



Insurance Application Form

Cyber and Tech E&O Insurance (Revenue up to \$100M)

IMPORTANT:

All questions must be answered completely. If any are not applicable, please indicate. Please also provide any relevant documentation and/or images alongside this form.

Please note that ATA may request further information depending on the answers provided to the questions below.

1. Company Details

1.1 Company Name:

1.2 Registered Address:

1.3 Year Business Commenced:

1.4 List subsidiary companies:

1.5 Employee Headcount:

1.6 Policy Currency:

Last Complete Financial Year

Projected for Current Financial Year

Revenue:

Net Profit:

1.7 Please provide the contact details for the individual within your organization who is primarily responsible for IT security:

Name:

Position:

Contact Details:

2. Policies and Standards

2.1 Do you have any of the following policies in place?

Privacy

Information Security Policy

Data Retention and Destruction Policy

2.2 Please provide details of any industry-recognized information security standards (e.g., ISO27001, NIST) that you have incorporated including certification date and level achieved?

2.3 Please confirm how many unique records you store with respect to the following data types?

Customer Personally Identifiable Information (PPI):

Customer Protected Health Information (PHI):

Employee Records:

Biometric Data (if applicable):

3. Data Management

3.1 How do you classify and manage personal data (including retention, purging, and segmentation), and how is this data encrypted across systems, devices, and backups?

3.2 What security controls are in place for databases, administrator access, and removable media usage? (Consider: access reviews, MFA, session recording, safeguards in place)

3.3 How are privileged, domain, local, and service accounts managed? (Consider: lockout settings, segregation, credential rotation, monitoring, PAM use)

4. Remote Access and Email Security

4.1 How do you secure remote access and email setup (considering MFA enforcement for all users/third parties and email platform or filtering tools)?

5. Security Operations and Threat Protection

5.1 How do you monitor and protect your systems and assets (e.g., asset inventory/SOC, endpoint protections, vulnerability scanning, patching, and detection/blocking tools)?

5.2 What measures are in place to defend against major cyber threats (e.g., malicious scripts, credential stuffing, DDoS, and network/application-level attacks)?

6. Third Party, Cloud and External Risk

6.1 How do you secure external connections and integrations (e.g., cloud applications, APIs, and vendor access), including access controls, monitoring, and authentication?

6.2 What processes do you follow to assess and manage cyber security risks from vendors and during mergers or acquisitions?

7. Technology Errors & Omissions Risk Controls

7.1 Do your client contracts typically include limitations or liability, disclaimers of warranties, or indemnification provisions? If not, how do you define a scope of work and liabilities?

7.2 If you use subcontractors, what percentage of work is subcontracted? What are the requirements regarding subcontractors carrying professional liability and/or errors and omissions coverage?

7.3 Please describe any formal procedures you have or plan to implement for testing, quality assurance, and incident remediation in the event of product/service failures.

7. Historical Information

7.1 In the past 5 years have you or any entity falling within the definition of 'Insured' under the proposed Policy:

Yes No

Received a claim or complaint regarding privacy, data protection or network security?

Been subject to any investigation regarding an alleged violation of data protection legislation?

Received a complaint or cease and desist demand alleging intellectual property infringement?

Notified any persons of a privacy violation and/or data breach incident

Received an extortion demand relating to your data and/or computer systems?

Experienced a network outage that resulted in a significant disruption to your operations?

If you answered 'Yes,' please describe the incident, including amounts involved, key dates, and the current status of any unresolved claims.

8. Additional Information

8.1 Please use this space for anything else you would like to tell us about your insurance application:

9. Declaration

I certify that the information given above is, to the best of my knowledge, accurate and complete. I understand that ATA is relying on such information in the issue of an insurance policy. I have obtained the express consent to the disclosure and use of sensitive personal data from every data subject whose sensitive personal data is supplied in connection with this form for the purposes of (a) underwriting the risks and (b) performing any resulting insurance contract.

Name

Position

Date

About ATA

Advanced Technology Assurance Limited (ATA) is a global insurance powerhouse for advanced technologies. ATA's team of highly technical underwriters handle advanced technology risks in a remarkably straightforward way. Backed by rock-solid financial strength, ATA offers fit-for-purpose insurance products that protect and enable the growth of industries that shape the future.

ATA's edge is developing specialty insurance products for advanced technologies in high-growth segments of sectors forming the backbone of the global economy: aerospace, defence, energy, industrial, transportation, and technology. ATA is committed to supporting industrial transformation, electrification, automation, and computing at scale—enabling a smarter, more sustainable world.

Headquartered in London and serving customers through their insurance brokers in major markets across the world, ATA commenced insurance operations in October 2023 with the cornerstone financial investment and underwriting capacity of Convex Group Limited.

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