

**FACTS****WHAT DOES G10, LLC (“G10”) & AFFILIATES DO WITH YOUR PERSONAL INFORMATION?****Why?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

**What?**

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security Number
- Date of Birth
- Address
- Occupation and Employment Status
- Assets, Income, Account Transactions and Account Balances
- Wire transfer instructions
- Financial Goals and Investment Objectives
- Investment Experience and Risk Tolerance
- Information from your wills, trusts, and other estate planning documents
- Information about your holdings or transactions with us, our affiliates, or others

When you are *no longer* our client, we continue to share your information as described in this notice.

**How?**

All financial companies need to share Clients’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their Clients’ personal information, the reasons G10 chooses to share, and whether you can limit this sharing.

Reasons we can share your personal information	Does G10, LLC share?	Can you limit this sharing?
<b>For our everyday business purposes</b> – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	<b>YES</b>	<b>NO</b>
<b>For our marketing purposes</b> – to offer our products and services to you	<b>YES</b>	<b>NO</b>
<b>For joint marketing with other financial companies</b>	<b>NO</b>	<b>We Don’t Share</b>
<b>For our affiliates’ everyday business purposes</b> – information about your transactions and experiences	<b>YES</b>	<b>NO</b>
<b>For our affiliates’ everyday business purposes</b> – information about your creditworthiness	<b>NO</b>	<b>We Don’t Share</b>
<b>For our affiliates to market to you</b>	<b>YES</b>	<b>YES</b>
<b>For nonaffiliates to market to you</b>	<b>NO</b>	<b>We Don’t Share</b>

**Questions?**

Please direct all questions to: Stacy Landsman, Chief Compliance Officer at G10, LLC, 217 International Circle; Hunt Valley, MD, 21030 or Phone 443-541-2440 or Email [compliance@kwiadvisory.com](mailto:compliance@kwiadvisory.com).

## Who we are

Who is providing this notice?

G10, LLC (“G10”), a registered investment adviser with the U.S. Securities and Exchange Commission (“SEC”), along with its integrated adviser Knollwood Investment Advisory, LLC (“Knollwood,” and collectively with G10 the “firm” or “we”).

## What we do

**How does G10 protect my personal information?**

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

We maintain safeguards that comply with federal standards to protect nonpublic personal information of our clients, including procedures to assure appropriate access to, and use of, information about our Clients.

**How does G10 collect my personal information?**

We collect your personal information, for example, when you:

- Seek advice about your investments or make deposits or withdrawals from your account
- Give us your income information or contact information
- Open an account
- Fill out subscription documents for investment funds or vehicles
- Enter into an investment advisory contract
- Pay your bills using our bill pay services
- Show your driver’s license or passport
- Tell us about your investment portfolio

We also collect your personal information from other companies.

**Why can’t I limit all sharing?**

Federal law gives you the right to limit only

- Sharing for affiliates’ everyday business purposes-information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing. See below for more information.

## Definitions

**Affiliates**

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- **Knollwood Investment Advisory, LLC**

**Nonaffiliates**

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- **G10’s nonaffiliates include custodians; third-party investment managers; broker-dealers; fund administrators; vendors to the respective client, including attorneys, accountants, etc.; G10 service providers; and other third parties in relation to G10’s services to you.**

**Joint Marketing**

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- **G10 does not jointly market with nonaffiliates.**

## Other important information

**Nonaffiliates:** We will share your information with nonaffiliates as necessary to service your account(s) including, without limitation, the settlement, billing, processing, clearing, and/or transferring of transactions.

**State Laws:** We will automatically limit sharing of your information in accordance with applicable state law.