

ROSE & COMPANY

Providing Company-Directed

Corporate Access

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Understanding Rose & Co.'s Free Corporate Access

1

Company-Sponsored

We are retained by companies across a wide range of industries, market capitalizations and geographies to supplement the service they receive from banks.

2

Fit Focused

We facilitate meetings between our 100+ public company clients and investors that have highly correlated portfolios (7,000+ meetings organized in 2024).

3

Not a Broker-Dealer

We do not seek or accept commission payments or any other forms of remuneration from institutional investors.

We Work With Companies Across All Industries and Market Caps

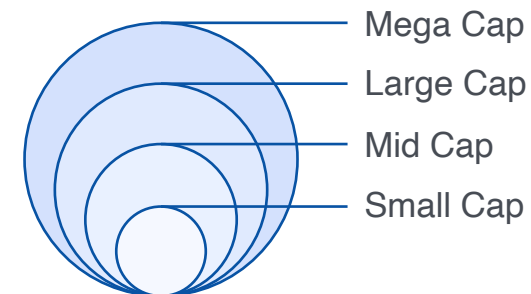
100+

**Corporations
represented
across the globe**

Across all industry sectors

- ✓ Communication Services
- ✓ Commercial Services
- ✓ Consumer Discretionary
- ✓ Consumer Staples
- ✓ Energy
- ✓ Financials
- ✓ Health Care
- ✓ Industrials
- ✓ Information Technology
- ✓ Materials
- ✓ Real Estate
- ✓ Utilities

And all market caps



Our clients are among the world's leading indices

S&P Dow Jones Indices

A Division of **S&P Global**



FTSE 100

DAX40

FTSE 250

MSCI  **World** **EAFE**

STOXX 50

 **FTSE
RUSSELL**
An LSEG Business

RUSSELL 1000

RUSSELL 2000

Our Investor Engagement Team is Comprised of Senior Wall Street Professionals



Blair Mutschler, Partner

- Head of NY based global equity sales desk at Barclays (MD)
- 10+ years at MS as MD in institutional equity research sales



Shawna Giust, Managing Director

- Institutional Equity Sales at Kepler Cheuvreux, Macquarie and Berenberg
- Helped establish Berenberg's U.S. institutional sales effort



Lori Wasserman, Managing Director

- Executive Director at Nomura Securities, JPMorgan, Bear Stearns and Lehman Brothers
- COO at TC Partners, a long/short hedge fund



David O'Brian, Managing Director

- 20+ years as Managing Director of Institutional Sales and Equity Capital Markets at William Blair, Cantor Fitzgerald, Jefferies, and Robertson Stephens



Brian Smith, Managing Director

- MD at Barclays; responsible for Canadian institutional equity sales
- MD at RBC in charge of U.S. based Canadian equity sales desk



Sarah Port, Managing Director

- 16+ years as Institutional Equity Sales to the South & Head of U.S. Corporate Access at Liberum



Peter Smith, Managing Director

- MD and U.S. Head of Institutional Corporate Marketing at Barclays
- Managed Lehman Bros. NY institutional sales desk



Tara Miller, Managing Director

- Director of Institutional Equity Sales at Cowen
- VP of Institutional Equity Research Sales Group at UBS and marketed issuers across 20 sectors



James Laverty, Managing Director

- 10+ years of Institutional Sales and Trading at Dahlman Rose & Co. (now Cowen Group) and Seaport Global, and combined 10+ years' experience in portfolio and equity analysis



Jeanette Ventura, Managing Director

- 20+ years of Institutional Relationship Management and Equity Sales across Midwestern and Texan markets with Cantor Fitzgerald, Morgan Stanley, and Bear Stearns



Juliet Jellema, Director

- 20+ years of experience in European equity sales roles at Oddo BHF, ABN AMRO, ING, and Fortis, advising U.S. investors on European equities



Laura Jevons, Director

- Head of Corporate Broking IR team at Bank of America in London
- 15+ years at Barclays and Lehman Brothers in Corporate Access and Institutional Equity Sales



Robert Brinberg, President

- 20+ years of corporate access, communications, branding, marketing, and competitive positioning experience



Yan Lager, Managing Director

- 25+ years of buy-side experience with a focus on growth-oriented strategies and multi-sector coverage
- Former VP & Portfolio Manager at Franklin Templeton, where he co-managed global equity portfolios



Scott Grossman, CAO, Managing Director

- Director at MiddleLink, providing data reporting solutions to institutional investors and banks
- Former business analyst at Dahlman Rose & Co.



Alyse Saliba, Managing Director

- 5+ years of experience in Investor Outreach
- Helped build and maintain proprietary database of global institutional contacts



Rob Munnings, Director

- 10+ years of experience in equity research, investor relations, and strategic communications, with a notable focus on investor perception and sentiment analysis



Marlowe Burke, Director

- Former sell-side equity research analyst, covering diverse sectors in North America and ex-Japan Asia

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Contact

445 Park Avenue, Suite 700
New York, NY 10022
T: (212) 359-2228

info@roseandco.com
www.roseandco.com