



Architect

Architect Securities Fee Schedule

Institutional Fee Schedule

Shares Traded	Commission
< 300,000	\$.0030
300,001 - 3,000,000	\$.0020
3,000,001 - 20,000,000	\$.0015
> 20,000,000	\$.0005

Equity Options: \$.50 per contract

Retail Fee Schedule

Shares Traded	Commission
Any amount	\$0

Equity Options: \$.50 per contract

Miscellaneous Fees (Institutional and Retail)

- **Money Transfers**
 - Domestic Wire Outbound: \$25 per wire
 - International Wire Outbound: \$50 per wire
 - Incoming Wire: No Charge
 - ACH: No fee
 - ACH Return: \$30 per ACH return
 - RTP: TBD
- **ACATS Transfers**
 - Outbound: \$75/account
 - Inbound: No fee
- **Accounts**
 - Account Opening Fee: \$1.00
 - Monthly Maintenance Fee: \$0.10/account
 - Pass-through costs for CIP/KYC/document distribution
- **IRA Custody**
 - Annual Fee: \$30 (billed quarterly)
 - Termination Fee per Account: \$30
- **Consolidated Audit Trail (CAT)**



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- CAT Reporting (Equity & Options): \$750 per month
- CAIS Reporting: \$1,500 per month
- CAT + CAIS Reporting: \$2,000 per month
- **Miscellaneous**
 - Corporate Actions (Voluntary): \$30 per event per account
 - Exercise/Assignment: \$5.00/series/account
 - Custom 606 Report: TBD
 - Other Ad Hoc & Custom Reports: \$200 per hour
 - Paper Mailing Fees:
 - Statements: \$2
 - Trade Confirms: \$5
 - Pass-through of vendor charges (e.g., Azure fees, tax reporting, etc.)
- **Trading Systems**
 - Risk Portal GUI: \$750 per month
 - Outbound FIX Connection: \$500 per month
 - Outbound Drop Copy: \$500 per month
 - Sterling Risk Engine User: \$750 per month