



Michael K. Franklin

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Part 2B Brochure

This brochure supplement provides information about Michael Franklin that supplements our brochure. You should have received a copy of that brochure. Please contact Michael Franklin if you did not receive Tandem Financial, LLC brochure or if you have any questions about the contents of this supplement. Additional information about Michael Franklin is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD# 4038515

ITEM 2 – EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

Michael Kevin Franklin

Year of Birth: 1973

Educational Background:

- 1997 – BS in Psychology from Louisiana College

Business Background:

- 2018 – Present, Tandem Financial, Member/Investment Adviser Representative/CCO
- 2011 – 2018, Dodds Wealth Management, Investment Adviser Representative/CCO
- 2003 – 2018, Dodds Wealth Management, Financial Consultant
- 2003 – 2018, LPL Financial, LLC, Registered Representative
- 2000 – 2003, American Express Financial Advisors, Inc., Registered Representative/Investment Adviser Representative
- 2000 – 2003, IDS Life Insurance Company, Registered Representative

ITEM 3 – DISCIPLINARY INFORMATION

Michael Franklin has no history of any legal or disciplinary events that are deemed to be material to a client's consideration of Michael Franklin to act as their investment adviser representative. FINRA's BrokerCheck® is a resource available to review the disciplinary history of Michael Franklin. <https://brokercheck.finra.org/>

ITEM 4 – OTHER BUSINESS ACTIVITIES

Michael Franklin holds an insurance license to sell limited insurance products. It is anticipated that a small portion, less than (10%) of his time, will be spent providing these insurance products. He will receive compensation from selling insurance products and therefore receive economic benefit for this activity. This activity may create a conflict of interest with clients. The client is under no obligation to purchase insurance through Michael Franklin on a commissionable basis. To address this, disclosure is made to the client at the time the purchase is made, identifying the nature of the transaction or relationship, the role to be played, and any compensation (e.g., commissions, trails) to be paid by the client and/or received by the insurance agent. Clients have the right to decide whether to act on the recommendation and the right to purchase any insurance products through the insurance agent of their choice. The Firm and its Investment Adviser Representative will always act in the best interest of the client.

ITEM 5 – ADDITIONAL COMPENSATION

Michael Franklin does not receive any other economic benefit for providing advisory services in addition to advisory fees outside of that which is disclosed in Item 4 of this Brochure.

ITEM 6 – SUPERVISION

Michael Franklin is the Chief Compliance Officer of Tandem Financial. He supervises and oversees all activities conducted through the firm and maintains policies and procedures to guide his activities. Michael Franklin reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. Michael Franklin may be reached at 720-845-5700.