



1. ACCOUNT INFORMATION

Account Holder's Name: Account No.: Email:

2. ACCOUNT ESTABLISHMENT FEE

\$50 One-time account establishment fee, per account.

Fee is due when application is received. New accounts that do not fund within thirty (30) days may be closed at Administrator's discretion.

3. RECORDKEEPING FEES (Please choose one)

OPTION ONE: Based on Number of Assets

Billed upon initial funding, quarterly thereafter.

\$85 Per Quarter, Per Asset and / or Liability

- \$537.50 Maximum Quarterly Fee
Liabilities include non-recourse loans
\$30 / quarter if ONLY cash is held in the account

Asset Transaction Processing Fees

Purchase, Sale, or Re-Registration of Asset\* and / or Liability:

- Real Estate: \$150
Non-Real Estate: \$95

Purchase, sale, and re-registration of asset fees\* are charged at time transaction is processed.

\*Re-registration of asset fees do not apply to incoming assets.

Recordkeeping fees are not pro-rated and are non-refundable.

OPTION TWO: Based on Total Account Value

Billed upon first asset purchase, annually thereafter.

(\$30 / quarter until first asset is purchased)

Table with 2 columns: Total Market Value, Annual Fee. Rows range from \$1-\$9,999.99 to \$750,000+.

- OR -

Asset Transaction Processing Fees

Purchase, Sale, or Re-Registration of Asset and / or Liability:

- Real Estate: \$0
Non-Real Estate: \$0

Recordkeeping fees are not pro-rated and are non-refundable.

4. SERVICE FEES

Table with 2 columns: Service Fee Description, Amount. Includes ACH/Check, Cashier's Check, Certified Mail, etc.

1 ACH, check or wire fees may apply
2 Re-registration of asset fees may apply



MOUNTAIN WEST IRA

# FEE SCHEDULE

13905 W. Wainwright Dr.  
Boise, ID 83713  
Phone: (208) 314-4018  
Fax: (208) 376-4567

## 5. FEE PAYMENT METHOD

All ongoing fees will be paid via the selected payment method below. (Please choose one)

**CREDIT CARD**

(Complete CC info - All major credit cards accepted)

**FUND FROM ACCOUNT**

**CHECK**

Credit Card Number: \_\_\_\_\_ Exp. Date: \_\_\_\_\_

Exact Name on Card: \_\_\_\_\_ Security Code: \_\_\_\_\_

Billing Address: \_\_\_\_\_ City: \_\_\_\_\_ ZIP: \_\_\_\_\_

Signature: \_\_\_\_\_

**CHARGE CREDIT CARD FOR ACCOUNT ESTABLISHMENT FEE**

Please indicate the payment method you wish to use to pay ongoing fees in the box to the left.

**BILL THIRD PARTY** - Name of Third Party: \_\_\_\_\_

Phone No.: \_\_\_\_\_ Email: \_\_\_\_\_

Address: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Third party must be pre-arranged through Mountain West IRA. Although investment sponsors or financial advisors may offer to pay your fees, you, the account holder, are personally responsible for payment of all fees.

## 6. DISCLOSURE & SIGNATURE

**Recordkeeping Fees:** Recordkeeping fees are not pro-rated or refundable upon account closing and are normally withdrawn from your undirected funds unless you submit payment before the due date by check, credit, or debit card. Fees paid from your account will be reflected on your statement. If a recordkeeping Fee Option is not selected, fees will be based on "Option Two – Account Value".

**Late Fees:** When recordkeeping fees are not paid within thirty (30) days a late fee will be assessed. Option One late fee: \$25 each unpaid quarter; Option Two late fee: \$75 each unpaid year.

**Re-Registration of Asset Fees:** If your account is on the Option One fee structure, you will be charged an asset re-registration fee each time an asset is transferred into or out of your Mountain West IRA account (Ex: real estate, promissory notes, convertible notes, private stock, private placements, LLC's etc.).

**Fair Market Valuation Acquired by MWIRA Fees:** Mountain West IRA (MWIRA) requires you to submit a Fair Market Valuation Form (FMV) with supporting valuation documents every twelve (12) months for each non-cash asset held in your account. If FMV forms are not received, MWIRA reserves the right to assign, determine and calculate the FMV of your account asset(s) using information we have readily available to us. MWIRA also reserves the right to charge a \$100 fee per asset for determining the market value of your self-directed account. If an asset valuation is not provided every twelve (12) months, MWIRA reserves the right to resign as Administrator of the account.

**Failure to Pay Fees:** Mountain West IRA reserves the right to collect fees not paid within thirty (30) days from your account, regardless of your elected payment method. In accordance with your Plan and Trust Disclosure, if there are insufficient cash funds in your account and we have provided you with a thirty (30) day written notice, we may liquidate other asset(s) held in your account to cover outstanding fees, including the account termination and applicable re-registration fees.

**Minimum Cash Balance:** Mountain West IRA requires all accounts that do not have a credit card on file to maintain a minimum \$500 cash balance to cover administrative fees that may occur. The minimum cash balance can be maintained inside your account or pre-paid by check or credit card outside of your account. Pre-payments credited outside of your account will not be co-mingled with funds held inside your account.

**Account Termination:** When you terminate and close your account, you agree to pay a termination fee of \$150, all outstanding fees owed, plus applicable asset re-registration and / or service fees for each asset that is sold, distributed, transferred, or resigned on. Accounts with past due fees and accounts with zero value will continue to incur administrative fees until the account holder notifies Mountain West IRA of intent to close the account or until Mountain West IRA resigns as Administrator.

You agree and direct Mountain West IRA that your undirected cash is placed in government insured instruments, including FDIC insured banks, unless we are otherwise directed by you. Custodial fees are part of the Plan and Trust Disclosure. In accordance with your Account Application, this Fee Schedule is part of your Agreement with the Administrator.

Mountain West IRA reserves the right to adjust the Fee Schedule at any time and agrees to provide you with notice through email thirty (30) days in advance of the effective change (if an email address was not provided, notice will be sen by U.S. mail).

Account Holder's Signature: \_\_\_\_\_ Date: \_\_\_\_\_