Individual tax residency self-certification

NZ Britannia
RETIRE BETTER

For account holders to confirm their country(ies) of tax residence

We are obliged under New Zealand law to collect certain information about each account holder's tax status. Please complete the sections below and provide any additional information that is requested.

Where there are joint account holders, each investor is required to complete a separate self-certification form.

1. Account holder identification

Full name:

Date of birth:

Place and country of birth:

Permanent residential address

Street:

Suburb: Postcode:

City: Country:

Mailing address (if different from above)

Street:

Suburb: Postcode:

City: Country:

2. Confirmation of New Zealand tax status

Please tick a, b or c to confirm:

- ☐ a. I am a tax resident in NZ only (**complete Sections 4 and 5**).
- ☐ b. I am a tax resident in NZ and in another country or jurisdiction (**complete Sections 3, 4 and 5**).
- ☐ c. I am an overseas tax resident only and not a tax resident in NZ (**complete Sections 3, 4 and 5**).

3. Confirmation of tax residency other than NZ or US

I am resident for tax purposes in the following country(ies):

Country/countries of tax residency	Tax identification number type	Tax identification number *

* If you have not been issued with a tax identification number (TIN) or are unable to obtain the equivalent please indicate "N/A" (not applicable) and circle the reason below:

- ☐ **Reason A** The country of tax residency does not issue TINs to tax residents.
- ☐ **Reason B** The individual has not been issued with a TIN.
- ☐ **Reason C** The country of tax residency does not require the TIN to be disclosed.
- ☐ **Reason D** Other - please explain below.

4. Confirmation of my US tax status

Please tick either a, b or c and complete as appropriate.

- ☐ a. I confirm that **I am not** a US citizen or resident in the US for tax purposes.
- ☐ b. I confirm that **I am** a US citizen and/or resident in the US for tax purposes (green card holder or resident under the substantial presence test) and my U.S. federal taxpayer identifying number (US TIN) is as follows:
- ☐ c. I confirm that I was born in the US (or a US territory) but **I am no longer** a US citizen as I have voluntarily surrendered my citizenship as evidenced by the attached documents.

5. Declaration and undertakings

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I will advise Lifetime Asset Management Limited promptly and provide an updated self-certification form where any change in circumstances occurs which causes any of the information contained in this form to be inaccurate or incomplete.

I acknowledge that this information will, by law, need to be shared with the IRD. I understand that the IRD may then exchange this information with tax authorities of another country/jurisdiction in which I may be resident.

Signature: Date:

Please return this form to us by email to **investments@nzbritannia.co.nz** or send by post to **PO Box 302 369, North Harbour, Auckland 0751, New Zealand**.