

TXSE Direct Debit Opt-In Form

The Depository Trust & Clearing Corporation offers a Direct Debit program that allows Exchanges to bill their Members directly through the Clearing Firm's account. Texas Stock Exchange LLC ("Exchange") uses this process to bill Section 31 Fees (mandatory direct debit) and Transaction Fees (optional direct debit).

To Opt-In for Direct Debit of Transaction Fees, this Form must be completed for each Member/Clearing Firm relationship. An executed version of this Form can be delivered to the Exchange via email to membership@txse.com.

All Transaction Fee invoices that result in a credit due to the Member will be cleared during the Exchange's regular rebate processing. These payments can be in the form of a check or ACH, according to the Member's preference.

Trading Platform(s), check all that apply:

☐ TXSE

MPID(s), list all that apply attaching additional page(s) as necessary:

Member Name

Clearing Firm Name / NSCC #

Signature of Authorized Officer, Partner or
Managing Member or Sole Proprietor

Signature of Authorized Officer, Partner or
Managing Member or Sole Proprietor

Printed Name / Title

Printed Name / Title

Date

Date