

**SWASTIKA INVESTMART LIMITED**

**ANNEXURE- 'B'**

**Investor's Complaints Data by Stock Brokers**

**Data for every month ending:(Nov,2025)**

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution time (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	1	1	0	1	0	15
2	SEBI (SCORES)	2	3	4	1	4	0	15
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
	<b>Grand Total</b>	<b>2</b>	<b>4</b>	<b>5</b>	<b>1</b>	<b>5</b>	<b>0</b>	<b>18</b>

### Trend of monthly disposal of complaints

SN	Month	Carried Forward from previous month	Received	Resolved	Pending
1	Dec-24	7	8	13	2
2	Jan-25	2	8	5	5
3	Feb - 25	5	5	6	4
4	Mar - 25	4	8	4	8
5	Apr-25	8	1	6	3
6	May-25	3	7	2	8
7	June-25	8	6	7	7
8	July-25	7	2	3	6
9	Aug-25	6	4	4	6
10	Sep-25	6	5	4	7
11	Oct-25	7	3	8	2
12	Nov-25	2	4	1	5

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current

### Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021-22	4	105	100	9

2	2022-23	9	105	106	8
3	2023-24	8	90	95	3
4	2024-25	3	95	90	8
5	2025-26	8	32	35	5