

# FACTS

## WHAT DOES FRIEDENTHAL FINANCIAL, LLC DO WITH YOUR PERSONAL INFORMATION?

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### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

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### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and income
- Account balances and transaction history
- Investment experience and risk tolerance

When you are no longer our customer, we continue to share your information as described in this notice.

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### How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons FRIEDENTHAL FINANCIAL, LLC chooses to share; and whether you can limit this sharing.

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## Reasons we can share your personal information

<b>Reasons we can share your personal information</b>	<b>Does FRIEDENTHAL FINANCIAL, LLC share?</b>	<b>Can you limit this sharing?</b>
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes – information about your transactions and experiences	No	We don't share
For our affiliates' everyday business purposes – information about your creditworthiness	No	We don't share
For our affiliates to market to you	No	We don't share
For nonaffiliates to market to you	No	We don't share

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## Questions?

Call 856-210-6494 or go to [www.friedenthalfinancial.com](http://www.friedenthalfinancial.com)

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### What we do

#### How does FRIEDENTHAL FINANCIAL, LLC protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

#### How does FRIEDENTHAL FINANCIAL, LLC collect my personal information?

We collect your personal information, for example, when you:

- Open an account or give us your contact information
- Provide account information or make deposits or withdrawals from your account
- Enter into an investment advisory contract

We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.

## **Why can't I limit all sharing?**

Federal law gives you the right to limit only:

- Sharing for affiliates' everyday business purposes—information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

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## **Definitions**

### **Affiliates**

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- FRIEDENTHAL FINANCIAL, LLC does not share with affiliates.

### **Nonaffiliates**

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- FRIEDENTHAL FINANCIAL, LLC does not share with nonaffiliates so they can market to you.

### **Joint marketing**

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- FRIEDENTHAL FINANCIAL, LLC does not jointly market.
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## Other important information

FRIEDENTHAL FINANCIAL, LLC is an SEC-registered investment adviser domiciled in New Jersey and is subject to Regulation S-P. We maintain physical, electronic, and procedural safeguards designed to comply with applicable federal and state standards to protect your nonpublic personal information.

New Jersey residents may have additional privacy protections under applicable state law. FRIEDENTHAL FINANCIAL, LLC will comply with all applicable state privacy requirements.

For California residents: We will not share your personal information with nonaffiliates except as permitted by law.

For Vermont residents: We will not share your information with nonaffiliates except as permitted by law, unless you authorize us to do so.

For Nevada residents: You may request to be placed on our internal Do Not Call list by contacting us at the number listed above.

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*This notice is provided pursuant to the SEC's Regulation S-P and reflects FRIEDENTHAL FINANCIAL, LLC's privacy practices.*