

CLIENT'S STATEMENT REGARDING POLITICALLY EXPOSED PERSONS (PEPs), FAMILY MEMBERS OF PEPs AND CLOSE ASSOCIATES OF PEPs

Date:

I, the undersigned:

First name and surname:	Personal Identification Number (PESEL) / date of birth:	ID document title and number:
Address:		

I. On pain of criminal liability for misrepresentation, I represent that as at the date of this statement:

☐ I am not ☐ I am **A POLITICALLY EXPOSED PERSON (PEP).**

If the answer is affirmative, please specify the type of position held and the period in office.

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☐ I am not ☐ I am **A FAMILY MEMBER OF A PEP**

If the answer is affirmative, please state the first name, surname and position of the PEP being a family member of the person making this statement.

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☐ I am not ☐ I am **A CLOSE ASSOCIATE OF A PEP**

If the answer is affirmative, please state the first name, surname and position of the PEP with whom the person making this statement is associated.

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II. On pain of criminal liability for misrepresentation, I represent that as at the date of this statement:

☐ I am not ☐ I am **A POLITICALLY EXPOSED PERSON (PEP) / A FAMILY MEMBER OF A PEP / A CLOSE ASSOCIATE OF A PEP outside of Poland**

If the answer is affirmative, please state the first name, surname and position of the PEP (of whom the person making this statement is a family member and/or associate).

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III. On pain of criminal liability for misrepresentation, I represent that in the past 12 months:

☐ I was not ☐ I was **A POLITICALLY EXPOSED PERSON (PEP) / A FAMILY MEMBER OF A PEP / A CLOSE ASSOCIATE OF A PEP, including outside of Poland**

If the answer is affirmative, please state the first name, surname and position of the PEP (of whom the person making this statement was a family member and/or associate) and the country.

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Note

As defined in Art. 2.2.11 of the Act on Counteracting Money Laundering and Terrorist Financing dated March 1st 2018, **POLITICALLY EXPOSED PERSONS** are natural persons holding prominent positions or entrusted with prominent public functions, with the exception of

low and medium level positions, including:

- a) heads of state, heads of governments, ministers, deputy ministers, secretaries of state, undersecretaries of state,
- b) members of parliament or similar legislative bodies;
- c) members of the governing bodies of political parties;
- d) members of supreme courts, constitutional tribunals and other high-level judicial bodies whose decisions are not subject to further appeal, except in extraordinary circumstances;
- e) members of courts of auditors or of the boards of central banks;
- f) ambassadors, chargés d'affaires and high-ranking officers of the armed forces;
- g) members of administrative, management or supervisory bodies of state-owned enterprises, companies in which the State Treasury or other state-owned legal entities hold more than half of the shares;
- h) directors, deputy directors and members of the governing bodies of international organisations, or persons entrusted with equivalent functions in such organisations;
- i) directors general at supreme and central offices of governmental bodies, directors general at provincial offices;
- j) other persons who hold a public position or function in government or central administrative bodies.

As defined in Art. 2.2.3 of the Act on Counteracting Money Laundering and Terrorist Financing dated March 1st 2018, a **FAMILY MEMBER OF A PEP (politically exposed person)** is:

- a) a spouse or a cohabitant of a politically exposed person;
- b) a child of a politically exposed person and such child's spouse or cohabitant;
- c) a parent of a politically exposed person.

As defined in Art. 2.2.12 of the Act on Counteracting Money Laundering and Terrorist Financing dated March 1st 2018, **PERSONS KNOWN AS CLOSE ASSOCIATES OF A PEP (politically exposed person)** are:

- a) natural persons who have joint beneficial ownership of legal persons, unincorporated organisations or trusts with a politically exposed person or who maintain other close business relations with such person;
- b) natural persons who have sole beneficial ownership of legal persons, unincorporated organisations or trusts known to have been set up for the benefit de facto of a politically exposed person;

I MAKE THIS STATEMENT AFTER READING THE LIST OF NATIONAL POLITICALLY EXPOSED POSITIONS AND FUNCTIONS, PRESENTED TO ME BY THE BROKERAGE HOUSE, WHICH IS CONSISTENT WITH THE PROVISIONS OF THE REGULATION OF THE MINISTER OF FINANCE, FUNDS AND REGIONAL POLICY OF JULY 27TH 2021 ON THE LIST OF NATIONAL PUBLIC POSITIONS AND FUNCTIONS THAT ARE DEEMED POLITICALLY EXPOSED POSITIONS (Dz.U. of 2021, item 1381).

I IRREVOCABLY UNDERTAKE TO NOTIFY TRIGON DOM MAKLEPSKI S.A. OF ANY CHANGE IN CIRCUMSTANCES AFFECTING THIS STATEMENT AND I AGREE TO PROVIDE, IF NECESSARY, SUCH ADDITIONAL DOCUMENTS AS MAY BE REQUIRED BY TRIGON DOM MAKLEPSKI S.A. TO VERIFY THE RELIABILITY OF THIS STATEMENT.

I am aware of criminal liability for misrepresentation.

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Stamp and signature of employee receiving the
statement

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Client's legible signature