



Thomas S. Brown

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Greenwood Village, CO 80111

Phone: 720-845-5700

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Part 2B Brochure

This brochure supplement provides information about Thomas S. Brown that supplements our brochure. You should have received a copy of that brochure. Please contact Michael Franklin if you did not receive Tandem Financial, LLC brochure or if you have any questions about the contents of this supplement. Additional information about Thomas S. Brown is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD# 8211404.

ITEM 2 – EDUCATIONAL BACKGROUND & BUSINESS EXPERIENCE

Thomas S. Brown

Year of Birth: 2003

Educational Background:

- BA in Business / Finance, Colorado State University, 2025

Business Background:

- 07/2025 – Present, Tandem Financial, Para Planner
- 06/2024 – 08/2024, Tandem Financial, Intern

ITEM 3 – DISCIPLINARY INFORMATION

Thomas S. Brown has no history of any legal or disciplinary events that are deemed to be material to a client's consideration of Thomas S. Brown to act as their investment adviser representative. FINRA's BrokerCheck® is a resource available to review the disciplinary history of Thomas S. Brown. <https://brokercheck.finra.org/>

ITEM 5 – ADDITIONAL COMPENSATION

Thomas S. Brown does not receive any other economic benefit for providing advisory services in addition to advisory fees outside of that which is disclosed in Item 4 of this Brochure.

ITEM 6 – SUPERVISION

Michael Franklin is the Chief Compliance Officer of Tandem Financial. He supervises and oversees all activities conducted through the firm and maintains policies and procedures to guide his activities. Michael Franklin reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. Michael Franklin may be reached at 720-845-5700.