

Sunrise Wind

Massachusetts Fisheries Direct Compensation Program

Eligibility Application

Commercial fishermen and party/charter boat operations must use this form to demonstrate eligibility for compensation under the Sunrise Wind Massachusetts Fisheries Direct Compensation Program. The Massachusetts Fisheries Direct Compensation Program will provide financial compensation for mitigating direct losses/impacts to commercial fishing and party/charter boat fishing during the construction, operation, and decommissioning phases of Sunrise Wind. Separate eligibility forms must be submitted for each affected vessel. Only the DMF permit holder may apply for eligibility.

This form must be completed in full and delivered to the Technical Assistance Provider (TAP) designated to administer the fund. Applicants can file the form electronically by emailing it to FisheriesDirectCompensation@pkfod.com or by mailing a physical copy to:

PKF O'Connor Davies
155 Federal St #200
Boston, MA 02110

You may contact the TAP by email (FisheriesDirectCompensation@pkfod.com) or by phone at (781) 937-5737 if you have questions on the application.

This eligibility form may be used to prequalify for compensation to improve the efficiency of the claim and payment phase and pay claims faster. Once you are deemed eligible by the TAP, you will be asked to submit a simplified claims form to inform your direct compensation payment.

The TAP will approve or reject eligibility submittals during the eligibility period based on the information submitted with your application.

I. Applicant Information

A. Name: _____
First Last M.I.

B. Mailing Address:

Street Address	Apartment/Unit	
City	State	Zip

C. Place of Residence (if different from mailing address):

Street Address	Apartment/Unit	
City	State	Zip

D. Phone: _____

E. Email: _____

F. Fishing Operation Information (complete the section that applies):

☐ Commercial fishing operation

1. Vessel Name: _____

2. State Registration Number/Coast Guard Documentation Number: _____

3. Homeport (as listed on your state or Coast Guard registration):

4. Federal Permit (if applicable): _____

5. State Commercial Fishing Permit Number: _____

6. Tax Identification Number (TIN), if applicable: _____

7. Gear Type: _____

8. Primary Species Fished: _____

☐ Party and charter boat information

1. Vessel Name: _____

2. MA Charter/Party Permit Number: _____

3. Federal Permit (if applicable): _____

4. Business Name (if different from applicant name): _____

5. Tax Identification Number (TIN), if applicable: _____

II. Demonstration of Eligibility

Identify the project phase for which you are seeking eligibility to submit a claim:

- ☐ Business interruption during construction and the operations period following construction.
- ☐ Business interruption during the decommissioning phase.
- ☐ Business interruption during the operations phase that arises from an extraordinary unforeseen event (e.g., extraordinary maintenance in the Project area resulting in extended constraints on access).

Applicants must stipulate to the following eligibility criteria:

- You must hold a valid state fishing or landing permit;
- You must have a homeport in Massachusetts (as documented on your vessel registration) or be a resident or incorporated business in a Massachusetts; and
- You must demonstrate a history of the vessel operating in the Sunrise Project area in the three years prior to eligibility and having incurred a direct impact/direct loss caused by Sunrise.

Schedule A identifies the documentation needed to verify eligibility. Failure to provide adequate documentation to the TAP may lead the TAP to disqualify you from participating in the program.

III. Confidentiality

Information provided via this application process will be kept confidential by the TAP, except as otherwise required by law. Notwithstanding anything herein to the contrary, if the TAP pays a claim, the amount of the payment and the identity of the recipient will be reported to the Massachusetts Division of Marine Fisheries and made a public record.

IV. Notification

The TAP will notify you of the decision regarding your eligibility by contacting you at the email address provided above.

V. Certification and Release

By completing and signing this form, I certify my understanding of the following:

- A. I understand and acknowledge that the TAP will rely on the information I have provided, and I agree that the information I have provided is material to my request for eligibility. I certify upon the pains and penalties of perjury that I have provided complete and truthful information here and to the TAP for considering my eligibility.
- B. I certify that I am duly authorized to bind the entity or individual and the vessel identified above.
- C. I consent to allowing the TAP to use VTRs, SAFIS trip-level data, and other Divisions of Marine Fisheries data, as applicable, to verify the information contained in this application, and I waive any and all confidentiality pertaining to this information as it relates to this application.

Signature _____ Date _____

Title (if any): _____

Schedule A: Examples/Operations Interruptions Events Qualifying for Compensation

1. Possible business interruptions arising from unforeseen extraordinary events may include the following or similar event:
 - Extraordinary maintenance in the Project area resulting in extended constrained access within the Sunrise Wind Project area
2. Examples of excluded Operations Interruptions are:
 - Fishery management measures that constrain catch or access to fishing grounds (e.g., quotas, area closures) or seasonal restrictions;
 - General declines in stock for targeted species caused by climate change;
 - Environmental changes unrelated to Sunrise Wind;
 - Harmful algal blooms;
 - Vessel or other property damage;
 - Reductions in fishing activity due to personal illness or public health measures;
 - Inclement weather; or
 - Force majeure events where the direct impact to applicant was not exacerbated or contributed to by the operation or maintenance of the Sunrise Wind Project.

Schedule B. Documentation to Affirm Eligibility to Participate in the Direct Compensation Program

A. Commercial fishing documentation is required for the three years prior to construction.

- If you file Vessel Trip Reports (VTRs) with the National Marine Fisheries Service (NMFS):
 - You must submit one of the following documents:
 - Your VTR data for the relevant years; or
 - Documentation that you have authorized NMFS to release your VTR data to the TAP.
 - While optional, you may also submit:
 - Documentation that you have authorized NMFS to release vessel monitoring system (VMS) or observer program data relevant to your vessel.
 - Other detailed electronic information (e.g., chart plotter data) documenting effort within the Sunrise Wind Project Area.
- If you do not file VTRs with NMFS:
 - You must submit one of the following documents:
 - Massachusetts trip-level reporting data, whether filed electronically (through the Standard Atlantic Fisheries Information System, SAFIS) or via paper; or
 - Documentation that you have authorized the Massachusetts Division of Marine Fisheries (MADMF) to release your trip-level reporting data.
 - While optional, you may also submit other electronic information (e.g., chart plotter data) or independently maintained logbooks that document your activity in the Sunrise Wind Project Area.

B. Party/Charter boat documentation is required for the three years prior to construction:

- You must submit eTRIPS Desktop or Mobile trip data submitted to MADMF or documentation that you have authorized MADMF to release your trip data.
- While optional, you may submit other electronic information (e.g., chart plotter data) or independently maintained logbooks that document your activity in the Sunrise Wind Project Area.