

General information about company	
Scrip code	530585
NSE Symbol	NOTLISTED
MSEI Symbol	NOTLISTED
ISIN	INE691C01022
Name of the entity	SWASTIKA INVESTMART LIMITED
Date of start of financial year	01-04-2025
Date of end of financial year	31-03-2026
Reporting Quarter Type	Quarterly
Date of Quarter Ending	31-12-2025
Type of company	Equity
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	Yes
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	Yes
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	No
Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	Yes
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	Yes
Whether Annexure I (Part F) of the SEBI Circular dated December 31, 2024 related to Disclosure Of Loans / Guarantees / Comfort Letters / Securities Etc. is Applicable to the entity?	
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other
Is SCORE ID Available ?	Yes
SCORE Registration ID	S00705
Reason For No SCORE ID	
Type of Submission	Original
Remarks (website dissemination)	<input type="button" value="Add Notes"/>
Remarks for Exchange (not for Website Dissemination)	<input type="button" value="Add Notes"/>

Annexure 1	
II. Composition of Committees	
Disclosure of notes on composition of committees explanatory	Add Notes
Is there any change in information of committees compare to previous quarter	Yes

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically

For this quarter kindly note the following points:
1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.
2. Date of Appointment can be any day upto September 30, 2022.
3. Date of Cessation must be for the current quarter only, i.e. July 1, 2022 to September 30, 2022

Audit Committee Details						
Whether the Audit Committee has a Regular Chairperson						Yes
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	00209498	CHANDRASHEKHAR BOBRA	Non-Executive - Independent Director	Chairperson	01-08-2017	
2	02212645	TARUN KUMAR BALDUA	Non-Executive - Independent Director	Member	01-04-2024	
3	05124184	GYAN CHAND JAIN	Non-Executive - Independent Director	Member	20-07-2024	
4	08058452	ANSHUL AGRAWAL	Non-Executive - Independent Director	Member	20-07-2024	

Nomination and remuneration committee						
Whether the Nomination and remuneration committee has a Regular Chairperson						Yes
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	00209498	CHANDRASHEKHAR BOBRA	Non-Executive - Independent Director	Chairperson	01-08-2017	
2	02212645	TARUN KUMAR BALDUA	Non-Executive - Independent Director	Member	01-04-2024	
3	05124184	GYAN CHAND JAIN	Non-Executive - Independent Director	Member	20-07-2024	

Stakeholders Relationship Committee						
Whether the Stakeholders Relationship Committee has a Regular Chairperson						Yes
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	00209498	CHANDRASHEKHAR BOBRA	Non-Executive - Independent Director	Chairperson	01-08-2017	
2	02212645	TARUN KUMAR BALDUA	Non-Executive - Independent Director	Member	01-04-2024	
3	00015963	SUNIL NYATI	Executive Director	Member	20-07-2024	

Annexure 1									
III. Meeting of Board of Directors									
Disclosure of notes on meeting of board of directors explanatory					Add Notes				
Sr. No.	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*	
Add		Delete							
1		22-07-2025			Yes	7	7	4	
2		18-10-2025	87		Yes	7	6	3	

Annexure 1										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory							Add Notes			
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
Add		Delete								
1	Audit Committee	22-07-2025				Yes	4	4	4	0
2	Audit Committee	18-10-2025	87			Yes	4	3	3	0
3	Nomination and remuneration committee	22-07-2025				Yes	3	3	3	0
4	Nomination and remuneration committee	18-10-2025	87			Yes	3	3	3	0

Annexure 1		
V. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Shikha Agrawal
2	Designation	Company Secretary and Compliance Officer

Details of Cyber security incidence		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Other details of cyber security incidence or breaches or loss of data event		Add Notes
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event

Signatory Details	
Name of signatory	Shikha Agrawal
Designation of person	Company Secretary and Compliance Officer
Place	Indore
Date	21-01-2026

Investor Grievance Details	
No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	1
No. of investor complaints disposed off during the Quarter	1
No. of investor complaints those remaining unresolved at the end of the Quarter	0

Disclosure of Imposition of Fine or Penalty

Any Other Information for Disclosure of Imposition of Fine or Penalty					
					Add Notes
Sr. No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
<div style="display: flex; justify-content: space-around; width: 100%;"> Add Delete </div>					
1	NCDEX	Penalty of Rs. 7500	01-10-2025	Penalty for Non Compliance reported in Internal Audit report submitted for half year ended on March 2025	There is no impact on the financials, operations, or other activities of the Company
2	NCDEX	Penalty of Rs. 5840	09-10-2025	Penalty for False Allocation of Excess Collateral for Period Aug 23 - Mar 24	There is no impact on the financials, operations, or other activities of the Company
3	MCX	Penalty of Rs. 20000	13-10-2025	Penalty for Non Compliance reported in Internal Audit report submitted for half year ended on March 2025	There is no impact on the financials, operations, or other activities of the Company
4	NSE	Penalty of Rs. 1000	19-11-2025	Non uploading of CTCL details for Oct 2025	There is no impact on the financials, operations, or other activities of the Company
5	CDSL	Penalty of Rs. 500	04-11-2025	Incorrect PAN details in CDAS	There is no impact on the financials, operations, or other activities of the Company
6	NSE, NCDEX and MCX	Penalty of Rs. 64157.44	31-12-2025	Penalty levied for short allocation against Margin requirement	There is no impact on the financials, operations, or other activities of the Company