INVESTOR SEMINAR SERIES WORKSHEET

ASSET ALLOCATION

Balancing your risks and rewards

The right balance of stocks, bonds, and mutual funds can help you mitigate financial risks and increase potential rewards. But with thousands of investments to choose from, where do you start? During this seminar, you'll learn how you can develop a strong asset allocation strategy, which can help you confidently pursue your financial goals.

To discuss your personal financial needs, please contact:

Zach Carothers

Financial Advisor

LPL Financial. Office of James Rockwell & Zach Carothers

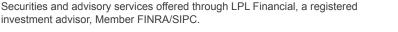
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INVESTOR SEMINAR SERIES WORKSHEET

WORKSHEET 1

Your asset allocation

Cash Checking account Certificate of deposit Money market account Money market fund Investment Accounts Mutual funds Individual stocks Individual bonds Unit trusts/partnerships Retirement Accounts IRAs and Keoghs 401(k) or 403(b) plan Annuities Profit-sharing plans Other Cash value life insurance Total your assets for each category and class and enter your grand total. \$	nds Stocks	Bonds	Cash	Investments/Savings
Cash Checking account Certificate of deposit Money market account Money market fund Investment Accounts Mutual funds Individual stocks Individual bonds Unit trusts/partnerships Retirement Accounts IRAs and Keoghs 401(k) or 403(b) plan Annuities Profit-sharing plans Other Cash value life insurance Total your assets for each category and class and enter your grand total. \$	To determine your current			
Certificate of deposit Money market account Money market fund Investment Accounts Mutual funds Individual stocks Individual bonds Unit trusts/partnerships Retirement Accounts IRAs and Keoghs 401(k) or 403(b) plan Annuities Profit-sharing plans Other Cash value life insurance Total your assets for each category and class and enter your grand total. \$	asset allocation,			Cash
Money market account Money market fund Investment Accounts Mutual funds Individual stocks Individual bonds Unit trusts/partnerships Retirement Accounts IRAs and Keoghs 401(k) or 403(b) plan Annuities Profit-sharing plans Other Cash value life insurance Total your assets for each category and class and enter your grand total. \$ \$ \$ \$ \$	fill in the boxes.			Checking account
Investment Accounts Mutual funds Individual stocks Individual bonds Unit trusts/partnerships Retirement Accounts IRAs and Keoghs 401(k) or 403(b) plan Annuities Profit-sharing plans Other Cash value life insurance Total your assets for each category and class and enter your grand total. \$ \$ \$ \$				Certificate of deposit
Investment Accounts Mutual funds Individual stocks Individual bonds Unit trusts/partnerships Retirement Accounts IRAs and Keoghs 401(k) or 403(b) plan Annuities Profit-sharing plans Other Cash value life insurance Total your assets for each category and class and enter your grand total. \$ \$ \$ \$				Money market account
Mutual funds Individual stocks Individual bonds Unit trusts/partnerships Retirement Accounts IRAs and Keoghs 401(k) or 403(b) plan Annuities Profit-sharing plans Other Cash value life insurance Total your assets for each category and class and enter your grand total. \$				Money market fund
Individual stocks Individual bonds Unit trusts/partnerships Retirement Accounts IRAs and Keoghs 401(k) or 403(b) plan Annuities Profit-sharing plans Other Cash value life insurance Total your assets for each category and class and enter your grand total. \$ \$ \$ \$				Investment Accounts
Individual bonds Unit trusts/partnerships Retirement Accounts IRAs and Keoghs 401(k) or 403(b) plan Annuities Profit-sharing plans Other Cash value life insurance Total your assets for each category and class and enter your grand total. \$				Mutual funds
Unit trusts/partnerships Retirement Accounts IRAs and Keoghs 401(k) or 403(b) plan Annuities Profit-sharing plans Other Cash value life insurance Total your assets for each category and class and enter your grand total. \$ \$ \$ \$				Individual stocks
Retirement Accounts IRAs and Keoghs 401(k) or 403(b) plan Annuities Profit-sharing plans Other Cash value life insurance Total your assets for each category and class and enter your grand total. \$				Individual bonds
IRAs and Keoghs 401(k) or 403(b) plan Annuities Profit-sharing plans Other Cash value life insurance Total your assets for each category and class and enter your grand total. \$ \$ \$ \$				Unit trusts/partnerships
401(k) or 403(b) plan Annuities Profit-sharing plans Other Cash value life insurance Total your assets for each category and class and enter your grand total. \$				Retirement Accounts
Annuities Profit-sharing plans Other Cash value life insurance Total your assets for each category and class and enter your grand total. \$ \$ \$ \$				IRAs and Keoghs
Profit-sharing plans Other Cash value life insurance Total your assets for each category and class and enter your grand total. \$ \$ \$ \$				401(k) or 403(b) plan
Other Cash value life insurance Total your assets for each category and class and enter your grand total. \$ \$ \$ \$				Annuities
Cash value life insurance Total your assets for each category and class and enter your grand total. \$ \$ \$ \$				Profit-sharing plans
Total your assets for each category and class and enter your grand total. Grand Total \$ \$ \$				Other
category and class and enter your grand total. \$ \$ \$				Cash value life insurance
Divide the totals for each		\$	\$	category and class and enter
asset by your grand total to determine the allocation percentage for each category. % % % 100%	% % 100%		%	determine the allocation percentage for each