executive - Independent Director	Not Applicable		18-12-1957	No				Active	NA		01-08-2017	01-08-2022		95.00	2	2	3	3	
5	Mr	TARUN KUMAR BALDUA	ABAPB9375L	02212645	Non-Executive - Independent Director	Not Applicable		18-12-1962	No				Active	NA		20-07-2023	20-07-2023		23.12	1	1	2	0	
6	Mr	ANSHUL AGRAWAL	AJKPA8692B	08058452	Non-Executive - Independent Director	Not Applicable		28-02-1987	No				Active	NA		20-07-2023	20-07-2023		23.12	1	1	1	0	
7	Mr	GYAN CHAND JAIN	ABSPJ6930B	05124184	Non-Executive - Independent Director	Not Applicable		02-10-1959	No				Active	NA		06-06-2024	06-06-2024		12.25	1	1	1	0	

Annexure 1						For this quarter kindly note the following points: 1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee. 2. Date of Appointment can be any day upto September 30, 2022. 3. Date of Cessation must be for the current quarter only, i.e. July 1,2022 to September 30,2022
II. Composition of Committees						
Disclosure of notes on composition of committees explanatory					<a href="#">Add Notes</a>	
Is there any change in information of committees compare to previous quarter					Yes	
Audit Committee Details						
Whether the Audit Committee has a Regular Chairperson					Yes	
Sr	DIN Numbe	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	00209498	CHANDRASHEKHAR BOBRA	Non-Executive - Independent Director	Chairperson	01-08-2017	
2	02212645	TARUN KUMAR BALDUA	Non-Executive - Independent Director	Member	01-04-2024	
3	05124184	GYAN CHAND JAIN	Non-Executive - Independent Director	Member	20-07-2024	
4	08058452	ANSHUL AGRAWAL	Non-Executive - Independent Director	Member	20-07-2024	
Nomination and remuneration committee						
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes	
Sr	DIN Numbe	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	00209498	CHANDRASHEKHAR BOBRA	Non-Executive - Independent Director	Chairperson	01-08-2017	
2	02212645	TARUN KUMAR BALDUA	Non-Executive - Independent Director	Member	01-04-2024	
3	05124184	GYAN CHAND JAIN	Non-Executive - Independent Director	Member	20-07-2024	
Stakeholders Relationship Committee						
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes	
Sr	DIN Numbe	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	00209498	CHANDRASHEKHAR BOBRA	Non-Executive - Independent Director	Chairperson	01-08-2017	
2	02212645	TARUN KUMAR BALDUA	Non-Executive - Independent Director	Member	01-04-2024	
3	00015963	SUNIL NYATI	Executive Director	Member	20-07-2024	

Annexure 1								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory				Add Notes				
Sr. No.	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1		20-01-2025			Yes	7	7	4
2		30-04-2025	99		Yes	7	6	3

Annexure 1										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory							Add Notes			
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	20-01-2025				Yes	4	4	4	0
2	Audit Committee	30-04-2025	99			Yes	4	3	3	0
3	Nomination and remuneration committee	20-01-2025				Yes	3	3	3	0
4	Nomination and remuneration committee	30-04-2025				Yes	3	2	2	0

## Annexure 1

V. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 <b>a. Audit Committee</b>	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>b. Nomination &amp; remuneration committee</b>	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>c. Stakeholders relationship committee</b>	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. <b>d. Risk management committee (applicable to the top 1000 listed entities)</b>	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	<b>Any comments/observations/advice of Board of Directors may be mentioned here:</b>	<div>Add Notes</div>

## Details of Cyber security incidence

Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber	No
Other details of cyber security incidence or breaches or loss of data event	
Number of cyber security incidence or breaches or loss of data event occurred during the	
<b>Sr.</b>	<b>Date of the event</b>
	<b>Brief details of the event</b>

Signatory Details	
Name of signatory	Shikha Agrawal
Designation of person	Company Secretary and Compliance Officer
Place	Indore
Date	26-07-2025

Investor Grievance Details	
No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	0
No. of investor complaints disposed off during the Quarter	0
No. of investor complaints those remaining unresolved at the end of the Quarter	0

Disclosure of Imposition of Fine or Penalty					
Any Other Information for Disclosure of Imposition of Fine or Penalty					Add Notes
Sr. No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
1	BSE	Penalty of Rs. 200	05-04-2025	Non/Wrong Uploading of CTCL details for Feb 2025	There is no impact on the financials, operations, or other activities of the Company
2	NSE	Penalty of Rs. 100	25-04-2025	Non/Wrong Uploading of CTCL details for March 2025	There is no impact on the financials, operations, or other activities of the Company
3	NSE,MCX and NCDEX	Penalty of Rs. 170493.93	30-04-2025	Penalty levied for short allocation of client collateral against its Margin requirement	There is no impact on the financials, operations, or other activities of the Company
4	BSE	Penalty of Rs. 5000	29-05-2025	Penalty for not meeting SME Market making criteria	There is no impact on the financials, operations, or other activities of the Company
5	NSE and MCX	Penalty of Rs. 49108.54	31-05-2025	Penalty levied for short allocation of client collateral against its Margin requirement	There is no impact on the financials, operations, or other activities of the Company
6	CDSL	Penalty of Rs. 500	04-06-2025	Incorrect entry of PAN details in CDAS	There is no impact on the financials, operations, or other activities of the Company
7	BSE	Penalty of Rs. 100	04-06-2025	Non/Wrong Uploading of CTCL details for April 2025	There is no impact on the financials, operations, or other activities of the Company
8	MCX	Penalty of Rs. 5000	12-06-2025	Penalty for Non Compliance reported in Internal Audit for October 2023-March 2024	There is no impact on the financials, operations, or other activities of the Company
9	MCX	Penalty of Rs. 30000	13-06-2025	Penalty for Non Compliance reported in Internal Audit for April 2024-Sep 2024	There is no impact on the financials, operations, or other activities of the Company
10	NSE Clearing	Penalty of Rs. 5192.30	26-06-2025	SLB margin shortage penalty for May 2025	There is no impact on the financials, operations, or other activities of the Company
11	NSE Clearing	Penalty of Rs. 45.34	26-06-2025	Non Submission of Segregation data Feb to March 2025 for CM segment	There is no impact on the financials, operations, or other activities of the Company
12	BSE	Penalty of Rs. 5000	27-06-2025	Observation for not meeting SME Market making criteria	There is no impact on the financials, operations, or other activities of the Company
13	NSE Clearing	Penalty of Rs. 2634.26	30-06-2025	Non Submission of Segregation data April 2025	There is no impact on the financials, operations, or other activities of the Company
14	NSE, MCX and NCDEX	Penalty of Rs. 50280.76	30-06-2025	Penalty levied for short allocation of client collateral against its Margin requirement	There is no impact on the financials, operations, or other activities of the Company
15	CDSL	Penalty of Rs. 2800	02-07-2025	14 DIS were not scanned and uploaded by the DP within the stipulated timeframe in May 2025	There is no impact on the financials, operations, or other activities of the Company
16	NSE	Penalty of Rs. 8420.29	01-04-2025	Penalty levied for short allocation of client collateral against its Margin requirement for March 2025	There is no impact on the financials, operations, or other activities of the Company

Disclosure of Updates to Ongoing Tax Litigations or Disputes				
Any Other Information for Disclosure of Updates to Ongoing Tax Litigations or Disputes				Add Notes
Sr. No.	Name of the opposing party	Date of initiation of the litigation / dispute	Status of the litigation / dispute as per last disclosure	Current status of the litigation / dispute
1	Appeal filed and pending with the Commissioner of Income-tax (Appeals) with respect to FY 2017-18 for Rs. 5513812/- for disallowance on account of effect due to adoption of IND AS, routine stock exchange penalty and excess provision written back	22-02-2022	In Appeal before Hon'ble CIT(A).	Matter is pending before Hon'ble CIT(A).
2	Appeal filed and pending with the Commissioner of Income-tax (Appeals) with respect to FY 2014-15 for Rs. 7814644/- for assessment U/s 143(3) and 156 of the Income Tax Act, 1961.	29-12-2017	In Appeal before Hon'ble CIT(A).	Matter is pending before Hon'ble CIT(A).
3	Appeal filed and pending with Joint Commissioner CGST (Appeals), Indore, for FY 2018-19 for Rs. 5816356/- for the issue related to alleged excess Input Tax Credit Claim	26-12-2023	In Appeal and matter is pending before Hon'ble JC CGST (A).	Hon'ble JC CGST (A) issued an order on 30.04.2025 setting aside the appeal. Currently, the Company is in process to File Appeal Before Goods and Service Tax Appellate Tribunal (GSTAT) within 03 months from the date of order or date on which the President or the State President, as the case may be, of the Appellate Tribunal enters office, whichever is later.