

siebert.

Roth Retirement Account Conversion Form

Return Instructions:

New Accounts:

Email: newaccounts@siebert.com

Phone: 800.872.0444

Fax: 212.486.2784

Employee Stock Plan Clients:

Email: shareplansupport@siebert.com

Phone: 800.993.2015

Fax: 402.342.2486

IMPORTANT

This is only for accounts that are converting from a pre-existing Siebert IRA to a new Siebert Roth IRA. If you are NOT converting then you do not need to complete this form.

Account Type

New Roth	Existing Roth	Inherited Roth
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Roth Conversion

Name/Account Title		Phone
Traditional IRA Account #	Roth IRA Account #	Social Security #/Tax ID

I wish to convert my existing Siebert IRA to a Siebert Roth IRA as specified below:

Full Conversion in-kind - Convert the entire balance of my current Siebert IRA to a Siebert Roth IRA.

(The conversion will be based on the existing positions in your current Siebert IRA on the date of conversion.)

Partial Conversion in cash - Convert the following dollar amount from my current Siebert IRA to a Siebert Roth IRA.

(You must have sufficient cash in your core account. If liquidating trade is necessary, please consult your investment representative.)

Dollar Amount to convert _____

Partial Conversion in-kind - Convert the following positions from my current Siebert IRA to a Siebert Roth IRA. List the investments to be converted in-kind below:

Name of Investment	Number of Shares or Dollar Amount	Cusip or Symbol

Withholding Election

Federal - I WANT to have federal income tax withheld from the distribution from my current Siebert IRA for conversion to my new Siebert Roth IRA at the rate of: _____ % (not less than 10%)

State - I WANT to have state income taxes withheld with the minimum amount or percentage, if any, as determined by the requirements of my state of residence. (Note: Do not complete this section if you are a resident of AK, FL, HI, MS, NH, NV, SD, TV, TX, WA, WY. If your state does not provide a minimum amount or percentage for withholding and you do not provide a percentage, state income taxes will not be withheld from the conversion.)

No Withholding - I elect to not have Federal income tax withheld. I understand that I am liable for the payment of Federal income tax on the amount received. I also understand that I may be subject to Federal income tax penalties under the estimated tax payment rules if my payments of the estimated tax and withholding are insufficient.

I assume full responsibility for this conversion transaction and will not hold Siebert liable for any adverse consequences that may result. I hereby irrevocably designate this as a conversion contribution. Conversion Value _____

Signature	Date	Signature	Date
			

Office Use Only

Approved:	Date: