

GREAT LAKES RETIREMENT, INC.
4604 Timber Commons Dr. Sandusky, Ohio 44870
(419) 626-8600 FAX (419) 625-3902

A REGISTERED INVESTMENT ADVISER

PRIVACY POLICY

Investment advisors, like all providers of personal financial services, are now required by law to inform their clients of their policies regarding privacy of client information. Investment advisors have been and continue to be bound by professional standards of confidentiality that are even more stringent than those required by law. Therefore, we have always protected your right to privacy.

TYPES OF NONPUBLIC PERSONAL INFORMATION WE COLLECT

We collect nonpublic personal information about you that is either provided to us by you or obtained by us with your authorization.

TEXT MESSAGING AND MOBILE INFORMATION

If you choose to communicate with us by text message or otherwise opt in to receive text messages from Great Lakes Retirement, Inc., we may collect your mobile telephone number, text messaging opt-in status, consent records, the source and date/time of your consent, message history, and opt-out or help requests. We use this information to send text messages you have requested or consented to receive, respond to your inquiries, provide client service communications, manage opt-in and opt-out preferences, and maintain records required for compliance, supervision, and business purposes.

Message frequency may vary. Message and data rates may apply. You may opt out of receiving text messages at any time by replying STOP. You may request assistance by replying HELP or by contacting us at (419) 626-8600.

PARTIES TO WHOM WE DISCLOSE INFORMATION

For current and former clients, we do not disclose any nonpublic personal information obtained in the course of our practice except as required or permitted by law. Permitted disclosures include, for instance, providing information to our employees and, in limited situations, to unrelated third parties who need to know that information to assist us in providing services to you. In all such situations, we stress the confidential nature of information being shared.

SMS PRIVACY AND NON-SHARING OF MOBILE OPT-IN INFORMATION

No mobile information will be shared with third parties or affiliates for marketing or promotional purposes. All text messaging originator opt-in data and consent will not be shared with any third parties.

We may use service providers solely to assist us in operating, administering, and maintaining our text messaging platform and related compliance records. These service providers are permitted to use such information only to provide services to Great Lakes Retirement, Inc. and are not permitted to use mobile information or text messaging consent information for their own marketing or promotional purposes.

PROTECTING THE CONFIDENTIALITY AND SECURITY OF CURRENT AND FORMER CLIENT'S INFORMATION

We retain records relating to professional services that we provide so that we are better able to assist you with your professional needs and in some cases, to comply with professional guidelines. In order to guard your nonpublic personal information, we maintain physical, electronic, and procedural safeguards that comply with our professional standards.

If you have questions about our text messaging practices or wish to update your communication preferences, please contact us at (419) 626-8600.

Please call if you have any questions, because your privacy, our professional ethics, and the ability to provide you with quality financial services are very important to us.