



## Client Relationship Summary - February 2026

alphaAI Capital Management LLC (alphaAI) is an investment adviser registered with the U. S. Securities and Exchange Commission. Investment advisory services and fees differ from brokerage services and fees, and it is important for you to understand the differences.

Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://www.investor.gov/CRS), which also provides educational materials about investment advisers, broker-dealers, and investing.

### **What investment services and advice can you provide me?**

We provide **internet-based discretionary investment management services** to retail investors.

As part of our services, we construct and manage customized portfolios based on your financial information, investment objectives, and risk tolerance. We monitor client portfolios on an ongoing basis and make investment decisions consistent with the selected strategy.

We manage accounts on a discretionary basis, which means we have authority to buy and sell investments in your account without asking you for approval prior to each transaction. The scope of this authority is established in our investment advisory agreement and may be modified upon request.

We primarily invest client assets in exchange-traded securities, including exchange-traded funds and equities. Certain strategies may involve leveraged ETFs, short selling, and the use of margin, which carry additional risks.

Our general minimum account requirement is \$100, though certain strategies may require higher minimum investment amounts.

**For additional information, and a description of the service offerings, please see our Form ADV Part 2A Brochure (with special emphasis on Items 4 and 8) which can be found on our website [alphaai.capital](https://alphaai.capital) or the SEC website at [adviserinfo.sec.gov](https://adviserinfo.sec.gov). The firm CRD number is 316128.**

#### **Conversation Starters - Ask Your Financial Professional:**

Given my financial situation, should I choose investment advisory services? Why or why not?  
How will you choose investments for me?  
What is your relevant experience, including licenses, education and other qualifications? What do these qualifications mean?

### **What fees will I pay?**

**Principal Fees:** alphaAI is compensated for providing investment advisory services as follows:

- Accounts below \$10,000: \$4 per month
- Accounts \$10,000 and above: 0.50% annually, billed monthly

The asset-based management fee is calculated using the account's average daily ending net asset value (NAV) for the prior calendar month (trading days only) and is billed in arrears. Fees are deducted from your brokerage account pursuant to your authorization.

Some legacy clients may remain subject to prior fee arrangements.

We do not charge performance-based fees.



**Other Fees and Costs:** alphaAI's fees do not include brokerage commissions, transaction fees, margin interest, or other costs charged by the custodian or broker-dealer. These additional fees and expenses are separate and may apply depending on your investment activity.

**Additional Information:** You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce the amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. **More detailed information about our fees and costs are included in our Brochure (Items 5 and 6).**

**Conversation Starter - Ask Your Financial Professional:**

Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

**What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?**

**When we act as your investment adviser,** we are required to act in your best interest and not put our interests ahead of yours. At the same time, the way we are compensated creates certain conflicts of interest.

For example, alphaAI and its investment adviser representatives may invest for their own accounts in the same securities that we recommend or trade for client accounts. This creates a conflict of interest, which we mitigate through our Code of Ethics and compliance program designed to ensure that client interests come first.

alphaAI may also offer referral incentives or promoter arrangements, which create a conflict of interest because a referring person has a financial incentive to recommend our services. These arrangements are managed in accordance with applicable regulatory requirements.

Additional information about conflicts of interest is included in our **Form ADV Part 2A Brochure.**

**Conversation Starter - Ask Your Financial Professional:**

How might your conflicts of interest affect me, and how will you address them?

**How do your financial professionals make money?**

Our investment advisor representatives are compensated through a portion of the advisory fees we receive. Their compensation does not vary based on the specific investments recommended.

**Do you or your financial professionals have legal or disciplinary history?**

No - Neither the firm nor our financial professionals have any legal or disciplinary history. You may visit [Investor.gov/CRS](http://Investor.gov/CRS) for a free and simple search tool to research our firm and financial professionals.

**Conversation Starter - Ask Your Financial Professional:**

As a financial professional, do you have any disciplinary history? For what type of conduct?

**We encourage you to seek out additional information about our investment advisory services in our Brochure on [Investor.gov](http://Investor.gov) or [adviserinfo.sec.gov](http://adviserinfo.sec.gov) (CRD #316128). Alternatively, you can call alphaAI at (913) 549-8289 to speak with us directly to request up-to-date information and request a copy of the relationship summary.**

**Conversation Starters - Ask Your Financial Professional:**

Who is my primary contact person?  
Is that person an investment adviser or a broker-dealer representative?  
Who can I talk to if I have concerns about how I am being treated?