



120 Wall Street | New York, NY 10005

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www.SiebertNXT.com

Siebert AdvisorNXT, Inc.

This brochure supplement provides information about Siebert AdvisorNXT, Inc.'s Investment Adviser Representatives that supplements the Siebert AdvisorNXT, Inc. brochure. Please contact our New Accounts Department at 212.644.2400 should you have any questions about the contents of this supplement.

Siebert AdvisorNXT, Inc. ("SiebertNXT") – A Registered Investment Advisor that provides investment advisory services and discretionary investment management for a fee. SiebertNXT is the brand name for Siebert AdvisorNXT, Inc. Prior to investing in a managed SiebertNXT portfolio, we will obtain important information from you about your financial profile and risk tolerances and we will provide you with a detailed investment proposal, investment advisory agreement and wrap fee programs brochure. These documents contain important information that should be carefully read before enrolling in a managed account program. Siebert AdvisorNXT, Inc. is a wholly owned subsidiary of Siebert Financial Corporation. Please read SiebertNXT Disclosures for more information.

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Peter E. Cunningham

Born: February 2, 1971

Educational Background and Business Experience

- **Education:**
- **Business Experience**
 - Siebert AdvisorNXT, Inc. – Investment Advisor Representative (2018, 2020-Present)
 - Muriel Siebert & Co., Inc. – Registered Representative (2017-2018, 2019-Present)
 - StockCross Financial Services – Registered Representative (2002-2019)
 - TD Waterhouse Investor Services, Inc. – Registered Representative (1997-2002)
 - Kennedy, Cabot & Co. – Registered Representative (1994-1997)

Disciplinary Information

- Peter Cunningham was the subject of a regulatory sanction on August 14, 2018 from the Massachusetts Securities Division related to short term trading of Unit Investment Trust. Mr. Cunningham agreed to take forty hours of securities industry education courses within the twelve months following September 3, 2019.
- Mr. Cunningham was the subject of a customer dispute from May 2012, regarding an inappropriate investment and the fact that the customer claimed they were not provided with material facts regarding that investment. The dispute was settled for \$150,000.00.
- Mr. Cunningham was the subject of a customer dispute from January 2012. The customer was not happy with the performance of his and his late mothers accounts and the losses incurred. The customer alleged negligence, unsuitable recommendations, misrepresentation or omission of material facts. Mr. Cunningham believed the allegations to be false. A settlement of \$90,000. was agreed upon for the purpose of avoiding substantial legal cost and the extensive time involved in an arbitration.
- For a complete overview of Mr. Cunningham's disclosure history, please visit the BrokerCheck website at www.finra.org/brokercheck

Other Business Activities

- **Investment-Related Activities**
 - Registered Representative at Muriel Siebert & Co., affiliated broker-dealer of Siebert AdvisorNXT, Inc.
- **Non-Investment-Related Activities**
 - Peter is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Additional Compensation

- Peter does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Supervision

- **Supervisor:** Peter Sosnowski, Senior Vice President of Retail Division 201.499.0316