

TXSE Non-Member Clearing Firm Information Document

INFORMATION DOCUMENT – To be completed by the Non-Member Clearing Firm of Members of Texas Stock Exchange LLC (“Exchange”).

The undersigned Clearing Firm represents that it is a member of the National Securities Clearing Corporation (“NSCC”), a clearing agency registered with the Securities and Exchange Commission pursuant to Section 17A of the Securities Exchange Act of 1934. The undersigned further represents that it is requesting to have its NSCC participant number added to the Exchange’s system to facilitate clearance and settlement of transactions made by Members of the Exchange.

An executed version of this Document can be delivered to the Exchange via email to membership@txse.com.

GENERAL INFORMATION		
Clearing Firm Name:	NSCC #:	
Address of Principal Office:		
City:	State:	Zip:
BUSINESS CONTACT		
Name:	Email:	
Title:	Phone	
CLEARING LETTER OF GUARANTEE CONTACT		
Name:	Email:	
Title:	Phone	
CLEARING BREAKS CONTACT		
Name:	Email:	
Title:	Phone	

Signature of Authorized Officer, Partner or
Managing Member or Sole Proprietor of
Clearing Firm

Printed Name/Title

Date