

Access Wealth Group, LLC Privacy Policy

Effective Date: August 21, 2025

1. Introduction

Access Wealth Group, LLC (“AWG”, “we”, “us”, or “our”) is committed to safeguarding the privacy and confidentiality of our clients’ personal and financial information. This Privacy Policy outlines how we collect, use, disclose, and protect your information in accordance with applicable laws and regulations, including the Gramm-Leach-Bliley Act (GLBA), SEC Regulation S-P, FINRA Rule 3110, and Regulation S-ID.

2. Scope

This policy applies to all personal information collected from clients, prospective clients, and website visitors through www.accesswealth.vc and other interactions with AWG.

3. Information We Collect

We collect nonpublic personal information (NPI), which may include:

- Name, address, date of birth, Social Security number
- Financial account numbers and balances
- Income sources and amounts
- Investment objectives and risk tolerance
- Employment and tax information
- Communications and documents shared with us

4. Use of Information

We use your information to:

- Deliver financial planning and investment advisory services
- Execute transactions and manage accounts
- Communicate with you about your portfolio and our services
- Comply with legal and regulatory obligations

We do not sell or rent your personal information to third parties.

5. Disclosure of Information

We may share your information only:

- With affiliates and service providers who assist in delivering services
- As required by law, regulation, or legal process
- With your explicit consent

We ensure that all third-party providers adhere to our privacy and security standards.

6. Your Rights and Choices

You have the right to:

- Access and review your personal information
- Request corrections or updates
- Opt out of certain disclosures to non-affiliated third parties
- Withdraw consent for marketing communications

To exercise these rights, contact us at info@accesswealth.vc or 614-285-4777.

7. Safeguarding Your Information

We implement robust safeguards to protect your data:

- Physical Security: Locked offices and secure file storage
- Electronic Security: Password-protected systems, firewalls, encryption
- Employee Training: Mandatory privacy and cybersecurity training
- Third-Party Oversight: Contracts requiring compliance with our standards

We also maintain an Identity Theft Prevention Program to detect and respond to red flags such as suspicious account activity or forged documents.

8. Website Privacy

When you visit www.accesswealth.vc:

- We collect only information you voluntarily provide
- Sensitive data is encrypted and transmitted securely
- You may opt out of future communications at any time

9. Incident Response

In the event of a data breach:

- We will notify affected individuals within 30 days
- We will document the incident and our response
- We will report to regulators as required

10. Annual Privacy Notice

We provide an annual privacy notice to all clients, detailing our practices and your rights. Delivery is tracked and documented per compliance procedures.

11. Regulatory Disclosures

Access Wealth Group, LLC is a registered investment adviser. We are independent of Charles Schwab & Co., Inc. ("Schwab"), and neither party is responsible for the content of the other's website.

This policy is not an offer to sell any investment or insurance-related products. You should consult a financial advisor before making investment decisions.

12. Contact Us

If you have questions or concerns about this policy, please contact:

Access Wealth Group, LLC

Phone: 614-285-4777

Email: info@accesswealth.vc

Website: www.accesswealth.vc