



Case Study

Unifying Insights: Generative AI for a Leading Wealth Management Organization

Client Overview

A leading wealth management organization implemented a unified Generative AI platform to streamline RM workflows and modernize insight delivery. By combining RAG-based document intelligence and Text-to-SQL portfolio analytics, the organization reduced manual labor, improved accuracy, and strengthened data governance across its RM ecosystem

The Challenge?



Fragmented Data

RMs relied on disparate sources spread across SharePoint and AWS environments.



Manual Effort

Preparation for review meetings involved significant spreadsheet-driven KPI calculations.



Slow Discovery

Fragmented workflows slowed decision-making and limited consistent client interactions.

Solution Delivered



Conversational AI Platform

Natural-language access to unstructured and structured data.



RAG Pipelines

Summary and Q&A capabilities for SharePoint-hosted research and documents.



Text-to-SQL Engine

Instant computation of Allocation, XIRR, and Revenue KPIs.



Secure Integration

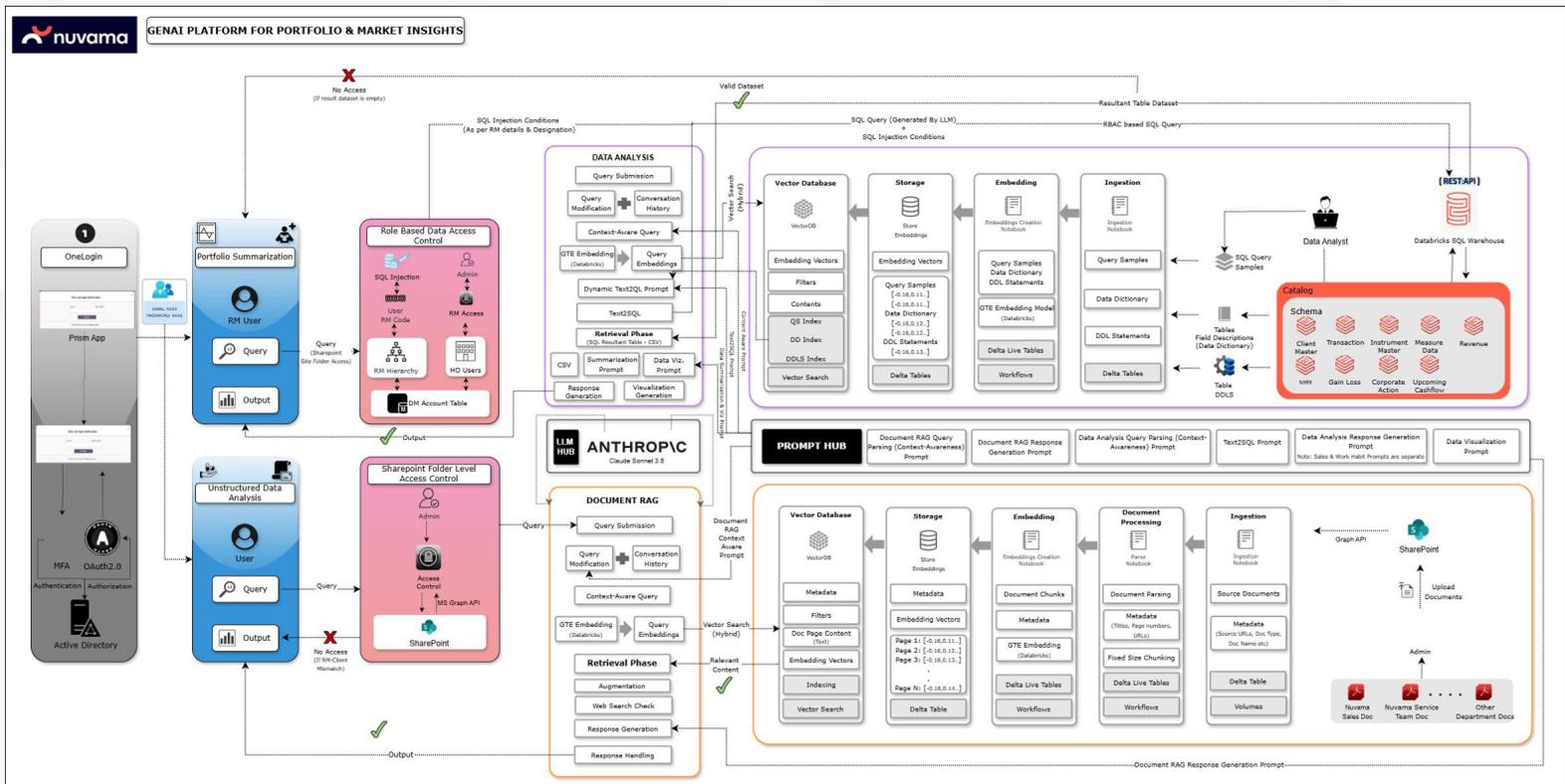
Deployment across MS Teams and Prism with full RBAC enforcement.



Model Upgrade

Platform optimized with Claude 4.5 Sonnet for superior reasoning.

The Architecture



The Impact

Unified Insight Data

RMs query all required information through a single interface.

RM Productivity

Major gains from reduced manual data extraction and searching.

Accurate Analytics

KPIs and charts generated instantly over S3 datasets.

Enterprise Security

Fully aligned with IT and cloud security policies via RBAC-driven visibility.

Outcome

The phase 1 delivery has successfully transformed how Relationship Managers access information and prepare for client engagements. The solution establishes a scalable foundation for continued AI-driven decision-making and operational excellence.



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