

SBA 504 Loan Application



Florida Business Development Corporation

1715 N Westshore Blvd.

Suite 780

Tampa, FL 33607

Document Instructions

Please see the instructions below for the documents within this application:

- **SBA Form 1244 – Borrower Information Form (pages 2-4)**
 - Please complete a separate set of documents for each business entity involved in the loan (operating companies and real estate holding companies).
 - Page 2 to be completed on behalf of all owners in the company.
 - Page 4 to be completed and signed by the authorized representative of the business.
- **Addendum A: Business Ownership**
 - Please complete form to include all businesses you have any ownership interest.
- **Management Resume**
 - Please complete and execute all sections.
- **Acknowledgment Form**
 - Please have executed by all individuals who will be guaranteeing the loan.
- **Borrower Certification for SBA Form 1244 for Citizenship Information**
 - Please have executed by all individuals who have any amount of ownership in any of the operating companies or holding companies involved in the loan.
- **Please provide a legible copy of a valid driver's license for all guarantors**

Key Employees and Business Owners Not on Loan (if applicable)

Anyone listed on your state's business registry in addition to those on the loan will be considered a key employee by the SBA and will have to provide a few pieces of information. This also applies to anyone with ownership in any operating company or holding company involved in the loan.

Please complete the following:

- **Management Resume:** Please have them complete a management resume.
- **Driver's License:** Please have them provide a copy of their Driver's License.

You may forward all completed documents to the applicable FBDC office for processing. Should you have any general questions, please do not hesitate to contact FBDC via info@fbdc.net



SBA 504 Borrower Information Form

For use with all SBA 504 Loan Programs

OMB Control No.: 3245-0071

Expiration Date: 01/31/2028

Applicant Owner's Demographic Information (Optional) - Veteran/sex/race/ethnicity data is collected for program reporting purposes only.

Disclosure is voluntary and will have no bearing on the loan application decision. A separate demographic information section should be completed for each individual who holds or controls 20 percent or more of the beneficial ownership in the Applicant small business.

Owner's Legal Name (First, Middle, Last name)	
Owner's Position	
Veteran Status	<input type="checkbox"/> Non-Veteran; <input type="checkbox"/> Veteran; <input type="checkbox"/> Service-Disabled Veteran; <input type="checkbox"/> Spouse of Veteran; <input type="checkbox"/> Not Disclosed
Sex	<input type="checkbox"/> Male; <input type="checkbox"/> Female
Race (more than 1 may be selected)	<input type="checkbox"/> American Indian or Alaska Native; <input type="checkbox"/> Asian; <input type="checkbox"/> Black or African American; <input type="checkbox"/> Native Hawaiian or Pacific Islander; <input type="checkbox"/> White; <input type="checkbox"/> Not Disclosed
Ethnicity	<input type="checkbox"/> Hispanic or Latino; <input type="checkbox"/> Not Hispanic or Latino; <input type="checkbox"/> Not Disclosed

If any questions are answered "Yes" please provide details to the Lender/CDC in a separate attachment

Question	Yes	No
1. Is the Applicant or if the Applicant is structured as an Eligible Passive Company (EPC) and Operating Company (OC), both the EPC and OC, or any Associate of the Applicant presently suspended, debarred, proposed for debarment, declared ineligible, voluntarily excluded from participation in a transaction by any Federal department or agency, or presently involved in any bankruptcy? <i>If yes, explain and provide relevant documents in Exhibit 24.</i>	<input type="checkbox"/>	<input type="checkbox"/>
2. Is the Applicant, any Associate of the Applicant, or any business owned by them or any Affiliates (per 13 CFR 121.301(f)), currently delinquent or have ever defaulted on a direct or guaranteed loan from SBA, or another Federal agency loan program (including, but not limited to USDA, B&I, FSA, FHA, EDA), or been a guarantor on such a loan? <i>If yes, provide relevant information in Exhibit 9.</i>	<input type="checkbox"/>	<input type="checkbox"/>
3. Is the Applicant or any owner of the Applicant an owner of any other business? <i>If yes, list all such businesses (including their TINs), percentage of ownership, and describe the relationship on a separate sheet identified as addendum A.</i>	<input type="checkbox"/>	<input type="checkbox"/>
4. Is the Applicant or any Associate of the Applicant currently incarcerated serving a sentence of imprisonment imposed upon adjudication of guilty, or is under indictment for a felony or any crime involving or relating to financial misconduct or a false statement? <i>(if "Yes" the Applicant is not eligible for SBA financial assistance.)</i>	<input type="checkbox"/>	<input type="checkbox"/>
<i>Initial here to confirm your response to question 4 (originally initialed, or an acceptable electronic signature, and not typed.)</i>		
5. Has the Applicant paid or committed to pay a fee to the Lender/CDC or a third party to assist in the preparation of the loan application or application materials, or has the Applicant paid or committed to pay a referral agent or broker a fee? <i>If "Yes" provide details to your Lender/CDC (the name of the third party and the amount of the fee). The Applicant is not required to obtain or pay for unwanted services.</i>	<input type="checkbox"/>	<input type="checkbox"/>
6. Are any of the Applicant's revenues derived from gambling, loan packaging, lending activities, lobbying activities, or from the sale of products or services, or the presentation of any depiction, displays or live performances, of a prurient sexual nature? <i>If "Yes," provide details under a separate attachment.</i>	<input type="checkbox"/>	<input type="checkbox"/>
7. Is any sole proprietor, partner, officer, director, stockholder with a 10% or more interest in the Applicant an SBA employee or a Household Member of an SBA employee? (13 CFR 105.204). "Household Member" means spouse and minor children of an employee, all blood relations of the employee and any spouse who resides in the same place of abode with the employee (13 CFR 105.201(d)). <i>If "Yes," provide details under a separate attachment.</i>	<input type="checkbox"/>	<input type="checkbox"/>
8. Is any employee, owner, partner, attorney, agent, owner of stock, officer, director, creditor or debtor of the Applicant a former SBA employee who has been separated from SBA for less than one year prior to the request for financial assistance? (13 CFR 105.203). <i>If "Yes," provide details under a separate attachment.</i>	<input type="checkbox"/>	<input type="checkbox"/>
9. Is any sole proprietor, general partner, officer, director, or stockholder with a 10% or more interest in the Applicant, or a household member of such individual, a member of Congress, or an appointed official or employee of the legislative or judicial branch of the Federal Government? (13 CFR 105.301(c)). <i>If "Yes," provide details under a separate attachment.</i>	<input type="checkbox"/>	<input type="checkbox"/>
10. Is any sole proprietor, general partner, officer, director, or stockholder with a 10 percent or more interest in the Applicant, or a household member of such individual, a Federal Government employee or Member of the Military having a grade of at least GS-13 or higher (or Military equivalent)? (13 CFR 105.301(a)). <i>If "Yes," provide details under a separate attachment.</i>	<input type="checkbox"/>	<input type="checkbox"/>
11. Is any sole proprietor, general partner, officer, director, or stockholder with a 10% or more interest in the Applicant, or a household member of such individual, a member or employee of a Small Business Advisory Council or a SCORE volunteer? (13 CFR 105.302(a)). <i>If "Yes," provide details under a separate attachment.</i>	<input type="checkbox"/>	<input type="checkbox"/>
12. Is the Applicant, any owner of the Applicant, or any business owned by them (Affiliates), presently involved in any legal action (including divorce)? <i>If yes, provide details in Exhibit 24.</i>	<input type="checkbox"/>	<input type="checkbox"/>



SBA 504 Borrower Information Form

For use with all SBA 504 Loan Programs

OMB Control No.: 3245-0071

Expiration Date: 01/31/2028

By Signing Below, You Make the Following Representations, Authorizations, and Certifications:

APPLICANT CERTIFICATION

By signing this application, the Applicant certifies that it complies with all Loan Program Requirements as defined in 13 CFR § [120.10](#), including but not limited to requirements in 13 CFR §§ [120.100](#), [120.110](#), [121.301](#), and 31 CFR § [285.13](#). The Applicant further certifies that the Applicant business is at least 51 percent owned and controlled by persons who are citizens of the U.S. or are Lawful Permanent Residents (provide copies of the USCIS Form(s) I-551 to your Lender/CDC for each person holding Legal Permanent Resident status) and that all SBA loan proceeds will be used in accordance with Loan Program Requirements. SBA or the Lender/CDC may request additional information to determine that an Applicant fulfills any eligibility requirement. SBA or a Lender/CDC processing a loan under delegated authority may accept as true the Applicant is eligible as certified. **By signing the application, the Applicant has certified that it fulfills all eligibility requirements.**

The authorized representative of the Applicant and the Operating Company represents, certifies, or authorizes the following:

- I have read the statements included in this form, including the Statements Required by Law and Executive Order, and I understand them.
- I certify that the information provided in this application and the information provided in all supporting documents and forms is true and accurate in all material respects. I understand that knowingly making a false statement to obtain a guaranteed loan from SBA is punishable under the law, including under 18 U.S.C. 1001 and 3571 by imprisonment of not more than five years and/or a fine of up to \$250,000; under 15 U.S.C. 645 by imprisonment of not more than two years and/or a fine of not more than \$5,000; and, if submitted to a federally insured institution, under 18 U.S.C. 1014 by imprisonment of not more than thirty years and/or a fine of not more than \$1,000,000.
- I will comply, whenever applicable, with the hazard insurance, lead-based paint, civil rights, or other limitations set forth in SBA Loan Program Requirements.
- No holder of 50 percent or more of the ownership of the Applicant or OC is delinquent more than 60 days under the terms of any administrative order; court order; or repayment agreement requiring payment of child support.
- Applicant and OC are now current on all future federal, state, and local taxes, including but not limited to income taxes, payroll taxes, real estate taxes and sales taxes of the business, and will pay all Federal, state, and local taxes when they come due.
- All SBA loan proceeds will be used only for the Applicant business and only for business related purposes as specified in the loan application and approved by the Lender/CDC and/or SBA.
- I understand, acknowledge, agree, and consent that the Lender/CDC can share any tax information that I have provided and/or that the Lender/CDC has obtained from the Internal Revenue Service with SBA's authorized representatives, including authorized representatives of the SBA Office of Inspector General, for the purpose of compliance with SBA Loan Program Requirements and all SBA reviews.
- I acknowledge that the Lender/CDC will confirm the eligible loan amount using required documents submitted.
- The Applicant, together with all affiliates, is small under the applicable small business size standard (13 CFR 121.201 and Section 3(a)(5) of the Small Business Act [15 U.S.C. 631 et seq]. SBA or the SBA Lender/CDC may request additional information concerning the Applicant's size based on information supplied in the application or any other source.
- The Applicant is not engaged and will not engage in any activity that is illegal under federal, state, or local law or that can reasonably be determined to support or facilitate any activity that is illegal under federal, state, or local law, and none of the Applicant's direct, indirect, or future revenues, or revenues of any affiliated business(es) are derived from activities that are illegal under federal, state or local law, including activities that support the end-use of marijuana products, including leasing of collateral property to a third party that derives revenue from illegal activities. I authorize the SBA to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for programs authorized by the Small Business Act, as amended, and Small Business Investment Act, as amended.
- If applicable, the Applicant(s), the ESOP (or equivalent trust), and/or the 401(k) plan are in compliance with all applicable IRS, Treasury, and Department of Labor requirements and will comply with all relevant operating and reporting requirements.
- For any real estate to be purchased by loan proceeds and pledged as collateral for the loan or where the Applicant or OC is conducting business operations, the Applicant or OC are currently and will remain in compliance with all local, state, and Federal environmental laws and regulations and will continue to comply with these laws and regulations. Furthermore, they are unaware of any other actual or potential environmental hazards related to the collateral or business premises. They agree to fully indemnify Lender/CDC and SBA against all liabilities or losses arising from the contamination of the property before or during the term of the loan.
- The Applicant and/or OC will reimburse Lender/CDC for out-of-pocket expenses incurred in the making and administration of the loan.
- The Applicant and/or OC will maintain proper books and records, allow Lender/CDC and SBA access to these records, and furnish financial statements or reports annually or whenever requested by Lender/CDC.
- The Applicant and/or OC will post SBA Form 722, Equal Opportunity Poster, where it is clearly visible to employees, applicants for employment and the general public.
- SBA encourages the purchase, to the extent feasible, of American-made equipment and supplies.
- For debt refinance, the debt being refinanced with the loan proceeds was used exclusively for the Applicant's business, including any credit card, HELOC, and/or debt on the Applicant's balance sheet; and
- During the life of the loan, the real estate pledged as Collateral for the Loan or where the Borrower or OC conducts its business operations will not be leased to or occupied by any business that Borrower or OC knows is engaged in any activity that is illegal under federal, state or local law or any activity that can reasonably be determined to support, promote, or facilitate any activity that is illegal under federal, state, or local law.
- The Applicant and OC will not, without Lender/CDC's prior written consent:
 - Make any distribution of company assets that will adversely affect the financial condition of the Borrower and/or OC.
 - Change the ownership structure or interests in the business during the term of the loan; and
 - Sell, lease, pledge, encumber (except by purchase money liens on property acquired after the date of the Note), or otherwise dispose of any of the Borrower's property or assets, except in the ordinary course of business.
- If this application is being submitted for an ALP Express Loan, Applicant certifies that the loan was not previously submitted to SBA and subsequently withdrawn by the CDC or declined or otherwise not approved by SBA.



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- The Applicant agrees that if the SBA approves this application, Applicant will not for at least two years hire an employee or consult anyone who was employed by the SBA during the one-year period prior to the disbursement of the debenture.
- The Applicant certifies that Applicant has not paid anyone connected with the Federal Government for help getting this financial assistance.
- The Applicant agrees to report to the SBA Office of Inspector General, 409 Third Street S.W., Washington, D.C. 20416, any Federal Government employee who offers assistance in return for any type of compensation to help get this application approved.
 - The Applicant understands that Applicant need not pay anybody to deal with SBA.
 - The Applicant also understands that a Certified Development Company may charge the Applicant a percentage of the loan proceeds as set forth in SBA regulations as a fee for preparing and processing the loan applications.
 - The Applicant agrees to pay for or reimburse the CDC or the SBA for the cost of any surveys, title or mortgage examinations, appraisals, etc., performed by non-SBA personnel provided that I have given my consent.
- The Applicant understands that regulations issued by the SBA prohibit the making of loans to relocate any operations of a small business that will cause a net reduction of one-third or more in the workforce of the Applicant company or a substantial increase in unemployment in any area of the country. In the event that proceeds from this loan are used to relocate an EPC/OC (including any affiliate, subsidiary or other business entity under direct, indirect or common control), the undersigned certifies that such relocation will not significantly increase unemployment in the area of the original location.
- If the Applicant's business exceeds the small business size standard by more than 25%, the Applicant agrees to use SBA's financial assistance within a labor surplus area.
- No overlapping relationship exists between the Applicant, including its Associates, and the CDC, including its Associates, or any other lender providing financing for the project, that could create an appearance of a conflict of interest as defined in 13 C.F.R. §120.140 or violate 13 C.F.R. § 120.851. No such relationships existed within six months of this application or will be permitted to exist while assistance is outstanding.
- The Applicant authorizes disclosure of all information submitted in connection with this application to the financial institution agreeing to participate with SBA's guaranteed debenture.
- The Applicant authorizes disclosure of all information in SBA's possession (whether information in SBA's current possession or information that SBA may later possess) related to Applicant to the CDC.
- The Applicant waives all claims against SBA and its consultants for any management and technical assistance that may be provided.
- In consideration for assistance from the Small Business Administration, the Applicant agrees that Applicant will comply with all Federal laws and regulations to the extent that they are applicable to such assistance, including conditions set forth in this application.
- Neither the Authorized Representative signing below, nor any of the Applicant's owners, managers, or anyone who directs the business, or any of their spouses or members of their households, work for the SBA, an SBA small business advisory council, or SCORE, any Federal agency, or the participating lender. If someone does, the name and address of such person and where employed is provided on an attached page.
- The U.S. Small Business Administration (SBA) is hereby authorized to release any and all information about my existing SBA Loans to the Lender/CDC processing this loan application. I understand that information released may include, but may not be limited to, information relating to my loan amount and payment transactions history, and/or the provision of copies of my loan documents, which may contain non-public information relating to all obligors and/or guarantors (if applicable).

Legal Name of Applicant Business: _____ EPC or OC: _____

DBA/Trade Name (if applicable): _____

Authorized Signature: _____ Date: _____

Print Name of Authorized Representative: _____ Title: _____

Attested By: _____ (seal, if required)

Legal Name of Business: _____ EPC or OC: _____

DBA/Trade Name (if applicable): _____

Authorized Signature: _____ Date: _____

Print Name of Authorized Representative: _____ Title: _____

Attested By: _____ (seal, if required)

ACKNOWLEDGEMENT FOR SECTION 504 LOAN (v 2.2025)

Name of Borrower: _____

Name of Small Business Concern (SBC) (if different): _____

#	Question	Yes	No
1	Is any associate (Associate of a small business is an officer, director, owner of more than 20% of the equity, or key employee) including Household Member of such individual, employee of another Department or Agency of the Federal Government (Executive Branch) in a grade of at least GS-13 (or its equivalent) or higher?	<input type="checkbox"/>	<input type="checkbox"/>
2	The applicant authorizes FBDC to order credit reports and background checks for the applicant, its owner(s), and affiliates who are guarantors at application and during the life of the loan, if approved.	<input type="checkbox"/>	<input type="checkbox"/>
3	Have you (the applicant) ever been convicted of a financial crime or any crime against a minor?	<input type="checkbox"/>	<input type="checkbox"/>
4	The applicant and guarantors (corporate and personal) understand that a Credit Alert Verification Reporting System (CAIVRS) report will be acquired prior to approval to determine eligibility for SBA financing. We also understand that a default and loss to SBA will result in the names of the applicant and guarantors being referred for listing in the CAIVRS database (a Federal government database of delinquent Federal debtors) which may impact their eligibility for further financial assistance from SBA or other Federal Agencies or departments.	<input type="checkbox"/>	<input type="checkbox"/>
5	Have you (the applicant) paid anyone to assist in preparing this SBA loan application? If so, it is required to be disclosed at the time of application. It is not required by the SBA or FBDC to pay anyone (an agent or representative of a firm) to prepare a loan application to apply for any SBA loan. FBDC does not charge an application fee and is not considered an agent.	<input type="checkbox"/>	<input type="checkbox"/>
6	The applicant understands that the SBA loan will be paid by an automatic debit of a checking account designated by the applicant; financial documentation will be required periodically, and the project property will always be insured (if flood insurance is required, it must be maintained under the NFIP or comparable private flood insurance).	<input type="checkbox"/>	<input type="checkbox"/>
7	Throughout the time the SBA loan remains outstanding, the senior lien holder is authorized to provide FBDC (loan servicer for the US SBA) with financial information such as tax returns, financial statements, etc. and mortgage information such as status, balance, etc.	<input type="checkbox"/>	<input type="checkbox"/>
8	Throughout the time the SBA loan remains outstanding, our CPA is authorized to provide FBDC (loan servicer for the US SBA) with financial information such as tax returns, financial statements, etc.	<input type="checkbox"/>	<input type="checkbox"/>
9	Applicant authorizes FBDC to share all underwriting information including but not limited to credit reports, financial statements, tax returns, etc. with other potential Loan Participants for the purpose of providing an offer of financing.	<input type="checkbox"/>	<input type="checkbox"/>
10	The applicant understands, acknowledges, and agrees that FBDC and Other Loan Participants can obtain, use and share tax return information for purposes of (i) providing an offer; (ii) originating, maintaining, managing, monitoring, servicing, selling, insuring and securitizing a loan; (iii) marketing; or (iv) as otherwise permitted by applicable laws, including state and federal privacy and data security laws. FBDC includes its affiliates, agents, service providers and any of aforementioned parties, successors and assigns. The Other Loan Participants include any actual or potential owners of a loan resulting from your loan application, or acquirers of any beneficial or other interest in the loan, any mortgage insurer, guarantor, any servicers or service providers for these parties and any of aforementioned parties' successors and assigns.	<input type="checkbox"/>	<input type="checkbox"/>

Acknowledged by Applicant (each Proprietor, General/Limited Partner or Stockholder owning 20% or more, and each Guarantor must sign once)

Signature: _____ Date: _____

Acknowledged by Guarantors

Signature: _____ Date: _____

Signature: _____ Date: _____

Signature: _____ Date: _____

Signature: _____ Date: _____

ADDENDUM A: BUSINESS OWNERSHIP

PLEASE COMPLETE THE DETAILS BELOW FOR ANY BUSINESS ENTITY IN WHICH YOU HOLD AN OWNERSHIP INTEREST.

PRINCIPAL NAME: _____

	Business Entity Name	Tax ID Number	Ownership Percentage	Brief Company Description
1				
Summary of your role in the company:				
2				
Summary of your role in the company:				
3				
Summary of your role in the company:				
4				
Summary of your role in the company:				
5				
Summary of your role in the company:				
6				
Summary of your role in the company:				
7				
Summary of your role in the company:				

"BY SIGNING BELOW, I ACKNOWLEDGE THAT I HAVE FULLY DISCLOSED ALL COMPANIES IN WHICH I HOLD AN OWNERSHIP INTEREST, AND THAT I HAVE PROVIDED ACCURATE INFORMATION REGARDING THE NATURE AND EXTENT OF SUCH OWNERSHIP."

SIGNATURE

DATE

USE ADDITIONAL PAGES AS NEEDED

*****NOTE: IF YOU HAVE MAJORITY OWNERSHIP OR CONTROL IN BUSINESSES LISTED ABOVE THEN WE NEED A COPY OF THE 2022, 2023, AND 2024 TAX RETURNS ALONG WITH 2025 YTD PROFIT/LOSS AND BALANCE SHEET DATED WITHIN 90 DAYS AND DEBT SCHEDULE TO REPORT THESE AFFILIATE BUSINESSES TO SBA FOR SIZE STANDARDS (IF 2024 TAX RETURN IS NOT COMPLETED, PLEASE PROVIDE THE 2024 EXTENSION, YEAR-END PROFIT AND LOSS STATEMENT, AND A YEAR-END BALANCE SHEET THROUGH 12/31/2024)

MANAGEMENT RESUME

Please fill in ALL BLANKS. If an item is not applicable, please indicate as such. You may include additional relevant information on a separate exhibit. SIGN/DATE where indicated.

PERSONAL INFORMATION:

NAME _____ SSN _____ - -

DATE OF BIRTH _____ PLACE OF BIRTH _____

(City, State)

RESIDENCE PHONE (____) - ____ BUSINESS TELEPHONE (____) - ____

EMAIL ADDRESS _____

RESIDENCE ADDRESS _____

(Street Name)

(City)

(State)

(ZIP)

LIVED AT RESIDENCE FROM _____

TO PRESENT DATE

(Month/Year)

PREVIOUS ADDRESS _____

(Street Name)

(City)

(State)

(ZIP)

LIVED AT RESIDENCE FROM _____ TO _____

(Month/Year)

(Month/Year)

SPOUSE'S NAME _____ SSN _____ - -

ARE YOU EMPLOYED BY THE U.S. GOVERNMENT? Yes No AGENCY/POSITION _____ARE YOU A U.S. CITIZEN? Yes No IF NO, GIVE ALIEN REGISTRATION NUMBER _____**EDUCATION:**

High School/College/Technical-Name/Location

Dates Attended

Degree/Certificate

Major

MILITARY SERVICE BACKGROUND:

Branch of Service _____

Dates of Service _____ to _____

WORK EXPERIENCE: List chronologically, beginning with present employment

Company Name

Location (City/State)

From _____ To _____ Job Title _____

Duties _____

Company Name

Location (City/State)

From _____ To _____ Job Title _____

Duties _____

Company Name

Location (City/State)

From _____ To _____ Job Title _____

Duties _____

Company Name

Location (City/State)

GENDER/RACE/ETHNICITY INFORMATION; This data is collected for program reporting purposes only. Disclosure is voluntary and has no bearing on the credit decision.

GENDER: MALE FEMALE NOT DISCLOSEDRACE: AMERICAN INDIAN/ALASKA NATIVE ASIAN BLACK/AFRICAN AMERICAN NATIVE HAWAIIAN/PACIFIC ISLANDER
 WHITE NOT DISCLOSEDETHNICITY: HISPANIC/LATINO NOT HISPANIC/LATINO NOT DISCLOSED

The undersigned certifies that all the information provided on this form is true and complete to the best of his or her knowledge.

Signature

Date (Month/Day/Year)

BORROWER CERTIFICATION FOR SBA FORM 1244 FOR CITIZENSHIP INFORMATION

PLEASE COMPLETE THE DETAILS BELOW TO INCLUDE PERSONS WITH ANY AMOUNT OF OWNERSHIP IN
ANY OF THE OPERATING COMPANIES OR HOLDING COMPANIES INVOLVED IN THE PROJECT.

DATE: _____

BUSINESS(ES): _____

	Name	US Citizen	Lawful Permanent Resident	Neither Citizen or LPR	USCIS Registration # (if Lawful Permanent Resident)	Signature
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						

"BY SIGNING THIS FORM, I CERTIFY THAT ALL INFORMATION ON THIS FORM AND ANY ADDITIONAL SUPPORTING INFORMATION SUBMITTED WITH THIS FORM IS TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE."

ELIGIBLE OWNERSHIP CERTIFICATIONS

PLEASE COMPLETE THIS FORM TO CERTIFY THAT THE APPLICANT AND ASSOCIATES
BUSINESSES ARE OWNED BY ELIGIBLE PERSONS PER SBA GUIDELINES

APPLICANT: _____

The Applicant must certify to the following:

- I certify that none of the direct and indirect owners of the Applicant, OC, and EPC, and all SBA-required guarantors, including entities of the Applicant, OC, and EPC, are Ineligible Persons. An Ineligible Person includes but is not limited to: 1. Undocumented aliens who are in the U.S. illegally; 2. An individual granted asylum, refugees, visa holders, nonimmigrant aliens under 8 U.S.C. § 1101(a)(15), or those under Deferred Action for Childhood Arrivals (DACA); 3. An individual, including a visa holder, who is not a U.S. Citizen, or U.S. National (non-resident aliens); 4. An individual (including U.S. Citizens, and U.S. Nationals) who has their Principal Residence outside of the United States, its territories, or possessions; 5. A business concern or entity that was created, organized, or incorporated outside of the United States, its territories, or possessions; 6. An individual who is a citizen of the People's Republic of China, or the Special Administrative Region of Hong Kong; 7. A Lawful Permanent Resident (LPRs)(commonly referred to as "green card holders"), including individuals with permanent (Unconditional) LPR status, and Conditional LPR status; or 8. An individual, business concern, or entity on the Office of Foreign Assets Control (OFAC) sanctions list (see SOP 50 10).
- I certify that all direct and indirect owners of the Applicant(s) and OC(s), and all SBA-required guarantors, are U.S. Citizens or U.S. Nationals that have a principal residence (as given the meaning of principal residence in IRS publication 523) in the United States, its territories, or possessions; and all entity owners and guarantors were created, organized, or incorporated in the United States, its territories, or possessions.

NAME: _____

DATE _____